

Security zSecure CARLa-Driven Components  
Version 2.2.1

*Installation and Deployment Guide*





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Version 2.2.1

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**Note**

Before using this information and the product it supports, read the information in "Notices" on page 213.

**December 2016**

This edition applies to version 2, release 2, modification 1 of IBM Security zSecure Admin (product number 5655-N16), version 2, release 2, modification 1 of IBM Security zSecure Audit (product number 5655-N17), version 2, release 2, modification 1 of IBM Security zSecure Visual (product number 5655-N20), version 2, release 2, modification 1 of IBM Security zSecure Alert (product number 5655-N21), IBM Security zSecure Adapters for QRadar SIEM (product number 5655-AD8), and to all subsequent releases and modifications until otherwise indicated in new editions.

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## About this publication

The *IBM Security zSecure CARLa-Driven Components Installation and Deployment Guide* describes the installation and configuration processes for the following IBM® Security zSecure™ components:

- IBM Security zSecure Admin
- IBM Security zSecure Audit for RACF®, ACF2, and Top Secret
- IBM Security zSecure Alert for RACF and ACF2
- IBM Security zSecure Visual for RACF
- IBM Security zSecure Adapters for QRadar SIEM for RACF, ACF2, and Top Secret

The manual includes information about the following types of installations:

- Distribution-oriented installations with multiple z/OS® images that have different configurations.
- A single installation that can run multiple configurations from the same z/OS image.

The publication is intended for people responsible for installing and maintaining zSecure products and for deploying the components of zSecure to their user communities.

Readers must be familiar with the IBM Security zSecure products to be installed and the operating systems where the products are being installed.

For error messages, explanations, and workarounds where applicable, see *IBM Security zSecure: Messages Guide*.

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## zSecure documentation

The IBM Security zSecure Suite and IBM Security zSecure Manager for RACF z/VM libraries consist of unlicensed and licensed publications. This section lists both libraries and instructions to access them.

Unlicensed zSecure publications are available at the IBM Knowledge Center for IBM zSecure Suite or IBM zSecure Manager for RACF z/VM. The IBM Knowledge Center is the home for IBM product documentation. You can customize IBM Knowledge Center, create your own collection of documents to design the experience that you want with the technology, products, and versions that you use. You can also interact with IBM and with your colleagues by adding comments to topics and by sharing through email, LinkedIn, or Twitter. For instructions to obtain the licensed publications, see “Obtain licensed documentation” on page viii.

| IBM Knowledge Center for product  | URL   |
|-----------------------------------|---|
| IBM zSecure Suite                 | <a href="http://www.ibm.com/support/knowledgecenter/SS2RWS/welcome">http://www.ibm.com/support/knowledgecenter/SS2RWS/welcome</a> |
| IBM zSecure Manager for RACF z/VM | <a href="http://www.ibm.com/support/knowledgecenter/SSQQGJ/welcome">http://www.ibm.com/support/knowledgecenter/SSQQGJ/welcome</a> |

The IBM Terminology website consolidates terminology for product libraries in one location.

## Obtain licensed documentation

All licensed and unlicensed publications for IBM Security zSecure Suite 2.2.1 and IBM Security zSecure Manager for RACF z/VM 1.11.2, except the Program Directories, are included on the *IBM Security zSecure Documentation CD, LCD7-5373*. Instructions for downloading the disk image (.iso) file for the zSecure *Documentation CD* directly are included with the product materials.

To obtain an extra copy of the .iso file of the *Documentation CD* or PDF files of individual publications, complete the following steps:

1. Go to the IBM Publications Center.
2. Select your country or region and click the **Go** icon.
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Alternatively, you can send an email to [tivzos@us.ibm.com](mailto:tivzos@us.ibm.com) requesting access to the .iso file of the *zSecure Documentation CD*. Include your company's IBM customer number and your preferred contact information. You will receive details to fulfill your order.

## IBM zSecure Suite library

The IBM Security zSecure Suite library consists of unlicensed and licensed publications.

Unlicensed publications are available at the IBM Knowledge Center for IBM zSecure Suite. Unlicensed publications are available to clients only. To obtain the licensed publications, see *Obtaining licensed publications*. Licensed publications have a form number that starts with L; for example, LCD7-5373.

The IBM Security zSecure Suite library consists of the following publications:

- *About This Release* includes release-specific information as well as some more general information that is not zSecure-specific. The release-specific information includes the following:
  - *What's New*: Lists the new features and enhancements in zSecure V2.2.1.
  - *Release Notes*: For each product release, the release notes provide important installation information, incompatibility warnings, limitations, and known problems for the IBM Security zSecure products.
  - *Documentation*: Lists and briefly describes the zSecure Suite and zSecure Manager for RACF z/VM libraries and includes instructions for obtaining the licensed publications.
- *IBM Security zSecure CARLa-Driven Components Installation and Deployment Guide, SC27-5638*  
Provides information about installing and configuring the following IBM Security zSecure components:

- IBM Security zSecure Admin
- IBM Security zSecure Audit for RACF, CA-ACF2, and CA-Top Secret
- IBM Security zSecure Alert for RACF and CA-ACF2
- IBM Security zSecure Visual
- IBM Security zSecure Adapters for QRadar SIEM for RACF, CA-ACF2, and CA-Top Secret
- *IBM Security zSecure Admin and Audit for RACF Getting Started*, GI13-2324  
Provides a hands-on guide introducing IBM Security zSecure Admin and IBM Security zSecure Audit product features and user instructions for performing standard tasks and procedures. This manual is intended to help new users develop both a working knowledge of the basic IBM Security zSecure Admin and Audit for RACF system functionality and the ability to explore the other product features that are available.
- *IBM Security zSecure Admin and Audit for RACF User Reference Manual*, LC27-5639  
Describes the product features for IBM Security zSecure Admin and IBM Security zSecure Audit. Includes user instructions to run the admin and audit features from ISPF panels. This manual also provides troubleshooting resources and instructions for installing the zSecure Collect for z/OS component. This publication is available to licensed users only.
- *IBM Security zSecure Admin and Audit for RACF Line Commands and Primary Commands Summary*, SC27-6581  
Lists the line commands and primary (ISPF) commands with very brief explanations.
- *IBM Security zSecure Audit for ACF2 Getting Started*, GI13-2325  
Describes the zSecure Audit for CA-ACF2 product features and provides user instructions for performing standard tasks and procedures such as analyzing Logon IDs, Rules, Global System Options, and running reports. The manual also includes a list of common terms for those not familiar with ACF2 terminology.
- *IBM Security zSecure Audit for ACF2 User Reference Manual*, LC27-5640  
Explains how to use zSecure Audit for CA-ACF2 for mainframe security and monitoring. For new users, the guide provides an overview and conceptual information about using CA-ACF2 and accessing functionality from the ISPF panels. For advanced users, the manual provides detailed reference information, troubleshooting tips, information about using zSecure Collect for z/OS, and details about user interface setup. This publication is available to licensed users only.
- *IBM Security zSecure Audit for Top Secret User Reference Manual*, LC27-5641  
Describes the zSecure Audit for CA-Top Secret product features and provides user instructions for performing standard tasks and procedures. This publication is available to licensed users only.
- *IBM Security zSecure CARLa Command Reference*, LC27-6533  
Provides both general and advanced user reference information about the CARLa Auditing and Reporting Language (CARLa). CARLa is a programming language that is used to create security administrative and audit reports with zSecure. The *CARLa Command Reference* also provides detailed information about the NEWLIST types and fields for selecting data and creating zSecure reports. This publication is available to licensed users only.
- *IBM Security zSecure Alert User Reference Manual*, SC27-5642  
Explains how to configure, use, and troubleshoot IBM Security zSecure Alert, a real-time monitor for z/OS systems protected with the Security Server (RACF) or CA-ACF2.

- *IBM Security zSecure Command Verifier User Guide, SC27-5648*  
Explains how to install and use IBM Security zSecure Command Verifier to protect RACF mainframe security by enforcing RACF policies as RACF commands are entered.
- *IBM Security zSecure CICS Toolkit User Guide, SC27-5649*  
Explains how to install and use IBM Security zSecure CICS® Toolkit to provide RACF administration capabilities from the CICS environment.
- *IBM Security zSecure Messages Guide, SC27-5643*  
Provides a message reference for all IBM Security zSecure components. This guide describes the message types associated with each product or feature, and lists all IBM Security zSecure product messages and errors along with their severity levels sorted by message type. This guide also provides an explanation and any additional support information for each message.
- *IBM Security zSecure Visual Client Manual, SC27-5647*  
Explains how to set up and use the IBM Security zSecure Visual Client to perform RACF administrative tasks from the Windows-based GUI.
- *IBM Security zSecure Documentation CD, LCD7-5373*  
Supplies the IBM Security zSecure documentation, which contains the licensed and unlicensed product documentation. The *Documentation CD* is available as a downloadable .iso file; see Obtaining licensed publications to obtain this file.

Program directories are provided with the product tapes. You can also download the latest copies from Program Directories.

- *Program Directory: IBM Security zSecure CARLa-Driven Components, GI13-2277*  
This program directory is intended for the system programmer responsible for program installation and maintenance. It contains information concerning the material and procedures associated with the installation of IBM Security zSecure CARLa-Driven Components: Admin, Audit, Visual, Alert, and the IBM Security zSecure Adapters for QRadar SIEM.
- *Program Directory: IBM Security zSecure CICS Toolkit, GI13-2282*  
This program directory is intended for the system programmer responsible for program installation and maintenance. It contains information concerning the material and procedures associated with the installation of IBM Security zSecure CICS Toolkit.
- *Program Directory: IBM Security zSecure Command Verifier, GI13-2284*  
This program directory is intended for the system programmer responsible for program installation and maintenance. It contains information concerning the material and procedures associated with the installation of IBM Security zSecure Command Verifier.
- *Program Directory: IBM Security zSecure Admin RACF-Offline, GI13-2278*  
This program directory is intended for the system programmer responsible for program installation and maintenance. It contains information concerning the material and procedures associated with the installation of the IBM Security zSecure Admin RACF-Offline component of IBM Security zSecure Admin.
- Program Directories for the zSecure Administration, Auditing, and Compliance solutions:
  - 5655-N23: *Program Directory for IBM Security zSecure Administration, GI13-2292*
  - 5655-N24: *Program Directory for IBM Security zSecure Compliance and Auditing, GI13-2294*
  - 5655-N25: *Program Directory for IBM Security zSecure Compliance and Administration, GI13-2296*

## IBM zSecure Manager for RACF z/VM library

The IBM Security zSecure Manager for RACF z/VM library consists of unlicensed and licensed publications.

Unlicensed publications are available at the IBM Knowledge Center for IBM zSecure Manager for RACF z/VM. Licensed publications have a form number that starts with L; for example, LCD7-5373.

The IBM Security zSecure Manager for RACF z/VM library consists of the following publications:

- *IBM Security zSecure Manager for RACF z/VM Release Information*  
For each product release, the Release Information topics provide information about new features and enhancements, incompatibility warnings, and documentation update information. You can obtain the most current version of the release information from the zSecure for z/VM<sup>®</sup> documentation website at the IBM Knowledge Center for IBM zSecure Manager for RACF z/VM.
- *IBM Security zSecure Manager for RACF z/VM: Installation and Deployment Guide, SC27-4363*  
Provides information about installing, configuring, and deploying the product.
- *IBM Security zSecure Manager for RACF z/VM User Reference Manual, LC27-4364*  
Describes how to use the product interface and the RACF administration and audit functions. The manual provides reference information for the CARLa command language and the SELECT/LIST fields. It also provides troubleshooting resources and instructions for using the zSecure Collect component. This publication is available to licensed users only.
- *IBM Security zSecure CARLa Command Reference, LC27-6533*  
Provides both general and advanced user reference information about the CARLa Auditing and Reporting Language (CARLa). CARLa is a programming language that is used to create security administrative and audit reports with zSecure. The *zSecure CARLa Command Reference* also provides detailed information about the NEWLIST types and fields for selecting data and creating zSecure reports. This publication is available to licensed users only.
- *IBM Security zSecure Documentation CD, LCD7-5373*  
Supplies the IBM Security zSecure Manager for RACF z/VM documentation, which contains the licensed and unlicensed product documentation.
- *Program Directory for IBM zSecure Manager for RACF z/VM, GI11-7865*  
To use the information in this publication effectively, you must have some prerequisite knowledge that you can obtain from the program directory. The *Program Directory for IBM zSecure Manager for RACF z/VM* is intended for the systems programmer responsible for installing, configuring, and deploying the product. It contains information about the materials and procedures associated with installing the software. The Program Directory is provided with the product tape. You can also download the latest copies from the IBM Knowledge Center for IBM zSecure Manager for RACF z/VM.

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### Related documentation

If you are using IBM Security zSecure products in a RACF environment, you can find RACF user and reference information in several IBM manuals. The RACF commands and the implications of the various keywords can be found in the *RACF Command Language Reference* and the *RACF Security Administrator's Guide*. Information about writing other RACF exits can be found in the *RACF System*

*Programmer's Guide*. Information about auditing RACF can be found in the *RACF Auditor's Guide*. You can access this documentation from the z/OS internet library available at <http://www.ibm.com/systems/z/os/zos/bkserv/>.

For information about incompatibilities, see the "Release notes" section of "About This Release" on the IBM Security zSecure documentation website at IBM Knowledge Center for IBM zSecure Suite.

For information about implementing the Identity Governance and Intelligence Adapter for zSecure RACF, see *Identity Governance and Intelligence Adapter for zSecure RACF*.

*Table 1. Further information about RACF administration, auditing, programming, and commands*

| Manual  | Order Number |
|---|--------------|
| <i>z/OS Security Server RACF Command Language Reference</i>   | SA23-2292    |
| <i>z/OS Security Server RACF System Administrator's Guide</i> | SA23-2289    |
| <i>z/OS Security Server RACF Auditor's Guide</i>              | SA23-2290    |
| <i>z/OS Security Server RACF System Programmer's Guide</i>    | SA23-2287    |
| <i>z/OS MVS Callable Services for High Level Languages</i>    | SA23-1377    |
| <i>z/OS MVS System Commands</i>                               | SA38-0666    |

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## Accessibility

Accessibility features help users with a physical disability, such as restricted mobility or limited vision, to use software products successfully. With this product, you can use assistive technologies to hear and navigate the interface. You can also use the keyboard instead of the mouse to operate all features of the graphical user interface.

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## Technical training

For technical training information, see the IBM Training and Skills website at <http://www.ibm.com/training>.

See the zSecure Training page in the zSecure public Wiki for information about available training for zSecure.

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## Support information

IBM Support provides assistance with code-related problems and routine, short duration installation or usage questions. You can directly access the IBM Software Support site at <http://www.ibm.com/software/support/probsub.html>.

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## Statement of Good Security Practices

IT system security involves protecting systems and information through prevention, detection, and response to improper access from within and outside your enterprise. Improper access can result in information being altered, destroyed, misappropriated, or misused or can result in damage to or misuse of your systems, including for use in attacks on others. No IT system or product should be considered completely secure and no single product, service, or security measure can be completely effective in preventing improper use or access. IBM systems,

products, and services are designed to be part of a comprehensive security approach, which will necessarily involve additional operational procedures, and may require other systems, products, or services to be most effective. IBM DOES NOT WARRANT THAT ANY SYSTEMS, PRODUCTS, OR SERVICES ARE IMMUNE FROM, OR WILL MAKE YOUR ENTERPRISE IMMUNE FROM, THE MALICIOUS OR ILLEGAL CONDUCT OF ANY PARTY.



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## Chapter 1. Installation road map

The steps in this topic describe the framework to install, configure, and deploy a new installation of IBM Security zSecure.

### Procedure

1. Learn about key concepts and resources related to installing, configuring, and deploying the product.
  - a. Review the concepts of single installation and distribution-oriented installation.  
See Chapter 2, “Overview of installation, configuration, and deployment,” on page 5.
  - b. Learn about the CKRINST library, which contains sample jobs that you can customize for installation and post-installation activities.  
See “CKRINST library” on page 5.
  - c. Learn about zSecure configuration data sets, which you can use to support distribution-oriented installation  
See “Configuration data sets” on page 6.
2. Prepare for installation. See Chapter 3, “Preparation tasks for installation,” on page 7.
  - a. Verify the release.  
See Verify the release.
  - b. Name and secure the zSecure data sets.  
See “Naming and securing the zSecure data sets” on page 7.
  - c. Evaluate space requirements.  
See “Space planning” on page 8.
3. Install the software. Use one of the following installation methods:
  - Formal installation.  
See *Program Directory: IBM Security zSecure CARLa-Driven Components*.
  - Fast installation.  
See “Installation with the fast method” on page 9.
  - Installation as part of System Pack, Server Pack, or CBPDO.  
See “Installing with a System Pack, Server Pack, or CBPDO” on page 10.
4. Make the software available so that users can start it.  
See Chapter 5, “Activation of the product and customization of the configuration data sets,” on page 17 for overview information.
  - a. If you want to run zSecure from data sets other than the data set where you installed it, distribute the zSecure data sets.  
See “Distribution of zSecure data sets to additional z/OS images” on page 17.
  - b. Enable the license.  
See “Enablement of license features” on page 18.
  - c. APF authorize the software.  
See “APF authorization of the software” on page 18.
  - d. Make the zSecure software available to TSO/ISPF users.

- See "Making the software available to TSO/ISPF users" on page 20.
- e. Make the software available to run in batch or as a started task.
  - See "Making the software available for batch processes" on page 21.
- 5. Deploy the software using configuration files. See Chapter 6, "Deployment of the software," on page 23 for overview information.
  - a. Learn more about zSecure configuration data sets.
    - See "About zSecure configuration data sets" on page 23.
  - b. Create the zSecure configuration data sets.
    - See "Creating zSecure configuration data sets" on page 25.
  - c. Customize the zSecure configuration data sets.
    - See "Customization of zSecure configuration data sets" on page 26.
  - d. (Optional) If you are upgrading, see "Maintenance of existing zSecure configuration data sets" on page 27.
  - e. Make the zSecure configuration data sets available and establish security for each configuration:
    - 1) Assign the configurations to the appropriate TSO/ISPF users.
      - See "Assignment of configurations to TSO/ISPF users" on page 27.
    - 2) Assign the configurations to the appropriate batch jobs and started tasks.
      - See "Assignment of configurations to batch jobs and started tasks" on page 28.
    - 3) Establish security for each configuration to control access to product functions and data.
      - See Appendix B, "Security setup for zSecure," on page 175.
- 6. Verify the installation.
  - a. Check the base ISPF interface functions and menu configuration.
    - See "Base ISPF interface functions and menu configuration" on page 29.
  - b. Check the zSecure Collect function and the base batch operation of zSecure.
    - See "Checking the zSecure Collect function and the base batch operation of zSecure" on page 29.
  - c. Display reports.
    - See "Functions to display reports" on page 29.
  - d. Check CKGRACF. (If you do not use zSecure Admin or zSecure Visual, this step is optional.)
    - See "CKGRACF command to verify security resources" on page 29.
  - e. Verify ACF2 reporting. (If you did not install zSecure Audit for ACF2, this step is optional.)
    - See "Verification of ACF2 reporting" on page 29.
- 7. Set up the following items as necessary for production:
  - a. Review the capacity planning information to help you determine the system resources required.
    - See "Capacity planning information" on page 31.
  - b. Specify the default input set.
    - See Chapter 8, "Setup for production," on page 31.
  - c. Customize your installation for daylight saving time.
    - See "Daylight saving time considerations" on page 43.
  - d. Refresh the CKFREEZE file.

- See “Use of a fresh CKFREEZE and UNLOAD each day” on page 43.
- e. Set up the RACF Exit Activator.  
See “Setup of the RACF Exit Activator” on page 43.
  - f. Set up your own version of the New Password exit.  
See “Use of the zSecure New Password Exit with other New Password exits” on page 44.
  - g. Ensure that TCP/IP domain names can be resolved.  
See “TCP/IP domain name resolution” on page 44.
  - h. Check the settings for the SMTP server.  
See “SMTP server considerations” on page 45.
8. Set up multi-system support if you want to administer and audit profiles, resources, and settings from multiple systems.  
See Chapter 9, “Setup for remote data access and command routing,” on page 47.
    - a. Install, configure, and activate the zSecure Server.  
See “Installation and configuration of the zSecure Server” on page 47.
    - b. Specify the remote data sets for use in CKRCARLA or the ISPF User Interface.  
See “Operator commands for the zSecure Server” on page 53.
    - c. Perform setup for routing RACF and selected non-RACF commands to other systems.  
See “Setup for secure communication using AT-TLS” on page 55.
  9. Set up components such as:
    - zSecure Admin Access Monitor.  
See Chapter 10, “Setup of zSecure Admin Access Monitor,” on page 61.
    - RACF-Offline.  
See Chapter 11, “Setup of RACF-Offline,” on page 83.
    - zSecure Alert.  
See Chapter 12, “Setup of zSecure Alert,” on page 89.
    - zSecure Visual Server.  
See Chapter 13, “Setup and use of the zSecure Visual Server,” on page 119.
    - Change Tracking.  
See Chapter 14, “Setup of Change Tracking,” on page 151.
    - Data preparation for QRadar<sup>®</sup> SIEM.  
See Chapter 15, “Data preparation for QRadar SIEM,” on page 157.
    - Data preparation for Guardium Vulnerability Assessment.  
See Chapter 16, “Data preparation for Guardium Vulnerability Assessment,” on page 169.



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## Chapter 2. Overview of installation, configuration, and deployment

zSecure provides sample jobs for installing the software and setting up the product. You need access to these jobs during the installation, configuration, and deployment process. For more information about these jobs, see “CKRINST library.”

### Distribution-oriented installation

The zSecure installation, configuration, and deployment process supports both single installation and distribution-oriented installation.

- In a single installation, you install zSecure separately on each z/OS image.
- In a distribution-oriented installation, you install the product on one z/OS image, and then run it on multiple images with different configuration files. You can also run multiple configurations in a single z/OS image. For example, you might want the following configurations:
  - A *full function* configuration for central administrators
  - A *streamlined* configuration for people who run only common user administration tasks and require access only to the Quick Administration menu (option RA.Q)

For more information about the configuration files, see “Configuration data sets” on page 6.

**Note:** If you intend to perform RACF administration and auditing tasks on both z/VM and z/OS systems, you must install zSecure separately for each operating system. For information about installing the z/VM version of the product, see *IBM Security zSecure Manager for RACF z/VM: Manager for RACF z/VM Installation and Deployment Guide*.

---

### CKRINST library

You can use these sample jobs to customize installation parameters like the naming convention for your data sets, JOB statement requirements, and other items. These parameters are also used in post-installation and other activities performed after the product has been installed. Customizing these parameters is optional. However, if you customize them before installing the software or performing post-installation activities, it saves time because you update the parameter values in the sample jobs at one time rather than editing individual jobs before submitting them.

The CKRINST library is created by copying the sample jobs from the product tape or by copying the SCKRSAMP library installed with the product. Instructions for creating the CKRINST library are provided in the installation instructions. See Chapter 4, “Installation of the software,” on page 9.

---

## Configuration data sets

The data sets represent the zSecure configuration for an image and determine how the software operates on the image. For example, zSecure configuration data sets can specify what zSecure features are available as well as the data set names for the input data sources.

zSecure configuration data sets are the only data sets that are different between images. Using these data sets, you can create configurations for the following purposes:

- Special-purpose configurations for processes such as zSecure Alert, Access Monitor, and Visual Server.
- Separate configurations to deploy zSecure on different z/OS images.
- Configurations for user groups that require different input data or that restrict access to specific zSecure components.

zSecure configuration data sets are stored in partitioned data sets that are not part of the installed software. As a result, these data sets are not updated when the software is upgraded or reinstalled. Therefore, you can maintain custom configuration settings across upgrades.

zSecure provides a default configuration data set that can be copied and updated for your environment. For additional information and instructions for creating and customizing configuration data sets, see Chapter 6, "Deployment of the software," on page 23.

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## Chapter 3. Preparation tasks for installation

- “Verification of the release”
- “Naming and securing the zSecure data sets”
- “Space planning” on page 8

---

### Verification of the release

Before you install the software:

- Verify that the product and release you are about to install is the current and supported release.
- Verify that the product is supported on the platform where you intend to use it.

See <http://www.ibm.com/software/support/lifecycle/>. (Search for zSecure.)

Also, review the Release Notes document that is available in the IBM Knowledge Center for zSecure Suite for information about the latest product updates and any incompatibility warnings.

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### Naming and securing the zSecure data sets

1. Decide on data set name conventions.
2. Plan security for the product data sets.
3. (Optional) Specify a user catalog for the data sets.

### Default and site-specific data set naming conventions

zSecure provides a default naming convention for the data sets where zSecure is installed.

The default naming scheme uses two qualifiers in the data set name `CKR.SCKRLOAD`, for example.

**CKR** Prefix common to all zSecure product data sets. You can replace this prefix by one or more qualifiers of your own choice.

*dddef* The last qualifier is equal to the DD name definition used by SMP/E (SMP/E DDDEF) and is the low-level qualifier in the names for the target and distribution libraries. Each DDDEF starts either with S (for target libraries) or with A (for distribution libraries) followed by the product prefix. See the *Program Directory: IBM Security zSecure CARLa-Driven Components* for a list of the target and distribution library DDNAMEs.

During installation, you might want to specify your own naming convention to override these defaults. You can change the prefix `CKR` to a different qualifier or more than one qualifier. For example, if you install zSecure on a dead system for subsequent distribution, you can replace the prefix `CKR` with `DEADSYS.CKR` or `DEADSYS.CKR.CKRvrm`. In this way, you can create data sets such as `DEADSYS.CKR.SCKRLOAD` or `DEADSYS.CKR.CKRvrm.SCKRLOAD`. When you use different names during installation, you can use the default names to distribute to active system images. Using different names during installation can also help avoid naming conflicts when installing or testing a new release. For instructions for customizing the data set naming convention, see “Customization of the installation parameters” on page 12.

## Setup of security for the zSecure installation data sets

If you install for distribution, protect the zSecure data sets so that only the people who install or maintain the product have access.

- Give UPDATE access to users who are responsible for system maintenance.
- Give ALTER access to users who are responsible for installation or space management.

If zSecure runs directly from the installed data sets, give READ access to zSecure users. Do not give access to other users.

## Catalog zSecure data sets in a user catalog (optional)

For easy access to the zSecure software from multiple z/OS images, catalog zSecure data sets in a user catalog. You can use an existing user catalog or create a new one. In this way, you can easily access the software from multiple z/OS images by adding an alias to that user catalog. The TSO command to create an alias to that user catalog is:

```
DEFINE ALIAS (NAME('your-high-level-qualifier') REL('your-user-catalog'))
```

Without an alias defined, the installation jobs can run without errors. However, all data sets are cataloged in the master catalog of the z/OS image where you run the installation jobs.

---

## Space planning

For programming and space requirements, see the following zSecure program directories:

- The zSecure Admin RACF-Offline component has its own program directory:  
*Program Directory: IBM Security zSecure Admin RACF-Offline.*
- All other CARLa-driven components of zSecure have a common program directory: *Program Directory: IBM Security zSecure CARLa-Driven Components.*

These program directories are available with the product and online in the IBM Security zSecure Knowledge Center. See [http://www.ibm.com/support/knowledgecenter/SS2RWS\\_2.2.1/com.ibm.zsecure.doc\\_2.2.1/welcome.html](http://www.ibm.com/support/knowledgecenter/SS2RWS_2.2.1/com.ibm.zsecure.doc_2.2.1/welcome.html).

For Common Data Provider for z Systems (CDP), see Planning for installation and configuration of Common Data Provider for z Systems that is available in the IBM Knowledge Center for IBM Common Data Provider for z Systems.

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## Chapter 4. Installation of the software

You can install zSecure software using one of the following methods:

- **Formal installation.**

When you use formal installation:

- You have full control over the SMP/E RECEIVE, APPLY, and ACCEPT jobs.
- You can install zSecure in existing global and product zones.

For formal installation, follow the instructions provided in the *Program Directory: IBM Security zSecure CARLa-Driven Components*.

- **Fast installation.**

This method runs most of the installation process in a single job, CKRZINST, rather than running the SMP/E RECEIVE, APPLY, and ACCEPT jobs separately. Fast installation installs the product in **new** global and product zones.

**Note:** The fast installation method does not support installing Security zSecure Admin RACF-Offline. If you intend to use the RACF-Offline function, use the formal installation method. For information about installing RACF-Offline, see Chapter 11, “Setup of RACF-Offline,” on page 83.

- **Installation as part of System Pack, Server Pack, or CBPDO.**

If you install zSecure using a System Pack, Server Pack, or CBPDO, follow the instructions provided with the package. Do **not** use the instructions in the *Program Directory: IBM Security zSecure CARLa-Driven Components*. After installing zSecure, you must have a copy of the installation library to perform post-installation activities.

For installation instructions, see the following information:

- For formal installation, see the *Program Directory: IBM Security zSecure CARLa-Driven Components*.
- For fast installation, see “Installation with the fast method.”
- For installation as part of System Pack, Server Pack, or CBPDO, see “Installing with a System Pack, Server Pack, or CBPDO” on page 10.

---

### Installation with the fast method

The fast installation method installs zSecure in new global and product zones. It runs the RECEIVE, ACCEPT, and APPLY steps from a single job. You can install from a single installation media or from several types of installation media.

This method uses the zSecure-supplied installation jobs in the CKRINST library to customize the installation parameters and install the software. These jobs are also used to perform post-installation tasks. Instructions for obtaining these jobs are included in the following procedures.

- “Installing from a single installation media” on page 10
- “Installing from multiple installation media” on page 10

## Installing from a single installation media

### Procedure

1. Create a copy of the CKRINST installation library. See “zSecure-supplied installation jobs” on page 11.
2. Customize the installation parameters in the CKRINST library. See “Customization of the installation parameters” on page 12.
3. If you are installing from DASD, adjust the SMPPTFIN DD statement in the RECEIVE step as described in the *Supplemental Installation Instructions for Performing an SMP/E Installation from DASD* document, which you can obtain from IBM Software Support.
4. Run the CKRZINST job found in the CKRINST installation library created in step 1.

## Installing from multiple installation media

### About this task

If you received multiple installation source media, each containing one product, combine the source media so that you can install the products in shared libraries rather than installing each source media into a separate library.

### Procedure

1. Using any of the tapes (or download files), create and customize the CKRINST library. See “zSecure-supplied installation jobs” on page 11.
2. Customize the installation parameters in the CKRINST library. See “Customization of the installation parameters” on page 12.
3. Run job CKRZINST from the CKRINST library up to and including the RECEIVE job step.
4. RECEIVE all the other tapes or receive the download files into the SMP/E zone that job CKRZINST created in step 3.

If the system issues any already received messages, you can ignore them.

5. After everything is received, run the remainder of job CKRZINST: specify RESTART=ALLOCT on the JOB statement and resubmit the job.

---

## Installing with a System Pack, Server Pack, or CBPDO

### About this task

If you install zSecure using a System Pack, Server Pack, or CBPDO, follow the instructions provided with the package you selected. Do not use the instructions in the *Program Directory: IBM Security zSecure CARLa-Driven Components*.

You also need a copy of the zSecure-supplied sample jobs for post-installation activities. These jobs are found in the SCKRSAMP library installed with the product. See the instructions for copying these jobs in the following procedure.

To install zSecure from a System Pack, Server Pack, or CBPDO:

### Procedure

1. Follow the instructions provided with the package to install the software.

If you are installing from DASD, adjust the SMPPTFIN DD in the RECEIVE step. For instructions, see the *Supplemental Installation Instructions for Performing an SMP/E Installation from DASD*, which you can obtain from IBM Software Support.

**Note:** If you received multiple zSecure components in separate packages (for example, zSecure Admin and zSecure Visual, each in a separate CBPDO), complete the following steps to combine the source and install the product.

- a. Run the installation job up to and including the RECEIVE job step.
- b. RECEIVE all the other tapes or download the files into the SMP/E zone created by the installation job.

If the system issues any already received messages, you can ignore them.

- c. After everything is received, run the remainder of the installation job by specifying RESTART=ALLOCT on the JOB statement and resubmitting the job.
2. Obtain a copy of the zSecure-supplied sample jobs by copying the SCKRSAMP library installed with the product to a new data set. For the data set name, use the default low-level qualifier CKRINST.
3. Customize the installation parameters used for post-installation activities. See “Customization of the installation parameters” on page 12.

---

## zSecure-supplied installation jobs

Both the formal and fast installation methods use the zSecure-supplied installation jobs. These jobs are provided to help you install and set up the software. You can customize these jobs to specify the naming convention for your data sets, JOB statement requirements, and other items. You can obtain the sample installation jobs in either of the following ways:

- Directly from the tape.
- By performing an SMP/E RECEIVE and then copying the jobs from IBM.HCKR221.F1 to a work data set for editing and submission.

The following job provides the JCL for either method. You can download a sample of this job from [http://www.ibm.com/support/knowledgecenter/SS2RWS\\_2.2.1/com.ibm.zsecure.doc\\_2.2.1/landing/samples.html](http://www.ibm.com/support/knowledgecenter/SS2RWS_2.2.1/com.ibm.zsecure.doc_2.2.1/landing/samples.html).

Figure 1. Sample JCL to obtain the zSecure-supplied installation jobs

```
//STEP1 EXEC PGM=IEBCOPY
//SYSPRINT DD SYSOUT=*
//* //TAPEIN DD DSN=IBM.HCKR221.F1,UNIT=tunit,
// * //          VOL=SER=volser,LABEL=(x,SL),
// * //          DISP=(OLD,KEEP)
//* //FILEIN DD DSN=IBM.HCKR221.F1,UNIT=SYSALLDA,DISP=SHR
//OUT DD DSN=your-prefix.CKRINST,
//          DISP=(NEW,CATLG,DELETE),
//          VOL=SER=dasdvol,UNIT=SYSALLDA,
//          SPACE=(TRK,(30,5,15))
//SYSUT3 DD UNIT=SYSALLDA,SPACE=(CYL,(1,1))
//SYSIN DD *
COPY INDD=xxxxIN,OUTDD=OUT
```

Before submitting this job, make the following updates based on your installation requirements:

- Uncomment either the //TAPEIN or the //FILEIN DD statement, depending on your distribution medium, and delete the other statement. Then, in the SYSIN DD statement, change the value of the INDD parameter from xxxxIN to TAPEIN or FILEIN, depending on which statement you specified.
- Add a job card and change the lowercase parameters to uppercase values to meet your requirements before submitting.
- For the OUT DSNAMES, specify the high-level qualifier for the output data set name. The default low-level qualifier is CKRINST. Keeping the default qualifier is a good idea because it is the name used to refer to this data set throughout the zSecure documentation.

---

## Customization of the installation parameters

The global update process updates the values of the parameters used in CKRINST members such as the CKRZINST fast installation job and formal installation jobs like CKRZREC, CKRZAPP, and CKRZACC. The installation parameters are also used by the post-installation job CKRZPOST and later jobs. Customizing the parameters before installing the software or before performing post-installation activities saves time because you can update the parameter values across the CKRINST members rather than editing individual members within the library. Table 2 lists the CKRINST members required to customize and update the installation parameters.

*Table 2. CKRINST library members: Installation jobs for customizing and updating the installation parameters*

| CKRINST member | Description   |
|----------------|---|
| CKRZUPDI       | This member specifies values for the installation parameters used in the formal and fast installation jobs and the post-installation job CKRZPOST, including parameters that determine which zSecure components are installed, as well as the data set naming conventions for zSecure software, and configuration data sets. Edit this job to customize these parameter values for your installation. |
| C2RIISPF       | This member specifies the location of ISPF components required by zSecure for tasks such as updating the CKRINST library and using the Change Tracking function. Edit this job before running the global update job CKRZUPDZ.   |
| CKRZUPDZ       | This update job performs a global update of the CKRINST library. Run this job to apply the changes made in the CKRZUPDI member.   |

Use the following procedures to customize the installation parameters and update the installation jobs in CKRINST:

- “Updating the installation parameters in the CKRZUPDI member”
- “Specifying the location of ISPF components in C2RIISPF” on page 14
- “Running CKRZUPDZ to update the CKRINST library members” on page 15

## Updating the installation parameters in the CKRZUPDI member

### About this task

You can update the job card, installation parameters, and JCL for zSecure jobs from the CKRZUPDI member provided in the CKRINST library.

```

//***** Jobcard updates *****
Jobcard1=//JOBNAME JOB ACCT,ZSECURE,MSGCLASS=A,TIME=60,USER=,
Jobcard2=// NOTIFY=&&SYSUID
Jobcard3=//*JOB3
//***** JCL updates *****
TapeUnit = 3480
PrefixForTargetLibraries = CKR
VolumeForTargetLibraries =
PrefixForDistributionLibraries = CKR.DLIB
VolumeForDistributionLibraries =
JclLib = Yes
SmpeTargetZone = CKR221T
SmpeDistributionZone = CKR221D
PrefixForSmpeGlobalZone = CKR.SMPE.G
PrefixForSmpeOtherData = CKR.SMPE
SmpeCsiAndSmptlibVolume = SMS001
//***** Products/features to install *****
AdminRACF = No
AuditRACF = No
AuditACF2 = No
AuditTopSecret = No
AlertRACF = No
AlertACF2 = No
VisualRACF = No
QRadarAdaptersRACF = No
QRadarAdaptersACF2 = No
QRadarAdaptersTopSecret = No

```

Figure 2. CKRZUPDI member and JCL updates

Complete the following steps to modify the installation parameters with the values required for your installation. None of the parameters are case-sensitive.

## Procedure

1. Modify the job parameters to be added to all installation jobs

```

Jobcard1=//JOBNAME JOB ACCT,ZSECURE,MSGCLASS=A,TIME=10,USER=,
Jobcard2=// NOTIFY=&&SYSUID
Jobcard3=//*JOB3

```

2. Specify the esoteric or generic unit name for tape units.

If you are installing from DASD, leave this parameter as is. Instead, adapt job CKRZINST as described in “zSecure-supplied installation jobs” on page 11.

```
TapeUnit = 3480
```

3. Specify the high-level qualifiers for the data sets where Security zSecure will be installed. If you want to distribute, make this prefix different from the other prefixes so that you can easily use PrefixForTargetDatasets to select the data sets that qualify for Distribution.

```
PrefixForTargetLibraries = CKR
```

4. Set the volume serial for the IBM Security zSecure target libraries. If you leave this parameter blank, the system selects the volumes.

```
VolumeForTargetLibraries
```

5. Specify the high-level qualifiers for the IBM Security zSecure distribution libraries.

```
PrefixForDistributionLibraries = CKR.DLIB
```

6. Set the volume serial for the IBM Security zSecure distribution libraries. If you leave this parameter blank, the system selects the volumes.

```
VolumeForDistributionLibraries =
```

7. Enable the JCLLIB statement parameter.

```
JclLib = Yes
```

If this parameter is set to Yes, a JCLLIB statement for the SCKRPROC data set is embedded in the jobs in the CKRJOBS data set. The CKRJOBS data set is created during the customization job CKRZPOST.

If you intend to include the SCKRPROC data set and the data set that contains configuration members in the procedure library concatenation of your JES, the JCLLIB is not needed and you can specify No.

8. If necessary, specify the names of the SMP/E Target and Dlib zone. Normally, you do not need to change the default values.

```
SmpeTargetZone           = CKR221T
SmpeDistributionZone     = CKR221D
```

9. If necessary, change the default values for the high-level qualifiers for SMP/E related data sets. Normally, you do not need to change these values.

```
PrefixForSmpeGlobalZone = CKR.SMPE.G
PrefixForSmpeOtherData  = CKR.SMPE
```

10. Specify the volume serial for SMP/E CSI data sets and SMPTLIB. This parameter is ignored if you install into an existing SMP/E zone. If you use new zones, the parameter is required because SMP/E and IDCAMS require it. Depending on your SMS configuration, the value that you specify might or might not be used.

```
SmpeCsiAndSmptlibVolume = SMS001
```

11. Select the products or features to be installed. To install a product or feature, change the value for the corresponding parameter to Yes.

```
AdminRACF                = No
AuditRACF                 = No
AuditACF2                 = No
AuditTopSecret            = No
AlertRACF                 = No
AlertACF2                 = No
VisualRACF                = No
QRadarAdaptersRACF       = No
QRadarAdaptersACF2       = No
QRadarAdaptersTopSecret  = No
```

## Specifying the location of ISPF components in C2RIISPF

### About this task

The process to update the installation parameters in the CKRINST library requires ISPF services such as tables and messages. In zSecure, the location of the ISPF components is defined in the installation member C2RIISPF. The following default ISPF data set names are included in C2RIISPF:

```
ISPMLIB  ISP.SISPMENU
ISPPLIB  ISP.SISPSENU
ISPPLIB  ISP.SISPPENU
ISPTLIB  ISP.SISPTENU
```

Edit these default values to specify the ISPF data set names used in your data center. The following guidelines describe common variations of these data set names:

- The high-level qualifier of the data sets can be ISP instead of SYS1.
- Some installations use a middle qualifier that identifies the level of their ISPF product; for example, V5R2M0.
- The low-level qualifier of some ISPF data sets often reflects the national language. For example, the panel library can have low-level qualifier SISPPENU for (American) English.

## Procedure

To update the ISPF data set names for your installation:

1. Review the ISPF components currently in use on the system, run the following command from the ISPF command line:  
TSO ISRDDN
2. Identify the data sets that contain the base ISPF product (as opposed to other products that exploit ISPF, such as SDSF, or local software that might be allocated to your TSO session). You can recognize these data sets through the presence of the following members:
  - In ISPTLIB: ISPCMDS, ISPPROF, ISPSPROF, and ISRKEYS.
  - In ISPMLIB: ISPP00, ISPP02, ISPP10, ISPP20, ISPP32, ISPV01, ISRD23, ISRE00, ISRE64, ISRE65, ISRE70, and ISRLS12.
3. Edit the installation member C2RIISPF to update the ISPF data set names used at your data center. Only the base ISPF product is required. If your ISPLOAD and ISPLPA data sets reside in the link list and LPA list, respectively, you do not need to include them. Otherwise, uncomment and adapt the STEPLIB and ISPLLIB DD statements in C2RIISPF.

To avoid enqueue conflicts, do not specify any other data sets in C2RIISPF. In particular, do not allocate a permanent ISPF profile data set.

## Running CKRZUPDZ to update the CKRINST library members

### About this task

After updating the installation parameters in member CKRZUPDZI and the ISPF data set names in C2RIISPF, review and run job CKRZUPDZ to perform a global update of the installation members.

## Procedure

1. Run CKRZUPDZ in check mode.

If any of the following types of errors occur, correct them:

- C2R8xxxx messages. These messages are described in the *IBM Security zSecure: Messages Guide*.

- RC=990; ISPP100 Panel 'C2RPUPDP' error -/-Panel not found

This error is caused by deleting the IBM Security zSecure installation library from the ISPLLIB concatenation. The update process uses the IBM Security zSecure-supplied panel C2RPUPDP. The panel is never displayed, but its presence is required for job C2RZUPDZ.

- The following errors:

- Abend 04C; message ISPI021 Unrecoverable error in initialization of command tables
- RC=990; ISPV010 Profile not loaded -/-Profile table 'ISPPROF' not read. Table service RC=8
- RC=990; ISRxxxx -/-ISRxxxx message not found in 'ISPMLIB' library.

These errors are caused by not specifying the correct ISPF data sets in member C2RIISPF. For information about specifying the correct data sets, see “Specifying the location of ISPF components in C2RIISPF” on page 14.

2. After running CKRZUPDZ successfully in check mode without any errors, run the job again in update mode.

**Important:** Running CKRZUPDZ multiple times in update mode is not supported and can result in corrupting the JCL. If you must update the installation members again after the first update, save both the CKRZUPDI and C2RIISPF members. Recreate the CKRINST data set. Then, run the CKRZUPDZ job again.

---

## Chapter 5. Activation of the product and customization of the configuration data sets

After installing zSecure, the zSecure target libraries and distribution data sets are available in the SMP/E-managed data sets created during installation. For example:

- If you used the default data set naming convention, the target libraries are in data sets that start with the high-level qualifier CKR, and the distribution libraries are in data sets that start with the high-level qualifier CKR.DLIB.
- If you specified your own data set naming conventions, the libraries are available in data sets that start with the high-level qualifier you specified.

You can find a complete list of the DDNAMES for the target and distribution libraries in the *Program Directory: IBM Security zSecure CARLa-Driven Components*.

After you install zSecure, perform the following tasks to activate the product and customize the configuration data sets for your installation:

- “Distribution of zSecure data sets to additional z/OS images”
- “Enablement of license features” on page 18
- “APF authorization of the software” on page 18
- “Making the software available to TSO/ISPF users” on page 20
- Chapter 6, “Deployment of the software,” on page 23

---

### Distribution of zSecure data sets to additional z/OS images

After installing the zSecure software on one image, you can distribute the associated data sets to other z/OS images where you want to run it. If you want to run zSecure from the data sets where you installed it, you can skip this procedure.

You can distribute and run the zSecure software on multiple z/OS images, if these systems have access to the volume where you installed the product. Verify that the following configuration is available.

- The DASD volumes where the software is installed must be online for the z/OS images targeted for the software distribution. The volume that contains the catalog where the zSecure data sets are cataloged must also be accessible from these images.
- The user catalog for the zSecure data sets must be connected to the master catalogs of your other z/OS images, with a correctly defined alias.

**Note:** Before distributing, read Appendix A, “Site module,” on page 173. If you decide to perform the optional step to customize the Site module, you can do so in either of the following ways:

- Customize the Site module before distributing the zSecure configuration data sets so that the same customization is copied to all images.
- Customize the Site module for each image separately after distribution.

For distribution, the actual data set names that you run the software from are usually different from the ones you installed into. For some sites, the data sets to run from also have different names on each image. If you change data set names,

use the new names in your configurations and set up data set security. You can use any tool that fits in your storage management policy to copy the data sets. Only the target data sets are distributed.

Do not distribute the configuration data sets themselves because they might contain image-dependent data. For more information about configurations, see “About zSecure configuration data sets” on page 23.

---

## Enablement of license features

### About this task

For each z/OS image where you plan to install zSecure, but where you want to disallow use of certain features, update the parmlib member IFAPRDxx to disable such licensed features.

### Procedure

1. Copy the required PRODUCT statements for zSecure enablement from SCKRSAMP library member CKRZPROD.
2. Paste the statements in the IFAPRDxx member of the active data set for each z/OS image.
3. Update the STATE parameter for each product to reflect the enablement policy for the z/OS image.  
If the IFAPRDxx member does not explicitly specify the STATE for a zSecure licensed feature, the feature is enabled.

---

## APF authorization of the software

For most purposes, the program object library containing zSecure must be APF authorized. APF authorization affects zSecure components in the following ways:

- zSecure Collect can access relevant information
- The following components work only if they are run with APF authorization.
  - The CKGRACF program, a component of zSecure Admin and zSecure Visual
  - zSecure Alert
  - The zSecure Command Execution Utility CKX
  - Access Monitor, an optional function of zSecure Admin
  - The RACF Exit Activator used by zSecure Audit, Alert, and Access Monitor for zSecure Admin
  - The zSecure Server
- The CKRCARLA program and the zSecure Audit functions can run without APF authorization. However, with this configuration:
  - You cannot directly issue commands.
  - Using a CKFREEZE data set that was created by a non-authorized zSecure Collect program produces incomplete results.
- The data set that contains the ERBSMFI program (by default, SYS1.SERBLINK) must be APF-authorized. The ERBSMFI program itself does not need APF, but zSecure Collect invokes this program, which is not allowed when ERBSMFI resides in a non-APF library. In many installations, SYS1.SERBLINK is part of the linklist and as such APF-authorized, but when the safer LNKAUTH=APFTAB setting is in effect, you must explicitly include SYS1.SERBLINK, or its local equivalent, in the APF-list.

## TSO and ISPF command tables for zSecure Admin

To run CKGRACF, a component that is used by zSecure Admin and zSecure Visual, update the TSO and ISPF command tables as described in the following sections:

- “Updating the TSO command table”
- “Updating the ISPF TSO Command Table”

### Updating the TSO command table Procedure

1. Add the CKGRACF program name to the authorized AUTHCMD and AUTHPGM tables in the SYS1.PARMLIB member IKJTSOxx. Optionally, you can also add the CKGRACF program name to the AUTHTSF table. Failing to include CKGRACF in the TSO Authorized command table can result in messages CKG905I, CKR962F, or CKX962F.

Figure 3 shows a sample of the AUTHCMD NAMES table with the CKGRACF update.

```
/* IKJTSO00: TSO command tables          */
/*                                         */
AUTHCMD NAMES(                          /* Authorized commands: */ +
      CKGRACF                            /* zSecure Admin        */ +
      RECEIVE                            /* TSO base             */ +
      XMIT      TRANSMIT                  /*                       */ +
      LISTB     LISTBC                    /*                       */ +
      LISTD     LISTDS                    /*                       */ +
      SE        SEND                      /*                       */ +
      RACONVRT  /*                       */ +
      IRRDPI00 /*                       */ +
      CONSOLE  CONSPROF                  /*                       */ +
      SYNC     /*                       */ +
      TESTAUTH TESTA                      /*                       */ +
      PARMLIB) /*                       */ +
```

Figure 3. Sample SYS1.PARMLIB member IKJTSOxx

2. After updating the table, apply the updated version of IKJTSOxx using the TSO command PARMLIB UPDATE((xx). An IPL is not required.

Alternatively, you can add the CKGRACF program name using the CSECTs IKJEFTE2, IKJEFTE8, IKJEFTAP, and IKJEFTNS. For more information, see the *TSO/E Customization* documentation (SA32-0976).

### Updating the ISPF TSO Command Table About this task

If CKGRACF is run from ISPF, its use is logged in the SPFLOGx.LIST by default. The log might include passwords. To prevent this data from being logged, update the ISPF TSO Command Table to include an ISPTCM entry. After adding the entry, you must reassemble the ISPTCM table to apply the changes.

#### Procedure

1. In the ISPF TSO command table, add the ISPTCM entry and specify the value for the FLAG:
  - Set bit 2 to indicate authorized command.
  - Set bit 3 to disable logging.
  - Set bit 6 for the command processor.

Bits are numbered from left to right, with the leftmost bit zero. The bits mentioned add up to 50 (decimal) or X'32' (hexadecimal).

Figure 4 shows a sample ISPTCM entry.

```
* HEADER
*
      ISPTCM HEADER
*
* ONE ENTRY TYPE CALL FOR EACH COMMAND IN THE TCM.
* IT IS NOT REQUIRED THAT THE ENTRY NAMES BE IN ALPHABETIC ORDER
*
      ...
* OWN ENTRIES
      ISPTCM FLAG=32,ENTNAME=CKGRACF TSO COMMAND, AUTH, NOLOG
* END CARD. STATEMENTS AFTER THIS CARD WILL BE IGNORED
      ISPTCM END
```

Figure 4. Sample ISPTCM table

2. Activate the new ISPTCM entry using one of the following methods.
  - If the ISPTCM is located in a STEPLIB, exit and reenter ISPF to apply the changes.
  - If the ISPTCM is located in the link list, issue the operator command F LLA,REFRESH to apply the changes.
  - If the ISPTCM is located in the LPA, IPL the system using the CLPA parameter to refresh the ISPTCM data.
3. After you apply the changes, test the new ISPTCM by including it in a STEPLIB or ISPLLIB.

For more information about ISPTCM, see the *ISPF and ISPF/PDF Planning and Customizing* manual (GC19-3623).

---

## Making the software available to TSO/ISPF users

If another version of zSecure is already installed on your z/OS images, you can continue using any copies of the zSecure REXX CKR program specified in the default SYSEXEC or the SYSPROC concatenation of your TSO/ISPF users. (CKR was previously called C2R.) By reusing existing copies of the CKR program, you can retain any custom logic and references to your site-specific zSecure configuration files. For that reason, the copy in your CKRPARM data sets is not upgraded automatically.

To ensure compatibility with the current zSecure release, examine the current version of the shipped CKR available in the SCKRSAMP library to determine whether you must copy any new logic into your existing CKR copies.

Change data set references to point to the new zSecure data sets.

- If you have used release-dependent data set names as the target for the zSecure distribution, change the value of the CPREFIX parameter in all copies of CKR and in all zSecure configurations to point to the data sets where the new zSecure resides.
- If you have set up release-independent aliases, redefine the aliases to point to the new zSecure data sets.

- If you have never used zSecure before, copy and adapt member CKR into a data set that is in the standard SYSEXEC or SYSPROC concatenation of your TSO/ISPF users.

A customized version of the CKR REXX that uses the data sets you specified is available in the CKRPARM data set after you run job CKRZPOST. For information, see “Creating zSecure configuration data sets” on page 25. The required modification is described under “Assignment of configurations to TSO/ISPF users” on page 27.

Use the ISPF/PDF editor to copy the CKR REXX. In the ISPF/PDF editor, the copy is saved in the same format (fixed-blocked or variable-blocked) as your SYSEXEC or SYSPROC data set. See Appendix E, “Configuring the ISPF interface,” on page 197.

If the zSecure configuration does not use LIBDEF, you must make the ISPF components available in a different way. For example, you might include them in the TSO logon procedure.

---

## Making the software available for batch processes

To run programs in batch or as a started task, use the zSecure-supplied JCL procedures described in the *User Reference Manual*. These procedures allocate the data sets where the software is installed. Allocation is done using the CPREFIX parameter in either the configuration member C2R\$PARM or a custom copy of that member.

You can run programs in batch using any of the following methods.

- Run the procedures directly from the zSecure-shipped SCKRPROC data set.
- Embed the SCKRPROC data set in your system proclib concatenation.
- Copy the procedures to your system proclib concatenation.

The system proclib provides an advantage because you must update only one place to apply changes for all JCL. However, the disadvantage is that only one version of a procedure can be effective at a time. For example, when using a shared proclib, you cannot upgrade your images one at a time.

For batch jobs, make the zSecure-supplied procedures available through the JCLLIB statement. Typically, your JCLLIB statement first specifies the data set that contains your configurations followed by the zSecure-supplied SCKRPROC data set. See “Assignment of configurations to batch jobs and started tasks” on page 28.

However, for started procedures (unlike started jobs), z/OS does not support JCLLIB. As a result, you must copy some members from SCKRPROC to a data set that is part of your JES proclib concatenation.

- Do **not** include most procedures, and especially the ones that use C2RC, in your proclib concatenation:
  - C2RC requires the following members. These members might be customized and are dependent on the parameters you specify:
    - C2RI0CMD
    - C2RI0IOC
    - C2RI0SMF
    - C2RI0UNL
    - C2RI1CMD
    - C2RI1IOC

- C2RI1SMF
- C2RI1UNL

Normally these customized members are included from your configuration data set, rather than from SCKRPROC.

- You might have multiple zSecure configuration data sets, each with its own versions of these customized members, while the standard JES proclib concatenation can have only one version effective.
- zSecure Alert and the Access Monitor for zSecure Admin must run as started tasks. If you use either of these components, copy the following procedures:
  - C2POLICE and C2PCOLL for Alert
  - C2PACMON for Access Monitor

Procedure C2PRECI is also part of zSecure Alert, but this procedure is normally run as batch job. Do not run this procedure as a started task because it internally uses procedure C2RC.

- The zSecure Server is usually operated as a started task, although it is not required. If you use this component, copy procedure CKNSERVE.
- zSecure Visual is usually operated as a started task, although it is not required. If you use this component, copy procedures C2RSERVE, C2RSLOG, and C2RSTOP.

When copying procedures to your system proclib, you can also modify the procedures if required. For example, you might want to change the CONFIG=C2R\$PARAM value, which zSecure ships as a default, to the value that represents your own configuration member. In particular, when using a shared proclib among z/OS images, consider using a system symbol as the configuration member name or part of the configuration member name. You can then share the procedures and still support having a different configuration for each image.

In addition, the zSecure configurations that are to be used by started procedures or that you want to make available without JCLLIB must reside in a data set that is part of your JES procedure concatenation. See “Assignment of configurations to batch jobs and started tasks” on page 28.

**Note:** Copying members from SCKRPROC implies that you need to review and possibly update your copies when upgrading zSecure.

---

## Chapter 6. Deployment of the software

In most installations, several z/OS images exist. These images might, for example, separate workloads or isolate development from production. In such environments, it is often desirable to perform the actual software installation process only once and then deploy that software on several images.

To support this method of installing the software once and deploying it on multiple images, in zSecure you can create configuration data sets. These data sets can be used to specify all configuration options for a specific instance of the software in a separate data set. You can also use configuration data sets to specify a new data set name convention for the data sets where the target and distribution libraries are copied during distribution.

You can install a full set of zSecure products and features. After installing, you can use parmlib member IFAPRDxx to specify which products and features are not available on each z/OS image. For example, you might want to disable zSecure Admin and zSecure Audit for RACF on a z/OS image that uses ACF2 as the security manager.

Distribution-oriented installation is supported between images with unlike licenses, or unlike security managers. For example, if zSecure software has already been installed on a z/OS image for RACF, you can use the same software on another z/OS image even if that image uses a different security manager such as ACF2 or Top Secret. In such cases:

1. Perform a distribution to the new image.
2. Create one or more zSecure configuration files to specify the options required to operate zSecure in that environment.

**Note:** Distribution-oriented installation is not supported between z/OS and z/VM. If you want to use zSecure on both z/OS and z/VM platforms, you must install the software separately for each platform.

---

### About zSecure configuration data sets

Configuration data sets represent the zSecure configuration for an image and determine how the software operates on the image. For example, zSecure configuration data sets can specify what zSecure features are available as well as the data set names for the input data sources.

zSecure configuration data sets are the only data sets that are different between images. Using these data sets, you can create configurations for the following purposes:

- Special-purpose configurations for processes such as zSecure Alert, Access Monitor, Compliance reporting, and Visual Server.
- Separate configurations to deploy zSecure on different z/OS images.
- Configurations for user groups that require different input data or that restrict access to specific zSecure components.

zSecure configuration data sets are stored in partitioned data sets that are not part of the installed software. As a result, these data sets are not updated when the software is upgraded or reinstalled; therefore, you can maintain custom configuration settings across upgrades.

Table 3 lists the configuration data sets that can be customized for each deployment.

*Table 3. zSecure configuration data sets*

| Data set name                | Description  |
|------------------------------|--|
| <i>your.prefix</i> .CKACUST  | This data set contains the 'compliant authorized ID population' members used for option AU.R - Rule-based compliance evaluation. This option is only available for zSecure Audit.  |
| <i>your.prefix</i> .CKRPARAM | This data set contains the main configuration member C2R\$PARAM. You can create other configuration members as well.<br><br>The CKRPARAM data set also contains the REXX CKR (adapted to your naming convention). Copy and adapt this member to a SYSPROC or SYSEXEC data set. See "Making the software available to TSO/ISPF users" on page 20. |
| <i>your.prefix</i> .CKRPROF  | ISPF tables. If you intend to use the ISPF transactions under SE.D, specify this data set or a copy of it as the PROFDSN parameter in the C2R\$PARAM member. See "Setup of default options for user groups (Setup menu)" on page 197.  |
| <i>your.prefix</i> .CKRJOB   | IBM-supplied jobs, adapted according to your data set naming convention.   |

These configuration data sets are usually created using the CKRZPOST job available in the CKRINST library or the SCKRSAMP library. This job allocates, fills, and updates the CKRPARAM and CKRJOB data sets. It also allocates an empty CKRPROF data set that can be used to customize the ISPF interface.

The CKACUST data set is created and filled with job CKAZCUST available in the the CKRINST library or the SCKRSAMP library.

In the documentation, the configuration data sets are usually referenced by the low-level qualifier (for example, CKRJOB). You can choose any data set names you like, but retaining the default low-level qualifier reduces your need to adapt configurations or override JCL. Each deployment has its own value for *your.prefix*. Use one of the following ways to supply your own value for the prefix:

- Edit the zSecure installation job CKRZPOST.
- Specify values in the C2\$PARAM member of your installation library.

Member C2R\$PARAM is the default starting point of a configuration. To be usable both in the batch and the ISPF interface, this member uses JCL SET statements, which the ISPF interface interprets. See the following example:

```
// SET CPREFIX='CKR'
// SET VOLSER=
// SET PROFDSN='CKR.IP01.CKRPROF'
// SET SYS=IP01
```

See Appendix D, "Configuration parameters and members," on page 189 for the full syntax of the configuration member.

Usually, you create new zSecure configurations only when you are performing the following tasks.

- Installing zSecure for the first time.
- Setting up zSecure on a new z/OS image.
- Setting up a special-purpose configuration for processes such as Access Monitor.
- Setting up zSecure for a new user community.

zSecure provides a sample configuration that you can use in SCKRSAMP(C2R\$PARM). However, this sample is intended for only the most basic installation scenario: installing a single z/OS image without distributing the software or customizing the configuration.

To configure zSecure for different z/OS images or different user groups, create configurations for these z/OS images or user groups. For information, see “Creating zSecure configuration data sets.” If you are upgrading to a new version or installing zSecure again, see “Maintenance of existing zSecure configuration data sets” on page 27.

The following sections provide information about how to create configurations for different z/OS images or different user groups such as RACF administrators and RACF auditors. See the following information:

- “Creating zSecure configuration data sets”
- “Customization of zSecure configuration data sets” on page 26
- “Maintenance of existing zSecure configuration data sets” on page 27
- “Assignment of configurations” on page 27

---

## Creating zSecure configuration data sets

### About this task

You can create zSecure configurations for different z/OS images or different user groups such as RACF administrators and RACF auditors as described in this procedure.

**Note:** You can also create special-purpose configurations for zSecure components such as zSecure Alert, zSecure Admin, Access Monitor, and Visual Server. For information about creating configurations for these components, see the setup documentation for each component.

### Procedure

1. Review “About zSecure configuration data sets” on page 23 to learn about the zSecure configuration data sets and the zSecure-supplied jobs used to manage them.
2. (Optional) Set up the global update members CKRZUPDI and C2RIISPF.  
The values used to create the zSecure configuration data sets using the CKRZPOST post-installation job are based on the values specified in the global update member CKRZUPDI and C2RIISPF. If you did not run global update during the installation process, do so before running job CKRZPOST. See “Customization of the installation parameters” on page 12.
3. Select the high-level qualifier for the zSecure configuration data sets. Remember that the naming convention that you establish for the actual software might not be the best choice for the configuration data sets. Instead, consider using a high-level qualifier that indicates the z/OS image and group of users for which

the configuration data sets are intended. Because your configuration data sets are supposed to persist across zSecure upgrades, do not embed a qualifier in the data set name that represents a version or release.

4. Follow the instructions in the CKRZPOST job to customize the job for your installation. Make sure to update the following parameters:

#### **INSTLIB**

Specify the high-level qualifier for the zSecure installation library data sets where the zSecure software runs.

#### **YOURPFX**

Update the parameter with the high-level qualifier you want to use for the zSecure configuration data sets created using CKRZPOST.

If you do not change the default value for YOURPFX, then the configuration data sets created using the CKRZPOST job use *your.prefix* as the high-level qualifier. CKRPROF does not supply the prefixes because you can create multiple configurations for a single copy of the installed software.

Comment out the DD-statements for the configuration data sets that do not require customization.

5. Run CKRZPOST to create the zSecure configuration members.  
If you have run job CKRZUPDZ earlier during installation, job CKRZPOST might end with a return code of 4 because some data set updates were already completed during the CKRZUPDZ run. You can ignore this return code.
6. Create configurations for individual user communities or z/OS images. See “Customization of zSecure configuration data sets.”
7. Optional: Only for zSecure Audit users that use option AU.R - Rule-based compliance evaluation. Update job CKAZCUST following the comments in the JCL and submit job to create the CKACUST library. For every new release, run the job CKAZCUST to create all members expected by AU.R that do not exist yet; the job will not touch members that already exist. The members created will contain empty lists.

---

## **Customization of zSecure configuration data sets**

After you have created the zSecure configuration data sets, you can customize the members and create copies to be used for different user communities or different z/OS images. For example, to configure zSecure to be used by different groups of users such as RACF administrators and RACF auditors on the same image, create copies of member C2R\$PARM. Then, configure each member separately. When copying and configuring the members, the following rules and guidelines apply:

- Do not use member names that begin with C2R or CKR.
- For zSecure Admin users, all configuration members within the same data set share the C2RSMUMA, C2RSMUMH, and C2RSMUMP members that specify zSecure Admin settings used to create new RACF userids. See “Configuring zSecure Admin to create new userids in the RACF database” on page 209. To specify different values for these members:
  1. Copy the entire CKRPARM data set to the system from which you want to run zSecure Admin.
  2. Update the CKRPARM members as required.
- You can create multiple copies of CKRPROF to customize the ISPF interface for different z/OS images or different user communities.

- The CKRJOBS data set is intended to be further customized. For example, you might specify different configuration members depending on the environment where each job is to run. For this reason, consider creating multiple copies of the CKRJOBS data set.
- For zSecure Audit users that use option AU.R - Rule-based compliance evaluation: Remove the comment from the SET CKACUST parameter and update the data set name.

---

## Maintenance of existing zSecure configuration data sets

The zSecure configuration data sets are customer assets. They are stored in partitioned data sets that are not part of the installed software. For example, when you run Setup Default (SE.D), any customized interface settings are written to your.prefix.SCKRPROF. Because the zSecure installation process does not automatically update these data sets, you can maintain the configuration settings across upgrades.

If you are upgrading to a new version, start with your existing configuration data sets or copy the configuration data sets from a previous release. Then, manually compare these configurations against the C2R\$PARM member in the SCKRSAMP library to decide whether any new parameters are applicable. The same applies to PROFDNS data sets, as explained in “Setup of default options for user groups (Setup menu)” on page 197.

If the sample configuration data sets exist, they have probably already been customized for your installation environment. To ensure that the zSecure configuration data sets are up to date, manually compare your configuration against the SCKRSAMP C2R\$PARM member to determine whether you must update your existing zSecure configuration data.

---

## Assignment of configurations

After you have created the zSecure configurations required for your installation, assign the configurations to TSO/ISPF users, batch jobs, and started tasks so that they are available for users and system processing. You must also establish security for each configuration to control access to product functions and data. For instructions, see the following sections.

- “Assignment of configurations to TSO/ISPF users”
- “Assignment of configurations to batch jobs and started tasks” on page 28
- Appendix B, “Security setup for zSecure,” on page 175

### Assignment of configurations to TSO/ISPF users

Configurations are assigned by the copy of the REXX exec CKR that is used to start the ISPF interface. The CKR exec starts C2REMAIN using the configuration data set name and member name as parameters. You can create a different copy of CKR for each z/OS image and for each group of users. Alternatively, you can adapt your copy of the CKR REXX to dynamically select the configuration and to pass parameters that override the configuration member.

For example, you can create a CKGHELP REXX exec, intended for simple administrative tasks, that adds only the overriding parameter STARTTRX(MENU(RA.H)). This exec would correspond to the single-panel HelpDesk options. Similarly, you can create a CKRQ REXX exec, intended for simple administrative tasks, that adds only the overriding parameter

STARTTRX(MENU(RA.Q)). This configuration provides users with access to the Quick User Administration option described in the *IBM Security zSecure Admin and Audit for RACF: User Reference Manual*.

## Assignment of configurations to batch jobs and started tasks

- Jobs are submitted by zSecure ISPF transactions inherit the software location (SCKRLOAD and SCKRSAMP) from the TSO session. When applicable, the transaction can generate NJE routing and system affinity. The user might be prompted to specify this information.
- For batch jobs that are not submitted from zSecure panels, specify the configuration data set in the JCLLIB statement and INCLUDE the required member. Often, you must supply NJE routing and system affinity. See Chapter 8, "Setup for production," on page 31.
- You can also create a configuration member, such as C2R\$PARM, in a data set that is part of the procedure library for the Job Entry Subsystem. If like-named configuration members differ across z/OS images, you need system affinity to ensure that the JCL is converted on the same z/OS image where the job is to run. The *MVS™ JCL Reference* documents how to specify system affinity for JES2 and JES3.
- For started procedures, JCLLIB is unavailable. Therefore, whenever you set up a started procedure, you must copy the configuration member it uses to your procedure library.
- Additional customization is described in the *IBM Security zSecure Admin and Audit for RACF: User Reference Manual*.

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## Chapter 7. Verification of the installation

---

### Base ISPF interface functions and menu configuration

Under the ISPF/PDF command option, invoke the REXX you created under “Making the software available to TSO/ISPF users” on page 20 to display the Security zSecure primary menu. The primary menu is configured based on your licenses and authorization, so the menu you see might be different from the one shown in the *User Reference Manual*.

---

### Checking the zSecure Collect function and the base batch operation of zSecure

#### Procedure

1. From the Security zSecure primary menu, type SE.1 (Setup files).
2. On the Setup files panel, remove the selection from all selected input sets.
3. Enter SE.2 (Setup - New files) to create new CKFREEZE and UNLOAD data sets.
4. You are prompted for allocation parameters. As a raw approximation, specify:
  - 2 MB per online DASD-volume for CKFREEZE data sets.
  - The same size as your security database for UNLOAD data sets.
5. Use the REFRESH command to submit a batch job that fills these data sets. Make sure that the job runs under a user id that has sufficient authorization. After the job finishes, examine the output for error messages.

---

### Functions to display reports

After successfully submitting the job to add data to the CKFREEZE and UNLOAD data sets, you can use reporting functions like AU.S, AU.V, and RA.U (according to your license). See the *User Reference Manual* for information about using the reporting functions.

---

### CKGRACF command to verify security resources

**Note:** This procedure is required only if you use IBM Security zSecure Admin.

From TSO line mode, run the following commands:

```
alloc reuse file(system) dataset(*)
ckgracf show myaccess
```

The command results provide a list of security resources, showing your current access level and the profile on which each access level is based.

---

### Verification of ACF2 reporting

**Note:** This step is required only if you use the zSecure Audit for ACF2 component.

To verify that zSecure Audit for ACF2 and ACF2 are in total agreement on the contents of the ACF2 database, run the C2AJIVP job.



---

## Chapter 8. Setup for production

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### SCKRSAMP and SCKRJOBS data sets

As described in “Assignment of configurations to batch jobs and started tasks” on page 28, copy the jobs you need from the SCKRSAMP and SCKRJOBS data sets to a data set of your own, such as a data set that your job scheduling system uses. Do not directly edit in the jobs in SCKRSAMP and SCKRJOBS because these data sets are maintained by SMP/E. If you use more than one z/OS image, editing these data sets also violates distribution-oriented installation.

A customized copy of the SCKRSAMP library, the CKRINST library, is created during the software installation process as described in “zSecure-supplied installation jobs” on page 11.

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### Capacity planning information

The IBM Security zSecure product suite consists of multiple products and components. This topic uses information that was previously available in various manuals and application notes to help you determine the system resources required for running these applications.

#### Introduction

This topic is divided into multiple sections for the different products. Most component sections are split into several subsections for the different types of system resources that are used for a product. The following types of system resources are discussed:

- DASD storage required for storing the data used or created by the program
- Virtual storage required for running the program
- CPU time used by the program
- Network data transport

#### DASD storage

Data storage on DASD (disk) as used by zSecure is mainly for the following types of data:

##### CKFREEZE

This type of data set contains information about the system and resources. This includes many system control blocks, names of data sets and UNIX files, and also (part of) the contents of some data sets. The CKFREEZE data set is created by the zSecure CKFCOLL program. The information is used by many zSecure products.

##### RACF Data

zSecure Admin and Audit need information from a RACF source. This source can be an existing RACF database or an UNLOAD data set. UNLOAD data sets created by the zSecure CKRCARLA program contain a proprietary format snapshot of the RACF database. They are similar in size to the used portion of the RACF database. The RACF-Offline component of zSecure Admin uses copies of the system RACF database. The size of the Offline RACF database is dependent on your usage.

All sensitive fields are always replaced by asterisks (masked) in an UNLOAD file and, therefore, are not reproduced during copy or recreate operations.

#### **ACF2 Data**

zSecure Audit for ACF2 needs information from the ACF2 databases. This can be an existing set of BACKUP databases or an UNLOAD data set. UNLOAD data sets created by the zSecure CKRCARLA program contain a proprietary format snapshot of the ACF2 databases. They are similar in size to the used portion of the ACF2 databases.

#### **SMF Data**

This data is not unique to zSecure. SMF records are created to provide information about the environment and events in the system. They contain information useful for performance, planning, and auditing purposes. Data is collected about many different types of events. zSecure Audit can use SMF data as input to report about historic events in the system.

#### **Access Data**

These data sets contain information about recorded access. They are similar in content to certain types of SMF records. The Access Monitor data sets are created by the zSecure C2PACMON program. The data can be analyzed by zSecure Admin.

The types of data unique to zSecure are the CKFREEZE data sets, security database UNLOAD data sets, and the Access Monitor data sets.

**Different types of CKFREEZE data sets:** The CKFREEZE data sets are created by the CKFCOLL program and used for various purposes. Not all programs that use CKFREEZE data sets need the same amount and same type of information. For this reason, several types of CKFREEZE data sets, shown in the following list, are distinguished. In order to collect all required information, the CKFCOLL program needs to run APF authorized. Limited support is provided for running the program in non-APF mode. For more information about running APF and non-APF authorized, see the chapter about zSecure Collect for z/OS in the *User Reference Manual* for your product.

#### **Full-size**

This type of CKFREEZE data set is created when you do not specify any parameters or selection criteria and if you have a license for zSecure Admin or zSecure Audit. If you have a license only for zSecure Admin, the collection program automatically excludes certain auditing-specific information. The Full-size CKFREEZE data set contains information from all system and user catalogs, backup, migration and tape catalogs, all VVDSs, and all VTOCs. It also contains the directory information from all APF, linklist, lpalib, parmlib, and proclib data sets. This type of CKFREEZE data set also has information about all files in UNIX HFS or ZFS data sets. For zSecure 1.13 and higher, all program and transaction information for all CICS and IMS™ systems is collected. For zSecure 1.13.1 and higher, DB2 subsystem information like tables, packages, and other information is collected.

Other information included in a Full-size CKFREEZE data set is the data needed for detailed system auditing.

Because this CKFREEZE data set has much information, it can be used for all supported auditing and reporting functions. However, because it contains much information, it also has as a disadvantage that it might take

a long time to collect and process all data. Therefore, the Full-size CKFREEZE data set is primarily used only for auditing and full system analysis.

This type of CKFREEZE data set is the default. Creating it requires an Admin or Audit entitlement. No parameters need to be specified.

#### **Full-size without shared DASD**

This CKFREEZE data set has all information as described for the Full-size CKFREEZE file with the exception of catalog, VVDS and VTOC information for volumes that are defined as shared between multiple systems.

Because this type of CKFREEZE data set lacks certain information, use it only in combination with a Full-size CKFREEZE data set. Processing this type of CKFREEZE data set might also take considerable time. It is primarily used for auditing and full system analysis of shared data (sysplex) environments.

Creating this type of CKFREEZE data set requires an Admin or Audit entitlement. The following parameter must be specified:

SHARED=NO

#### **Regular Admin**

This CKFREEZE data set has all information that is needed for regular RACF administration purposes. Most catalog, VVDS, and VTOC information is not included. Information from the MASTER catalog is included, but information about UNIX files and system library contents is absent.

Because this type of CKFREEZE data set has a reasonable size, it can be processed quickly. However, because it lacks information from the user catalogs, it is unsuited for deleting TSO and batch userids. It can be used for copying existing userids, and defining alias entries in the master catalog. The absence of detailed information makes this type of CKFREEZE data set also not suited for detailed auditing and system analysis. Simple audit reports that do not require detailed data set or UNIX file information can be created.

Creating this type of CKFREEZE data set requires an Admin or Audit entitlement. It can be created by specifying the parameters

CAT=MCAT, VVDS=NO, VTOC=NO, UNIX=NO, BCD=NO, MCD=NO,  
RMM=NO, TMC=NO, IMS=NO, CICS=NO, DB2=NO, MQ=NO

#### **Regular Audit**

This CKFREEZE data set has the information that is needed for most detailed auditing and system analysis. Most catalog, VVDS, and VTOC information is not included. Information from the MASTER catalog as well as information about UNIX files is included.

The Regular Audit CKFREEZE data set has more information than the Regular Admin CKFREEZE data set. Processing takes more time, but is still faster than processing Full-size CKFREEZE data sets. Most detailed auditing reports are available, except those that require resource information like data sets, IMS, and CICS resources. Because for most auditing situations, the time needed to create the report is less important, Full-size CKFREEZE data sets are often preferred.

Creating this type of CKFREEZE data set requires an Admin or Audit entitlement. It can be created by specifying the parameters

CAT=MCAT, VVDS=NO, VTOC=NO, BCD=NO, MCD=NO, RMM=NO, TMC=NO, IMS=NO, CICS=NO, DB2=NO, MQ=NO

## Library Analysis

This is a special purpose CKFREEZE data set. It has checksum information for the specified data sets. Because calculating checksum information is a time consuming process, you create this type of CKFREEZE data set only when you want to do library analysis. The library analysis process uses several CKFREEZE data sets from multiple points in time. You probably want to exclude other information that is normally included in Full-size CKFREEZE data sets (catalog, UNIX files).

Creating this type of CKFREEZE data set requires an Audit entitlement. It can be created by specifying the parameters CHECK=YES possibly in combination with parameters and keywords to suppress data that is not needed:

```
CAT=MCAT,DASD=NO,TAPE=NO,SWCH=NO,RMM=NO,TMC=NO
UNIX=NO,PATH=NO,SMS=NO,IMS=NO,CICS=NO,DB2=NO,MQ=NO
```

**Mini** This is the smallest size of CKFREEZE data set that still contains sufficient information for most RACF queries. It has only information that is available in-storage. Example information that it contains is information from RACF control blocks (CDT, dynamic parse, system options), SMF options, and lists of important system data sets. Its size is usually approximately 1 MB.

This type of CKFREEZE data set is primarily intended to provide information for remote queries.

Creating this type of CKFREEZE data set requires an Admin or Audit entitlement. It can be created by specifying the parameters

```
IO=NO,SMS=NO,TCPIP=NO,MOD=NO,CICS=NO,IMS=NO,NJE=NO,S=V=NONE,NOXMEM,DB2=NO,MQ=NO
```

## Special purpose

There are several special purpose CKFREEZE data sets that are used by specific components; for example, the zSecure Alert product. Such special purpose CKFREEZE data sets have a dedicated content, and cannot be shared with other applications.

Creating this type of CKFREEZE data set usually requires an Alert, Admin, or Audit entitlement. The parameters are dependent on the specific information needed.

**Space requirements for CKFREEZE data sets:** The required space for a CKFREEZE data set is dependent on the options used during data collection. The following list summarizes the amount of space required for each type of information. As a rule of thumb, you can use these amounts:

- 1 MB base size
- Size of the system and user catalogs
- Size of the DFHSM MCDS, BCDS and OCDS, or of the DMS catalog
- Size of the DFRMM control data sets or the TMC catalog
- 2 MB per online DASD volume
- 2 MB per gigabyte HFS/ZFS space
- 1 MB per 5000 IMS or CICS transactions or programs

In a formula:

$$\text{Size(MB)} = 1 + C + H + T + 2*D + 2*U + O/5000$$

where:

C = size of the system and user catalogs  
H = size of DFHSM or DMS catalogs  
T = size of tape catalogs  
D = number of online disks  
U = gigabytes of HFS/ZFS space  
O = number of IMS and CICS transactions and programs

**Types of security data (RACF or ACF2):** zSecure Admin and zSecure Audit can both use information from the active RACF or backup ACF2 database. No extracts or copies are required. You can also use a private backup copy of the RACF or ACF2 database. If you use a private backup copy, plan for DASD space equal to the size of your current database.

You can also use a proprietary format UNLOAD copy of the RACF or ACF2 database. Such an unload copy can be used as frozen input to generate Admin and Audit reports. The size of an UNLOAD copy is approximately the same as the used part of your database. The UNLOAD database has an advantage that all sensitive fields (like passwords) have been removed from the UNLOAD copy.

The RACF-Offline component of zSecure Admin uses copies of the system RACF database. The size of the RACF database is dependent on your usage. Often, the RACF database used for offline usage has the same size as the active system RACF database.

**Access Monitor data:** The zSecure Access Monitor is part of zSecure Admin. It is available only for RACF systems. The Access Monitor collects information about most access events and some profile management events. Information is saved in so-called Access Monitor data sets. The collected information is kept in several data sets:

#### **Daily collection data sets**

These data sets are created by the Access Monitor started task and usually exist only during the time that Access Monitor is running. They contain multiple blocks of records collected during a measurement period. The measurement period is the same as the SMF interval, which can be specified in SMFPRMxx and which has a default of 30 minutes.

#### **Daily consolidated data sets**

These data sets are also created by the Access Monitor started task. They contain a single block of consolidated records of a single day. Consolidation is done automatically once a day.

#### **Site specific consolidated data sets**

It is possible to consolidate multiple daily consolidated data sets into a single data set comprising the information for one week, one month or even one year. Ideally, this consolidation process trends towards a consolidation efficiency of 100%. This means that adding an additional period does not increase the required space for the consolidated data. In practical environments, the consolidation process trends towards an efficiency of 90% or even lower. This means that adding an additional period increases the space required for the consolidated data by 10% or more of the size of the additional data.

The consolidation process retains the count and last occurrence for all different types of events. The space required for Access Monitor data is very dependent on your environment. For example, a single user accessing the same data set 1000 times a day consolidates into a single event record, while 1000 users each accessing the same data set only once, consolidate into 1000 event records.

Without actually implementing Access Monitor, there is no simple method to estimate the amount of DASD space required for the Access Monitor data sets. Running SAFTRACE for RACROUTE could give an estimate for the number of events, but there is no report that summarizes across the different resources, users, jobnames, and request options. The best approximation is to use the same size as the current size of the SMF offload data sets. During an initial startup period, the data set sizes can be monitored and adapted to better match the required space.

**Use of zEDC to reduce DASD requirements:** You can specify an appropriate DATACLAS for zSecure data sets to reduce DASD space requirements. This is effective for CKFREEZE and ACCESS data sets. Specifying the DATACLAS can be done in applicable ALLOC commands, JCL, or automatically by using a DATACLAS ACS routine. For background information about zEDC, see “zEnterprise data compression (zEDC) for zSecure” on page 70.

## Virtual storage

Virtual storage is needed while running the program. The amount of virtual storage needed is dependent on the amount of data processed during the report or analysis. For most types of reports, the virtual storage needed is of the same order as the size of the output report. For example, if you are generating a report of four million SMF records with detail information, the program needs sufficient space to retain all unique field values that occur in these records.

Special processes, like consolidation of Access Monitor data sets, are described in their own sections.

The CKRCARLA program is the main program used in most zSecure products. Starting with zSecure 2.2.0, this program is a router program that calls either CKR4Z or CKR8Z196. The CKR8Z196 program runs in 64-bit mode with an 8-byte storage pointer model. The CKR4Z program runs in 31-bit mode using a 4-byte storage model. Instead of storage below the bar, CKR8Z196 can be expected to use up to twice as much storage above the bar compared to the storage used by CKR4Z below the bar.

The maximum amount of storage above the bar is set by the MEMLIMIT parameter, and not by the REGION parameter (except that REGION=0 requests MEMLIMIT=NOLIMIT). The CKR0039 message shows what the actual limit is and what facility actually restricted it from what was requested (for example, site exit IEFUSI or a PARMLIB member). Because TSO users cannot dynamically specify the MEMLIMIT parameter, you might need to change exits, PARMLIB settings, or logon procedure keywords if TSO users require more storage above the bar.

## CPU time

The amount of CPU time needed for running the zSecure programs can be expressed in two different ways. One way is used for those situations where a single instance of the program is used once to generate a single report or perform a single analysis. The other is used for long running tasks that collect and process information in real time. Details are described in the sections about the individual components.

## Network load

zSecure Admin and Audit do not generate any significant network load. However, both applications also provide the option to do remote reporting and analysis using the zSecure server (program CKNSERVE). If you use the zSecure server to access remote data, the network load is dependent on the data needed for the report. Each call of CKRCARLA results in the transfer of all data required for the

selected reports. For typical audit reports, this often involves the entire CKFREEZE data set and the entire RACF database. If you do not specify a CKFREEZE data set, a mini-CKFREEZE is used. The size of a mini-CKFREEZE is about 1 MB. This data set is always transferred in full.

For RACF reports, the zSecure Server transfers only the number of profiles needed for the report, or transfers the entire security database. This is dependent on the selection criteria used in the query and the information that is to be included in the report. For ACF2 and SMF reports, all data is transferred, and selection is done in the client application.

The zSecure Server uses point to point connections using TCPIP. It uses a single listening port that can be specified during configuration, and one ephemeral port for each configured partner server. The server must be active on all systems from which you want to retrieve information, or to which you want to send commands.

## **zSecure Admin**

The zSecure Admin product has multiple subcomponents; each subcomponent has its own storage characteristics. zSecure Admin and Audit can exploit services provided by the zSecure server. The resulting network load is described in "Network load" on page 36. See the paragraphs that follow for information about possible DASD and virtual storage and required CPU time.

If you want to collect profile usage information, the zSecure Access Monitor started task must run on all systems where those profiles can be used.

### **DASD storage**

DASD usage for zSecure Admin and Audit falls in the categories described in the following sections.

**CKFREEZE data sets:** For daily zSecure Admin usage, a CKFREEZE data set of type "Regular Admin" can be used. You need one for each system that you are managing. If you are using shared DASD, only one of the CKFREEZE data sets needs to be created with the SHARED=YES parameter.

**Storage in the RACF database:** Additional space is required for queued and timed commands. In most situations, the additional space in the RACF database is negligible.

**Unload of RACF database:** An UNLOAD copy of the RACF database can be used as frozen input to generate Admin and Audit reports. The size of the unload is approximately the same as the used part of your RACF or ACF2 database. You can retain as many unload data sets as required.

All sensitive fields are always replaced by asterisks (masked) in an UNLOAD file and, therefore, are not reproduced during copy or recreate operations.

**Access Monitor data sets:** The size of Access monitor data sets can vary significantly depending on the environment. If you want to retain information for a long period, a significant amount of data can be accumulated and retained. Some of this data can reside on tape, but most data is accessed in parallel, which requires the Access Monitor data sets to be on multiple tapes and to be mounted concurrently. The consolidation process can reduce the amount of data, but tailoring the configuration process to the organization's needs is required.

**Copy of RACF database:** Copies of the RACF database are required for using the Offline component. The size and number are dependent on your usage. Often, the Offline RACF database is a copy of the System RACF database. A copy of the RACF database can also be used as frozen input to generate Admin and Audit reports.

### **Virtual storage**

For regular RACF or ACF2 based queries, the amount of virtual storage needed is of the same order as the size of the output report. Use of applicable SELECT statements can reduce most reports to a manageable size.

**Resource consumption for processing of Access Monitor data:** Reporting about Access Monitor events can be done in two types of reports: Reports based on the profiles in the RACF database, or reports based on the recorded events. The reports based on the profiles in the RACF database are limited in size and require a limited amount of virtual storage, similar to other RACF reports. The reports based on the recorded events can become very large, depending on the different types of events, and the level of consolidation of the input data. Consequently, the amount of virtual storage required for the reports can also become very large. Reports that require 1 GB virtual storage are not uncommon. You can significantly reduced virtual storage requirements by careful selection of the type of events, users, profiles or resources to be included in the report.

Consolidation of Access Monitor data sets can also require a large amount of virtual storage. To overcome size limitations, the internal format of Access Monitor data sets was recently changed. The two formats are referenced by the release number when they were introduced, as the 1.11 format and the 1.13 format. Consolidating data in 1.11 format requires processing and retaining all input data in virtual storage. Use of the 1.13 format enables writing records to the output data set directly from the start of the consolidation process, without the need to retain the record in storage for the entire duration of the program. With the 1.13 format, the consolidation process can run in region sizes of 32 MB or less.

The 1.13 format for Access Monitor data is used for all new Access Monitor data sets. Existing 1.11 format Access Monitor data and new 1.13 format Access Monitor data can be mixed for reporting and analysis purposes. When you want to consolidate 1.11 format with 1.13 format data, you first need to convert the existing 1.11 format data sets to the 1.13 format. The conversion process has similar storage and CPU requirements as the previous consolidation process. After conversion, data sets can be consolidated using the newer, more efficient consolidation process.

**Resource consumption for collection of Access Monitor data:** The started task that collects Access Monitor data needs sufficient buffer space to retain information about all events that occur during a measurement interval. The buffer space is located in the private area of the Access Monitor started task. The default measurement interval is 1 minute. The amount of storage required is dependent on the number of events per minute. For example, if 1000 RACF access events occur per second, buffer storage space can be calculated as  $1000 \text{ events/second} * 60 \text{ seconds} * 100 \text{ bytes} = 6 \text{ MB}$ . Usually, the Access Monitor can run within a region size of 32 MB or less.

### **CPU time**

The amount of CPU time needed for running the zSecure programs needs to be presented in either of two different ways. The first is used for those situations where a single instance of the program is used once to generate a single report or perform a single analysis. This applies to the interactive and batch use of zSecure

Admin and zSecure Audit. The second is used for long running tasks that collect and process information real time, like the zSecure Admin Access Monitor.

**zSecure Admin:** The CPU time needed for creating interactive or batch reports using zSecure Admin depends on the size of the data to be analyzed and the size of the resulting report. Typical reports take a few seconds to create. Large reports might take several minutes. Due to the amount of data, consolidating 1.11 (old) format Access Monitor data might take a significant amount of CPU time (tens of minutes). Similarly, reporting about large amounts of collected Access Monitor events can also require a similar amount of CPU time.

**Access Monitor Started Task:** The long running process to collect Access Monitor events also requires CPU time. This CPU time is hard to express in absolute terms, due to the wide range in processor speeds and the number of events. A CPU-independent measure of the time needed to collect and record the information is the number of CPU service units (SU). Collecting and recording a single event requires approximately 1 CPU SU.

You can correlate CPU service units to CPU time using the SU/SEC constant as defined for your processor and the CPU service definition coefficient as specified in your IPS or WLM configuration. The number of SUs as reported for your address spaces and system are multiplied by the Service Definition Coefficients (SDC). The default value for the SDC is 10. See the following example:

- Assume that your installation specified the default value for the CPU SDC and zero for the IO and MSO SDCs.
- Your application runs on an IBM zEnterprise 114 model Z01 (2818-Z01). The CPU model factor for this processor is 40100.2506.
- Your application currently causes 20 RACF events.
- Your application currently uses 2 seconds CPU time.
- The total number of service units reported for your application will be  $10 \text{ (SDC)} * 40100 \text{ (CPU factor)} * 2 \text{ (sec)} = 802000$  service units.
- After starting Access Monitor, the amount of CPU time needed to collect and record the information for these events is  $20 \text{ (events)} * 1 \text{ (SU)} / 40100 \text{ (CPU factor)} = 0.0005$  seconds.
- The total number of service units reported for your application will be  $802000 \text{ (base)} + 20 \text{ (events)} * 1 \text{ (SU)} * 10 \text{ (SDC)} = 804000$  service units.

## zSecure Audit

The zSecure Audit product provides functions for reporting about information in the security database (RACF or ACF2), reporting about events that occurred in the system (SMF), and detailed analysis of the security environment. zSecure Audit can exploit services provided by the zSecure Server. The resulting network load is described in the previous section. Data set sizes, virtual storage needs, and CPU time requirements are discussed in the following paragraphs.

### DASD storage

Data storage on DASD is mainly for CKFREEZE data sets. Additional storage might be needed if you are generating reports from multiple non-shared systems, and you are not using the zSecure Server. In that case, you need DASD space for copies of the RACF or ACF2 data bases from the other systems.

**CKFREEZE:** This information includes many system control blocks, names of data sets and UNIX files, and also (part of) the contents of some data sets. The information is used by many zSecure products.

If you want to perform library change analysis, you also need CKFREEZE data sets with checksum information. Several of these data sets might be needed.

The typical size of a CKFREEZE database is dependent on the number of online DASD volumes and the number of UNIX files.

*Full-size CKFREEZE:* For a shared DASD environment, you need a CKFREEZE data set for each system. You can reduce the total amount of DASD required by using some of the parameters described in the following sections.

*SHARED=YES/NO:* If you are using shared DASD, you can reduce the required space by specifying the SHARED parameter when creating CKFREEZE data sets. Use the parameter SHARED=YES on exactly one of the systems that share DASD. For all other systems, specify SHARED=NO. This ensures that data from shared disks is collected only once, while data from non-shared disks is also collected.

*BCD=NO:* The Backup Control Data set data is currently used only for determining if discrete data set profiles need to be removed. These discrete data set profiles are processed in various **AU.V** - Verify functions and are reported in the RACF profiles report (**RA.3.1**). If you do not use any discrete data set profiles or run the RACF profiles report often, you can disable this function.

This information is used for the VERIFY ONVOLUME statement (available interactively in option **AU.V**) and in the REPORT\_PROFILE NEWLIST (available interactively in option **RA.3.1**).

*UNIX=NO:* UNIX data requires substantial amounts of disk space (all directories, owner data, and file permissions are stored). UNIX data is used for TRUSTED reports, SENSITIVE data sets reports (HFS data set sensitivity), and auditing UNIX filesystems. SMF records pertaining to UNIX files often do not contain the path to the file, and the CKFREEZE information can be used to show the appropriate path. Without the UNIX data, most reports still give usable, though incomplete, results. When you are not auditing the HFS or zFS data sets, turn off this feature. Depending on the size of your zFS and HFS data sets, collecting UNIX information can take a long time to process.

This information is used in the following NEWLIST types:

- UNIX (RE.U)
- TRUSTED (AU.S)
- REPORT\_SENSITIVE (AU.S)
- DSN (AU.S)
- SENSDSN (AU.S)
- SMF (EV)

*TCPIP=No, IMS=NO, CICS=NO, DB2=NO:* IMS, CICS, and DB2 data usually do not require substantial amounts of disk space. The same is true for information about the TCP/IP stacks on your system. However, if you are not reporting on TCP/IP, IMS, DB2, or CICS, you also do not need to collect associated information. When you are not auditing the TCP/IP, IMS, DB2, or CICS environment, turn off these features.

This information is mainly used in the following NEWLIST types:

- IP\_\* (RE.I)
- IMS\_\* (RE.M)
- CICS\_\* (RE.C)

- DB2\_\* (RE.D)
- TRUSTED (AU.S/RACF user/TRUSTED and AU.S/RACF resource/Sensitive trust)
- REPORT\_SENSITIVE (AU.S/RACF resource/Sensitive profiles)

**SMF data:** SMF data is not specific for use by zSecure Audit. Of course, if you want to report about events for a certain period, the SMF data for that period must be available. The required data can be on tape or on DASD. For reporting about SMF events, processing of the SMF data sets is sequential. This means that multiple data sets with SMF records can be on the same tape.

### Virtual storage

For SMF event reporting, virtual storage requirements can be significant. For most types of reports, the virtual storage needed is of the same order as the size of the output report. For example, if you are generating a report of four million SMF records with detail information, the program needs sufficient space to retain all unique field values that occur in these records. Typical reports require up to 250 MB of virtual memory. Virtual storage requirements can be significantly reduced by careful selection of the type of events, users, or resources to be included in the report.

### CPU time

The CPU time needed for creating interactive or batch reports using zSecure Audit depends on the size of the data to be analyzed and the size of the resulting report. Typical reports take a few seconds to create. Large reports might take several minutes. Due to the amount of data, generating large SMF summary reports might take a significant amount of CPU time.

- zSecure Admin
- zSecure Server
- Access Monitor
- RACF-Offline

## zSecure Alert

zSecure Alert uses several resources. It requires at least one CKFREEZE data set, but can also use multiple dedicated CKFREEZE data sets for extended monitoring. The zSecure Alert started task must run on all systems on which alerts are to be generated.

### DASD storage

zSecure Alert requires at least one CKFREEZE data set. This is a dedicated CKFREEZE data set comparable in size to a regular Audit CKFREEZE data set. If extended monitoring has been activated, zSecure Alert also creates and deletes multiple temporary CKFREEZE data sets. These extended monitoring CKFREEZE data sets are comparable in size to mini CKFREEZE data sets. The number of these extended monitoring CKFREEZE data sets can be configured. At a minimum, two of these data sets are required.

### Virtual storage

The started task that intercepts Alert events needs sufficient buffer space to retain information about all selected events that occur during a measurement interval. The buffer space is located in the private area of the zSecure Alert started task. The default measurement interval is 1 minute. Normally, SMF events are pre-filtered by record type based on the active alerts. WTO records are similarly pre-filtered by messageid. This pre-filtering is done automatically based on the alert specification in the ISPF user interface. The amount of storage required is dependent on the

number of events per interval. For example, if 500 SMF records pass the pre-filtering per second, buffer storage space can be calculated as  $500 \text{ events/second} * 60 \text{ seconds} * 1000 \text{ bytes} = 30 \text{ MB}$ .

The amount of required storage is also influenced by the long-term alerts. These alerts are not based on a single event, but count the number of events over a certain interval (for instance, 20 logons in a 5 minute period). These type of alerts require data for a longer time period to be available. and thus increase the amount of buffer space needed.

Usually, the zSecure Alert address space can run within a region size of 256 MB or less.

### **CPU time zSecure Alert data collection**

The long running process to collect Alert events also requires CPU time. This CPU time is hard to express in absolute terms, due to the wide range in processor speeds and the number of events. A CPU independent measure of the time needed to collect and record the information is the number of CPU service units (SU). Collecting a single SMF or WTO event requires approximately 1 CPU SU.

You can correlate reported CPU service units to CPU time using the SU/SEC constant as defined for your processor and the CPU service definition coefficient as specified in your IPS or WLM configuration. The number of SUs as reported for your address spaces and system are multiplied by the Service Definition Coefficients (SDC). The default value for the SDC is 10. Review the following example:

- Assume that your installation specified the default value for the CPU SDC and zero for the IO and MSO SDCs.
- Your application runs on an IBM zEnterprise 114 model Z01 (2818-Z01). The CPU model factor for this processor is 40100.2506.
- Your application currently causes 50 SMF events.
- Your application currently uses 2 seconds CPU time.
- The total number of service units reported for your application will be  $10 \text{ (SDC)} * 40100 \text{ (CPU factor)} * 2 \text{ (sec)} = 802000 \text{ service units}$
- After starting zSecure Alert, the amount of CPU time needed to collect and record the information for these events is  $50 \text{ (events)} * 1 \text{ (SU)} / 40100 \text{ (CPU factor)} = 0.002 \text{ seconds}$ .
- The total number of service units reported for your application will be  $802000 \text{ (base)} + 50 \text{ (events)} * 1 \text{ (SU)} * 10 \text{ (SDC)} = 807000 \text{ service units}$ .

### **CPU time for zSecure Alert alert generation**

The alert issuing phase of zSecure Alert also requires resources like virtual storage and CPU time. The amount of virtual storage is usually negligible, unless a really large number of alerts are issued at the same time. CPU time for the alert issuing phase depends on the number of event records (SMF and WTO) that passed pre-filtering. Usually, processing the collected records and generating alerts requires less than a second for each alert interval (default 1 minute). The CPU time required is only marginally dependent on the alert types that have been selected.

---

## Daylight saving time considerations

zSecure Collect retrieves the time zone information from z/OS, and zSecure Audit uses this information in reports that include time zones. So after a time zone change such as changing to daylight saving time (DST), refresh your CKFREEZE data set. For the zSecure products, there are no further daylight saving time considerations.

---

## Use of a fresh CKFREEZE and UNLOAD each day

For all functions of zSecure Audit, and for many functions of zSecure Admin, a CKFREEZE data set is required. For several functions, an UNLOAD is also a good idea. To make fresh copies available, embed job C2RJPREP in your production process.

Do not schedule job CKRJPREP to run concurrently with DFSMSHsm (or DFHSM) data set migration. Doing so might result in an incomplete CKFREEZE.

When you have multiple images, you must create a CKFREEZE data set from each system. For a shared security database, create the UNLOAD data set from the system with the highest level of z/OS. You might have to specify NJE routing, system affinity, or both to ensure that each job runs on the intended system.

You might want to use a CKFREEZE data set as secondary input to a process that handles SMF records. An example of such a process is the generation of QRadar LEEF data. If your installation writes DB2 audit records to SMF, the SMF records can be enhanced with information from the CKFREEZE data set. To allow resolution of DB2 Object IDs (OBID) to table and database names, ensure that the CKFCOLL program uses option DB2CAT=YES. This option can be explicitly specified as an input parameter to the CKFCOLL program, or it can be defaulted.

---

## Requirements for running the daily CKGRACF job

The daily CKGRACF job C2RJXRFR applies only to the zSecure Admin component. It is required when you use the Queued command or Multiple authority functions of zSecure Admin or when you use zSecure Visual. When multiple images share a RACF database, run the daily CKGRACF job on the system with the highest level of z/OS. You might have to specify NJE routing, system affinity, or both to ensure that the job runs on the intended system.

---

## Setup of the RACF Exit Activator

The RACF Exit Activator program, C2XACTV, provides dynamic exit support for some RACF exits. The main purpose of C2XACTV is to install exits required by various zSecure products. For example, the zSecure Admin Access Monitor component uses C2XACTV to install the RACF exits it needs to intercept access and authentication events.

In most cases, you do not need to control the exits explicitly using the RACF Exit Activator program. If you use the Access Monitor function in zSecure Admin, the relevant exits are activated or deactivated automatically when the Access Monitor is started or stopped.

When needed, you can also run the C2XACTV program directly, outside control of the above procedure. To start C2XACTV and activate an exit, use the following

zSecure supplied C2RCACTV procedure. Edit the data set name and parameters in the procedure to reflect the values used at your site.

```
// JCLLIB ORDER=(MY.CKRPARM,CKR.SCKRPROC)
// EXEC C2RCACTV,CONFIG=MYCONFIG,PARM='DYNEXIT ACTIVATE ICHPW02'
```

Using the C2XACTV program, you have direct control over supported exits using the statements provided. For additional information about the input statements for C2XACTV, see the program documentation in the *IBM Security zSecure Admin and Audit for RACF: User Reference Manual*.

**Note:** The RACF Exit Activator program offers complete support for RACF pre-, main-, and post-exits; therefore, if you already have your own RACF exit routines in place, they are retained as subexits.

## Use of the Dynamic Exit support of the RACF Exit Activator Program

When a RACF exit is installed through C2XACTV, it can be installed using MVS Dynamic Exit mode, or using Direct mode. The main routine consists of a router program that invokes multiple routines as *sub-exits*. When using the MVS Dynamic Exit mode, you can dynamically add, modify, and remove additional sub-exit routines. For example, to supply your own version of the New Password exit, in addition to the one provided by zSecure, point to the exit point from an appropriate PROGxx parmlib member. For example:

```
EXIT ADD EXITNAME(C2X.ICHPWX01) MODNAME(your-module-name)
      DSNAME(your-library) STATE(ACTIVE)
```

To avoid confusion, do not use the name ICHPW01 for the New Password exit defined for your installation. The name ICHPW01 is reserved for the module called by RACF and that is the name already used for the main zSecure RACF Router program itself.

## Use of the zSecure New Password Exit with other New Password exits

To supply your own version of the New Password exit, in addition to the one provided by the RACF Exit Activator, point to the exit from an appropriate PROGxx parmlib member. For example:

```
EXIT ADD EXITNAME(C2X.ICHPWX01) MODNAME(your-module-name)
      DSNAME(your-library) STATE(ACTIVE)
```

To avoid confusion, do not use the name ICHPW01 for the New Password exit defined for your installation. That name is already used by the zSecure RACF New Password Router itself.

---

## TCP/IP domain name resolution

zSecure can report in various formats, including Simple Network Management Protocol (SNMP) and Simple Mail Transport Protocol (SMTP); that is, e-mail. In this respect, zSecure acts as a user of TCP/IP services. As a result, the environment where zSecure runs might need domain name resolution. The environment can be a TSO or CMS user, a batch job, or the zSecure Alert or zSecure Visual started tasks. Depending on the level of your IP stack, you might need to set up a *userid*.TCP/IP.DATA, or a SYSTCPD DD-statement, or some other method that points to the TCP stack that provides the DNS function. For information, go to the z/OS Knowledge Center for the z/OS release you are using and see **Communications**

**Server -> IP Configuration Reference.** Also, make sure that the processes that need domain name resolution have READ access to all relevant files, such as TCPIP.DATA, /etc/resolv.conf, and /etc/hosts.

---

## **SMTP server considerations**

Reports, particularly in XML format, can become large. The size can be a concern when transmitting reports by e-mail. Reports that are too large can be rejected or truncated by the SMTP server. To prevent problems with transmitting files that are too large, verify, and perhaps change, the MAXMAILBYTES and CHECKSPOOLSIZE settings of your SMTP server.



---

## Chapter 9. Setup for remote data access and command routing

You can use zSecure for the administration and auditing of profiles, resources, and settings from multiple systems. You can configure the input data sources for systems of interest so that zSecure can collect the information directly from each system. The data sets can then be used through the ISPF interface or in a CARLa program. This functionality is called multi-system support because it enables reporting and managing multiple systems from a single session.

In addition to multi-system reporting, the product also supports routing commands to be run on a remote system using zSecure services or using existing RACF RRSF services. Including the support for remote system routing, zSecure provides the following command routing options: route to the local system or route to a remote system using NJE batch jobs, RACF RSSF services, or zSecure services.

To provide these functions, zSecure Admin and Audit use TCP/IP services. The zSecure Server manages the connections and handles data transport. You must install and activate the zSecure Server for access to remote data and command routing using zSecure services. The following setup tasks provide support for remote systems:

- Installation, configuration, and activation of the zSecure Server. See “Installation and configuration of the zSecure Server.”
- Specification of the remote data sets for use in CKRCARLa or the ISPF User Interface. See “Operator commands for the zSecure Server” on page 53.
- Setup for routing RACF and selected non-RACF commands to other systems. See “Setup for secure communication using AT-TLS” on page 55.

---

### Installation and configuration of the zSecure Server

Use the following sections to install and configure the zSecure Server.

#### Installed software and multi-system support

The zSecure CARLa-based installation programs install the code and panels required for the zSecure multi-system support. After the SMP/E apply, all required software is available in the standard libraries. No new libraries are added for the zSecure multi-system support. The SCKRLOAD library must be APF-authorized.

#### JCL procedures and parameters

The code for the zSecure Server is provided in the CKNSERVE load module. This program runs as a started task, but it can also run as a batch job. Running as a started task is preferred because it allows running the program in a reusable address space. A batch job cannot be run in a reusable address space. The procedure for running the program as a started task is provided in sample CKNSERVE.

The CKNSERVE procedure refers to include member C2R\$PARM. C2R\$PARM is the sample member that contains JCL SET statements and it is usually referred to

as the *zSecure configuration*. Member C2R\$PARM (or any member you choose to substitute) is located in the CKRPARM data set. See “About zSecure configuration data sets” on page 23.

The zSecure configuration is the main configuration file used by the organization to specify data set names, members, and other options. The zSecure configuration file must be available for INCLUDE in the started task JCL. Usually, the zSecure configuration file must be a member in the started task procedure library. Besides the symbols used for all zSecure programs, the zSecure configuration file must set the CKNSVPRM symbol, which is specific for CKNSERVE:

```
// SET CKNSVPRM=<installation-specified-parmlib>
```

For <installation-specified-parmlib> you normally substitute the CKRPARM data set you prepared for the zSecure Server, but you can specify any partitioned data set with record format FB, and a logical line length of 80. Position 73 to 80 in the records are ignored. This data set must contain the two members indicated by the PPARM and PCOMMON parameters in the JCL procedure. These two members together constitute the *zSecure-Server configuration file*.

**Note:** Do not confuse the zSecure configuration (commonly referred to as C2R\$PARM) with the zSecure Server configuration (consisting of the two members identified by PPARM and PCOMMON).

The CKNSERVE procedure also refers to member CKNCKFAI in the CKNSVPRM data set. The CKNCKFAI member contains the zSecure Collect parameters controlling the creation of a mini-CKFREEZE. You normally do not need to change any of the keywords and parameters contained in this member. You can copy it from the SCKRCARL library.

The member specified by PPARM is intended to contain the configuration parameters that are specific to a particular instance of the zSecure Server. In contrast, the member specified by PCOMMON is intended to contain those parameters that are common between all zSecure Servers. An example of the server-specific PPARM member is:

```
OPTION Ownsys(PRODSYS2) servertoken(MyToken)
```

Usually, the only statement present in the PPARM member is an OPTION statement to identify the SERVETOKEN. The OPTION statement has multiple additional keywords that are normally not required. For example, the OWNSYS keyword is needed only if there is more than one ZSECSYS entry that matches the current TCPIP domain name or the TCPIP hostname for the local system. For more information about the OPTION statement see “Configuration file OPTION statement” on page 50.

The following example shows the shared PCOMMON member.

```
ZSECNODE NAME(ZSNODE1)
ZSECSYS NAME(ZSSYST1) ZSECNODE(ZSNODE1) IPADDR(MyNode) IPPORT(7173)
ZSECNODE NAME(TSTNODE1)
ZSECSYS NAME(TSTSYS1) ZSECNODE(TSTNODE1) IPADDR(MyTest) IPPORT(7173)
ZSECNODE NAME(PRODNODE)
ZSECSYS NAME(PRODSYS1) ZSECNODE(PRODNODE),
  ipaddr(prodsys1.mydomain.com),
  IPPORT(7174)
ZSECSYS NAME(PRODSYS2) ZSECNODE(PRODNODE),
  ipaddr(prodsys2.mydomain.com),
  IPPORT(7173)
```

As illustrated in the example, statements in both files can be split over multiple lines by using the comma as a line continuation character.

**Note:** Lines can be split only between keywords and not inside a keyword or parameter.

For more information about the configuration statements, see “Configuration statements.”

## Security definitions for the started task

Be sure that the `userid` assigned to the task has sufficient authorizations. These authorizations are:

- Authorization to read all data sets referenced in the JCL procedure
- Authorization to read the TCPDATA data set and other TCP/IP control data sets (like TCPXLBIN)
- Access to UNIX functions, using either an OMVS segment or a default OMVS UID. The `userid` can have any UID. It does not require any specific UNIX authorization, file access, or even a home directory.
- READ access to the SERVAUTH resource describing the current TCP/IP stack. These resources have the format  
`EZB.STACKACCESS.<sysname>.<stackname>`
- READ access to the IRR.DIGTCERT.LISTRING resource in the FACILITY class.

On all systems where you intend to deploy the zSecure Server, use job CKNRACF1 to set up these regular authorizations.

In addition, the `userid` must also be assigned a certificate to validate and encrypt communication with other zSecure Servers. See “Setup for secure communication using AT-TLS” on page 55 for the requirements for securing the network connection.

## Configuration statements

The configuration statements for the zSecure Server are provided in the `zSecure-Server` configuration file. This file is a logical file that can be split over multiple concatenated members or data sets, as shown in the sample STC procedure. The configuration file uses two mandatory statements and two optional statements.

- The mandatory statements are ZSECNODE and ZSECSYS.
- The optional statement is OPTION.

The ZSECNODE statement defines the set of systems that share a RACF database. The ZSECSYS statement defines the individual systems where a zSecure Server address space can be running. There can be as many ZSECNODE and ZSECSYS statements as needed to describe your environment. In most cases, users specify (or default) the ZSECNODE in their zSecure UI setup, while some users might use the ZSECSYS to reference specific data sets that are present only on a specific system.

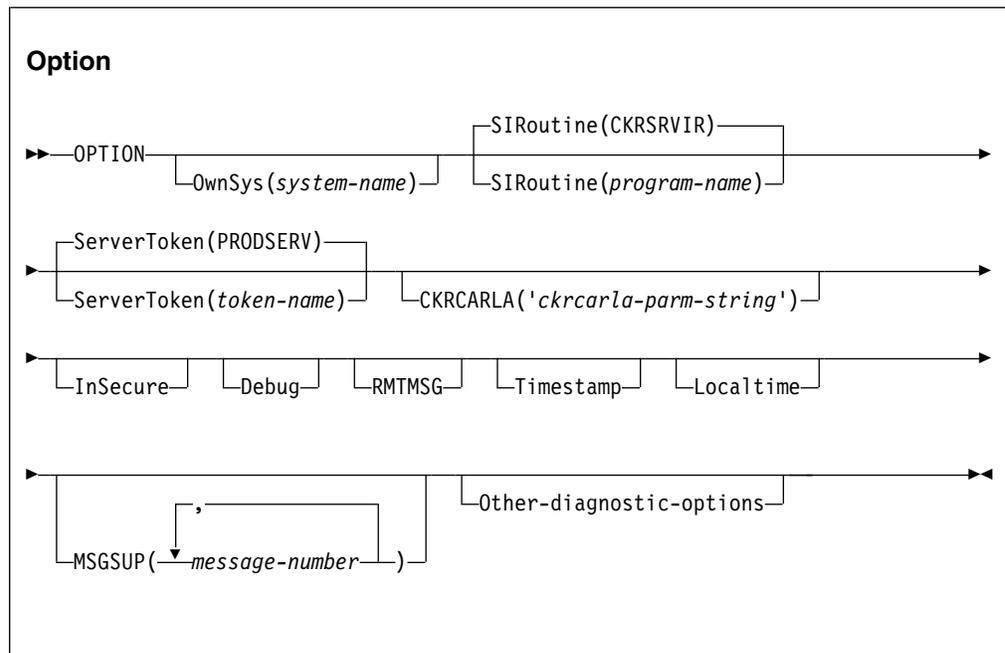
The sequence of statements in the `zSecure-Server` configuration file is important: The ZSECNODE statement must precede the ZSECSYS statements that refer to that ZSECNODE.

The statements are organized such that in most installations, the ZSECNODE and ZSECSYS statements can be shared between all zSecure Servers. Only the OPTION

statement is specific for a particular zSecure Server. If only a single server is active on the system, the OPTION statement can also be shared between zSecure Servers. The zSecure Server compares the IP-address and the name on the ZSECSYS definitions against the TCPIP domain name and IP host name of the system. If a match is found, the ZSECSYS definition is used for the local system. If multiple zSecure Servers are defined that use the same IP address, one of these systems is chosen. Which system is used is unpredictable. In this situation, use of the OPTION statement with the OWNSYS keyword is preferred.

### Configuration file OPTION statement

In most situations, the OPTION statement is not required. However, if you are running multiple servers on the same systems, the OPTION statement is required to specify unique parameters for each server. The OPTION statement can also be used to specify diagnostic settings.



The keywords and parameters have the following meanings:

#### OwnSys

This keyword specifies the system name for the current server. This value is needed only if there is more than one ZSECSYS entry that matches the host name of the current TCP/IP stack. Normally, the local system name is determined based on the IPADDRESS of the ZSECSYS definitions. If there are multiple ZSECSYS statements with the same IPADDRESS specification, and OWNSYS is not specified, one of the possible ZSECSYS entries is used for the current server. Which name is used in that case is unpredictable.

#### SIRoutine

This keyword specifies the name of the Server Interface Routine. Currently this keyword and parameter are ignored.

#### ServerToken

This keyword specifies the eight-character suffix for the name of the Named-Token to be used to anchor the global data area used for this server. The value specified is prefixed by the value CKNSERVE. If the same value is specified for two servers, the second started instance will

fail. The default value for the token is PRODSERV. If this keyword is not specified, the default value is used. You need to specify a value for the **ServerToken** only if you are running multiple zSecure Servers on the same system.

### **CKRCARLA**

The CKRCARLA keyword can be used to add a CARLA statement to the CKRCARLA call parameters. This keyword is intended to be used for debug parameters that are only effective on the program call parameter. The *ckrcarla-parm-string* has a maximum length of 80 characters and must be within quotes.

### **InSecure**

This keyword specifies that insecure communication to other zSecure Servers is acceptable. To enable communication that is not secure between two zSecure Servers, both servers must specify the INSECURE option, and the *userid* of the server task must have READ access to applicable CKNADMIN profiles in the XFACILITY resource class. This option is for use only during initial setup, and is not for production usage.

### **Debug**

This keyword specifies that additional diagnostic messages are to be issued to the server CKNPRINT output file. Use this keyword only at the request of IBM Software Support personnel.

### **RMTMSG**

This keyword can be used to signal the zSecure Server to include the SYSPRINT and SYSTEMM output from remote applications in the local CKNPRINT output file.

For example, when a client accesses data from a remote RACF database, the remote server uses CKRCARLA to read the RACF database. The output of the remote CKRCARLA is always available in the SYSPRINT file of the client application. Including this same output also in the CKNPRINT of the local server is optional. If you specify the DEBUG option, RMTMSG is selected as well.

### **Timestamp**

This keyword specifies that messages issued in the server CKNPRINT output file are prefixed with a timestamp. The timestamp information is shown in UTC and uses a fixed format. If you want the local time of the system that you run on, use keyword *Localtime* instead. If both are present, local time is the net effect.

### **Localtime**

This keyword specifies that messages issued in the server CKNPRINT output file are prefixed with a timestamp. The timestamp information is in local time of the system that the server runs on and uses a fixed format. If you want UTC, use keyword *Timestamp* without *Localtime* instead.

### **MSGSUP**

This keyword specifies a list of message numbers that are suppressed in the server CKNPRINT output file. It can be used in combination with the DEBUG command. Use this keyword only at the request of IBM Software Support personnel.

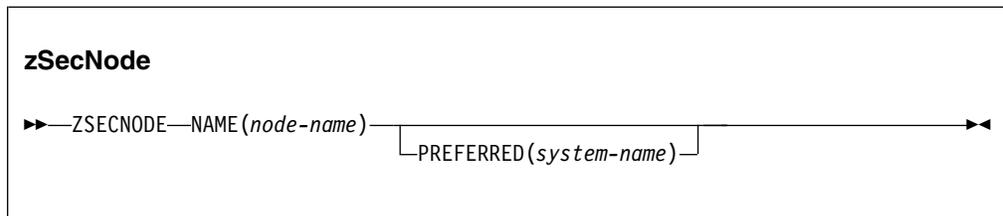
### **Other-diagnostic-options**

Several additional diagnostic options are available when specified in the parameter string to the CKNSERVE program. Use these options only at the request of IBM Software Support personnel; they are not intended for

customer use. The currently implemented options are NOESTAE, NOCLOSE, NODUMP, NOCLEANUP, NODUMPEXIT, NOGUARD, and STORAGEEC.

### Configuration file ZSECNODE statement

A single ZSECNODE describes all the systems that share a common RACF database. A request to access data or update a profile could effectively be directed to any system belonging to the same ZSECNODE. In normal situations, the zSecure Server uses the designated preferred server. If that server is unavailable, however, the zSecure Server uses another server that is part of the same node. In that situation, the first ZSECNODE that is available is used for all node communications.



The keywords and parameters have the following meanings:

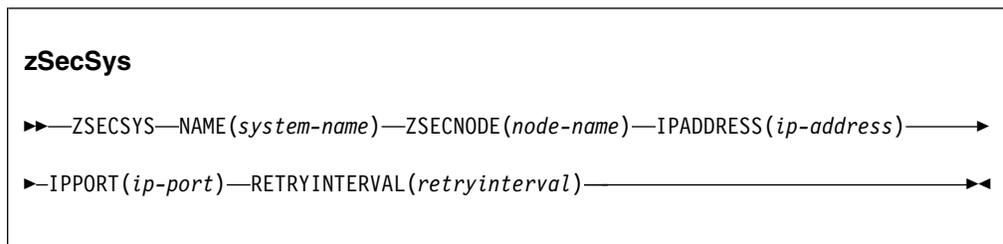
**Name** This keyword provides the name for this zSecure Node. If you have RRSF, make this name equal to the RRSF node name. Use an alternate name only in the rare case that you have multiple RRSF plexes that use the same name.

#### Preferred

This keyword specifies the name of the system where the preferred zSecure Server for this node is running. This system is normally used to access the RACF database for this zSecure Node. It is an error to specify a server name that is not defined in the zSecure-Server configuration file, or to specify a server that does not refer to the current zSecure node.

### Configuration file ZSECSYS statement

The ZSECSYS statement defines the systems where zSecure Servers are running. If a preferred system for the ZSECNODE is not specified, or if the preferred system is unavailable, the order of the ZSECSYS statements for a specific node defines the connection preference sequence. The ZSECSYS statement can be repeated for as many system names as required. If multiple ZSECSYS statements refer to the same system name, an error message is issued and execution stops.



The keywords and parameters have the following meanings:

**Name** This keyword provides the name assigned to the zSecure System. Make this name equal to the MVS SYSNAME or the SMF-id, if they are unique.

You only need to specify another name when you have more than one system with the same MVS SYSNAME.

#### **zSecNode**

This keyword provides the nodename to which this system belongs. If you have RRSF, make this name equal to the RRSF node name. Use an alternate name only in the rare case that you have multiple RRSF plexes that use the same name.

#### **IPAddress**

This keyword specifies the IP address that can be used to contact the zSecure Server. The IP address can be a host name or an address in either IPV4 format or IPV6 format. The preferred value is the value from the TCP/IP stack's TCPIP.DATA HOSTNAME and DOMAINORIGIN statements. This value is case-sensitive. An example of the specified value is `ourhost.company.com`.

**IPPort** This keyword specifies the portname to be used.

- For a local system, it is the portname on which a local zSecure Server is listening for incoming connections.
- For remote systems, it specifies the portname used to connect to the remote system.

The specified IPPort value can be the same (but need not be the same) for different zSecure Servers. The IPPort value specified for a particular ZSECSYS must be the same across the entire zSecure network. The Internet Assigned Numbers Authority (IANA)-assigned port number for IPPort is 7173.

#### **RETRYINTERVAL**

This keyword specifies that the connection to this ZSECSYS is restarted if the connection is not active for any reason. The value for the RETRYINTERVAL parameter specifies the number of minutes before a connection is restarted. The value can be:

**0** Signals that no automatic restarts are done. This value is the default.

#### **Between 1 and 1440**

A value between 1 and 1440 specifies the number of minutes for which a connection can be inactive before an automatic restart of the connection is attempted. The value for this parameter is usually between 5 and 60 minutes.

---

## **Operator commands for the zSecure Server**

The following operator commands are currently supported:

- START
- MODIFY *<taskname>*,*<action>*
- STOP

### **START**

Because the zSecure Server CKNSERVE uses cross memory services to enable users to access the server functions, the CKNSERVE address space is associated with a system level linkage index. This system level linkage index is a resource that is retained after each server stop and reused during each subsequent start of the server. This system level linkage index is based on the ServerToken specified in the server control file. If you want to preserve system resources, specify the same

ServerToken for each subsequent start of the same zSecure Server. You can use the zSecure-Server configuration file OPTION statement to specify the ServerToken.

The use of cross memory services also results in marking the address space used for the Server as UNAVAILABLE after use. This can be observed through the message

```
IEF352I ADDRESS SPACE UNAVAILABLE
```

in the system log after termination of the server. To avoid losing address space by repeatedly starting and stopping the zSecure Server, it is important to start the zSecure Server using the REUSASID keyword. An example start command of the zSecure Server then becomes:

```
START CKNSERVE,PPARM=CKNSRV00,REUSASID=YES
```

In this example:

- The zSecure Server procedure is called CKNSERVE.
- The private parameter member for this instance of the server is CKNSRV00.
- The address space to be used is to be obtained from the pool of reusable address spaces.

For more information about reusable address spaces, see the following publications:

- *z/OS MVS System Commands*
- *z/OS MVS Initialization and Tuning Reference*

## MODIFY

You can use the following actions with the MODIFY command:

### DEBUG

Diagnostic messages are printed in the CKNPRINT output file.

### NODEBUG

Diagnostic messages are no longer printed in the CKNPRINT output file.

### RMTMSG

Include the output from remote applications (for example, CKRCARLA) in the local server CKNPRINT output file.

The output of remote applications is always available in the SYSPRINT file of the client. If you specify RMTMSG, the same output is also available in the CKNPRINT of the server.

### NORMTMSG

Reverses the effect of a previous RMTMSG operator action, or that of an OPTION RMTMSG configuration statement.

**STOP** This action is equivalent to the STOP command.

## STOP

You can also request such a stop by using a MODIFY *<taskname>*,STOP request.

---

## Setup for secure communication using AT-TLS

The data exchanged between the zSecure Servers is often confidential in nature. For example, it can contain RACF passwords and passphrases. It is therefore important to ensure that the data communication is secure and that data cannot become exposed. Within the zSecure network, data is secured using the following methods:

- Partner verification using certificates
- Additional verification that the certificate is intended for zSecure use
- Data encryption

These methods are implemented using AT-TLS and additional verifications in the zSecure Server. The session between each zSecure Server pair must be defined using a TCP/IP Tunneled Transport Layer Security (TTLS) Policy. Within z/OS, TTLS policies are managed using the Policy Agent. You can use the *IBM Configuration Assistant for z/OS Communications Server* to create the configuration file for the Policy Agent, or use member CKNTTLS in SCKRSAMP as a starting point.

The TTLSRule statements identify the sessions you want to protect and they specify, through keyrings, where AT-TLS can find the required certificates.

You can identify the session by any of the following items:

- (RACF) userid
- jobname
- Local IP address and port
- Remote IP address and port
- A combination of the previous items

The sample CKNTTLS filters by userid, and it assumes that the userid is the same on both sides of the connection.

The certificates can be specified directly, in a TTLSConnectionAdvancedParms statement, or indirectly through a keyring. CKNTTLS uses the keyring method.

For certificates to be verified, they must be signed by a trusted root certificate, and that root certificate must be accessible on the receiving side of the connection. You can use a commercial root certificate, or you can use job CKNRACF2 to create a root certificate. If you use job CKNRACF2:

1. Run job CKNRACF2 on only one of the system images where you intend to deploy the zSecure Server.
2. Copy the export data set to the other images and run job CKNRACF3 to import it into all other RACF databases.
3. After importing, delete the data set. If instead, you retain the data set, other people might also import that root certificate and use it to sign counterfeit certificates.

You can transfer through NJE (the TSO/E commands TRANSMIT and RECEIVE), or you can use FTP. If you use FTP, make sure that you transfer in binary mode, and that the data set has record format VB, record length 84.

This export/import method ensures that the root certificate has the same keys on both sides, so that a certificate that is signed on one side can be verified on the other side.

After you create or obtain root certificates, use job CKNRACF4 to create the certificates and keyrings. Run this job on all systems where you want to deploy the zSecure server. Adapt the job to the names that apply to your installation. In particular, the certificate that is generated for the zSecure Server must be specific for use by the zSecure Server application. This is enforced by the DOMAIN name specified in the ALTNAME certificate extension. The domain name must be the value of the ZSECSYS corresponding to the OWNSYS used for the zSecure Server.

The certificates used for the other zSecure servers must be mapped to a non-revoked user on the local system. This can be done using

- One-to-one certificate to user ID association
- Certificate name filtering
- The hostIdMappings certificate extension

For more information about certificate mapping, see the chapter on RACF and digital certificates in the *RACF Security Administrator's Guide*. The one-to-one certificate mapping is the most secure method, and requires exporting the certificate on one system, and importing (adding) it on the other system. The requirement for mapping the certificate to a locally defined user is enforced by the value SAFCHECK for the ClientAuthType in the AT-TLS policy.

The requirement that the certificates must be mapped onto a local user ensures that only known certificates are used. Without this requirement, it is possible that new (unintended and unknown) certificates are accepted if they have been signed by the trusted CA (Certificate Authority) used for your zSecure Server certificates.

To use digital certificates, the server-userid must also have READ access to IRR.DIGTCERT.LISTRING in the FACILITY resource class.

## Additional security measures

You can use the userid mapping rules to assign a userid with a low authorization to all users of such a system. An alternative is to assign a userid to the entire ZSECNODE using the CKNADMIN.FROMNODE.<nodename> resource described in "Authorization and userid mapping when using the zSecure Server" on page 178. If the APPLDATA of the matching profile has a value, it is used as the userid for the ZSECNODE. If the userid is present, it must have access to the individual CKNDSN resources, in addition to the regular mapped userid that represents the logged-on user. In this setup, two users must have access:

- The mapped userid representing the logged-on user
- The userid assigned to the entire ZSECNODE

If either user has insufficient access to the CKNDSN resource, access is denied.

The additional test for the user ID assigned to the entire ZSECNODE is bypassed if the *nodename* where the request originated is the same as the current zSecure nodename. So, if the source server is running on the same ZSECNODE as the target server, only the mapped user ID representing the logged-on user must have access to the CKNDSN resources.

Using these additional security measures, you can control access to input files and authority to run commands based on the system from where the requests originate. You can also retain granularity based on the logged-on user. In the following example environment:

- There are two production systems (PRD1SYS and PRD2SYS) and one external system (EXT1SYS).
- PRD1SYS is defined as part of zSecure node PRD1NODE, and PRD2SYS is defined as part of zSecure node PRD2NODE.
- The user IBMUSER is logged on to system PRD1SYS, and is accessing PRD2SYS.

The following profiles are defined on system PRD2SYS:

```
CKNDSN.RACF.PRD2NODE.PRD2SYS.ACTIVE.    READ(IBMUSER,EXT1USER)
CKNDSN.CKRCMD.PRD2NODE.PRD2SYS.CKRCMD   READ(IBMUSER)
CKNADMIN.FROMNODE.PRD1SYS  NOAPPLDATA    READ(IBMUSER)
CKNADMIN.FROMNODE.EXT1SYS  APPLDATA(EXT1USER) READ(IBMUSER)
CKNUMAP.*.*.*             APPLDATA(=USERID)
```

The last profile (CKNUMAP) is the userid mapping rule. It specifies identity mapping, so the ID of the logged-on user IBMUSER is also used as the ID that needs authorization on the PRD2SYS system.

The third profile (CKNADMIN.FROMNODE.PRD1SYS) describes the authority to access PRD2SYS from PRD1SYS. IBMUSER has access. The profile does not have an APPLDATA field, and thus there is no additional system-level authorization.

The first two profiles (CKNDSN.RACF.PRD2NODE.PRD2SYS.ACTIVE. and CKNDSN.CKRCMD.PRD2NODE.PRD2SYS.CKRCMD) describe the authority to access the RACF database, and to issue commands. IBMUSER has access to both profiles.

In another scenario, the user IBMUSER is logged on to the system EXT1SYS, and is again accessing PRD2SYS. The same userid mapping rule is used to map IBMUSER on EXT1SYS to IBMUSER on PRD2SYS.

The fourth profile (CKNADMIN.FROMNODE.EXT1SYS) specifies in its APPLDATA field that the system-level userid EXT1USER is to be used for access verification. Because EXT1USER does not have access to the second profile (CKNDSN.CKRCMD.PRD2NODE.PRD2SYS.CKRCMD, which describes the CKRCMD resource), no one from the EXT1SYS (including IBMUSER) is authorized to issue commands for the PRD2SYS.

If a userid is specified in the APPLDATA of the FROMNODE profile for a node, the userid must match the userid that is associated with the certificate for that node.

## Setup to disable server security

You can run a zSecure Server without proper security for the communication with other zSecure Servers. To run a zSecure Server in this way, specify the INSECURE keyword on the zSecure Server OPTION statement in the zSecure-Server configuration file. Both servers must specify the INSECURE keyword. Using an insecure connection for a particular connection is controlled by the CKNADMIN.INSECURE.<zsecsys-name> resource in the XFACILIT resource class. The <zsecsys-name> is the partner node, and the started task user must have at least READ access. If no matching profile is found, or if the started task user has insufficient access, the connection is rejected.

CKNADMIN.INSECURE.<zsecsys-name> READ(server-userid)

It is also possible to allow a mismatch between the hostname as present in the ALTNAME of the certificate and the zsecsys of the partner zSecure Server. This is controlled by the access of the server-userid to the profile matching resource CKNADMIN.CERTOKAY.<zsecsys-name>. If no matching profile is found, or if the started task user has insufficient access, the connection is rejected. The profile must be defined on the system that detects that its partner has a non-matching certificate.

CKNADMIN.CERTOKAY.<zsecsys-name> READ(server-userid)

## Summary of Secure Server Communication

The following table summarizes the various security-related settings:

Table 4. Security-related settings

| Area        | Subarea                         | Field                 | Setting            | Effects                                |   |
|-------------|---------------------------------|-----------------------|--------------------|--|---|
| TTLSPolicy  | TTLSTLSGroupAction              | TTLSEnabled           | on                 | Enforces use of certificate            |   |
|             | TTLSTLSKeyringParms             | Keyring               | value              | Specifies the name of the keyring      |   |
|             | TTLSTLSEnvironmentAdvancedParms | ClientAuthType        | SAFECHECK          |  | Specifies that certificate must be mapped to a RACF user                            |
|             |                                 | ApplicationControlled | OFF                |  | Specifies that AT-TLS is always used, and is not dependent on the application code. |
|             | TTLSTLSConnectionAdvancedParms  | CertificateLabel      | value              | Specifies the label of the certificate |   |
|             | TTLSTLSCipherParms              | V3CipherSuites        | list of values     |  | Specifies the list of encryption methods. If omitted, simple encryption is used.    |
| Certificate | ALTNAME(DOMAIN(zsecsys- name))  |                       |                    | Must match zsecsys-name                |   |
|             | mapping onto RACF user          |                       | certificate-userid | Enforced by SAFECHECK in TTLSPolicy    |   |

Table 4. Security-related settings (continued)

| Area           | Subarea   | Field       | Setting                  | Effects  |
|----------------|---|-------------|--------------------------|--|
| RACF           | IRR.DIGTCERT.LISTRING                           | Access list | server-userid            | Allow certificate retrieval  |
|                | CKNDADMIN.FROMNODE.<br><zsecnode-name>          | APPLDATA    | node-userid              | Extra CKNDSN verification.node-userid must match certificate-userid. Only applies if source system zsecnode-name is different from target (current) system zsecnode-name |
|                | CKNDSN.<type>.<nodename>.<br><sysname>.<dsname> | Access list | node-useridclient-userid | Controls access to data source   |
|                | CKNDSN.CKRCMD.<nodename>.<br><sysname>.CKRCMD   | Access list | node-useridclient-userid | Controls access to execute commands  |
|                | CKNADMIN.INSECURE.<zsecsys-name>                | Access list | server-userid            | Allows missing certificates. Only required if source system zsecsys-name is different from target (current) system zsecsys-name  |
|                | CKNADMIN.CERTOKAY.<zsecsys-name>                | Access list | server-userid            | Allows incorrect ALTNAME(DOMAIN(zsecsys-name)). Only applies if certificate is used on the connection.   |
| zSecure Server | Configuration file                              | OPTION      | INSECURE                 | Allow missing certificates   |

## Use of the zSecure Server to limit the need for access to the security database

You can use the zSecure Server in *self-connect* mode; that is, you can have a single zSecure Server send requests to itself. This way, the original user does not need permission to read your security database. Such a permission is, in principle, a security exposure, and that exposure can be addressed by access in PADS mode, or by the zSecure Server. For more information about PADS mode, see “Setting up Program Control and PADS access” on page 185. The zSecure Server in self-connect mode is a full alternative for PADS mode.

In zSecure Server self-connect mode, the user's permission to access data is governed by profiles in the XFACILIT class (as in multi-system mode). Actual reading of the security database (or any other data) is done by the server address space, not by the original user.

You can combine a zSecure Server to run concurrently in self-connect and multi-system mode, or you can set up a dedicated server. For example, in a single z/OS image, you would set up only a single zSecure Server, running exclusively in self-connect mode.

To set up a server in self-connect mode, use the following steps. (See the preceding sections for further instructions.)

- Set up a JCL procedure in a system proclib.
- Decide on the value for the ServerToken, the name of the ZSECNODE, and the ZSECSYS.

- For a dedicated server, define only the local server; do not define any remote connections.
- For a dedicated server, you do not need AT-TLS for the server. Nor do you need the INSECURE parameter. If your AT-TLS policy also applies to the self-connection, you must ensure that all related actions as described in the previous section have been completed.
- In **SE.D**, update the default setup files to include the name of the zsecnode/sys.
- Update the default run option to include the ServerToken.
- Optionally, define an explicit generic CKNUMAP profile; for example:  
CKNUMAP.<zsecnode>.\*.<zsecnode> with appldata('=USERID')
- Define the necessary CKNDSN profiles; for example, for RACF, CKFREEZE, ACCESS files and unloaded SMF files.

---

## Chapter 10. Setup of zSecure Admin Access Monitor

The Access Monitor is a component of zSecure Admin that you can use to collect information about actual usage of resource profiles. This data is available for reporting and analysis from the Access Monitor option provided in zSecure Admin. Administrators can use the collected information to identify and remove unused access and resource profiles from the RACF database. For more information about the Access Monitor, see the *IBM Security zSecure Admin and Audit for RACF: User Reference Manual*.

See the following sections for information about setting up and operating the Access Monitor.

- “Installation and post-installation requirements” on page 62
- “Operation of the Access Monitor” on page 68
- “Access Monitor function command reference” on page 73

---

### Considerations when upgrading from a previous release of Access Monitor

Use the guidelines in this topic to upgrade from a previous release of Access Monitor.

If you are upgrading from a previous release of Access Monitor, you must use one of the following procedures to ensure that you are using the correct software for the current release. If you have not started the zSecure Admin Access Monitor started task (C2PACMON) since the last IPL of the system, no specific upgrade steps are required.

- When stopping the previous version of C2PACMON, you must use the SIPL command; for example, you can use the following operator command:

```
MODIFY C2PACMON,SIPL
```

After stopping the C2PACMON started task, you must run a C2XACTV job, using the RECOVER keyword. This C2XACTV job must use as STEPLIB the data set containing the software level of the previous release. After completion, you can start C2PACMON using the current release of the software. An example C2XACTV job is:

```
//RECOV EXEC PGM=C2XACTV
//STEPLIB DD DISP=SHR,DSN=<loadlib.zsecure.2.2.1>
//C2XPRINT DD SYSOUT=*
//C2XIN DD *
DYNEXIT RECOVER ICHRCX02
DYNEXIT RECOVER ICHRDY02
DYNEXIT RECOVER ICHRFY04
DYNEXIT RECOVER ICHRIX02
```

If you need to return to the previous release, you must again use the SIPL command to stop C2PACMON. After a successful stop of the started task, you can immediately start C2PACMON using the previous release software.

- After stopping the current version of the zSecure Admin Access Monitor started task, you shut down and IPL the system. After the IPL, you start the zSecure Admin Access Monitor started task using the upgraded code. In this scenario, you do not need to perform any additional steps.

If you do not follow either of these procedures, startup might fail and an ABEND of the C2PACMON started task might occur. If startup fails with messages C2P0183E and C2P0123E, you might be able to recover using the FORCE startup parameter.

---

## Installation and post-installation requirements

Use the guidelines in this topic to ensure that your zSecure installation is correctly configured to use Access Monitor.

Before you can set up the Access Monitor, you must install zSecure as described in Chapter 4, “Installation of the software,” on page 9. During the installation process, make sure that the following items are configured:

- zSecure Admin must be installed. This product is installed by enabling the installation parameter AdminRACF in member CKRZUPDI before running the fast or formal installation jobs. See “Customization of the installation parameters” on page 12.
- The AdminRacF license must be enabled. See “Enablement of license features” on page 18.
- The SCKRLOAD component must be APF-authorized.
- You must have a zSecure configuration data set that enables the use of the Access Monitor.
  - You can create this configuration by running job CKRZPOST as described in “Creating zSecure configuration data sets” on page 25. If you want to use a dedicated zSecure configuration for the Access Monitor, then you only need to create a CKRPARM data set. You can comment out the DD-statements for the other data sets in the CKRZPOST job.
  - If you already have a zSecure configuration that is enabled for Access Monitor, continue using that configuration.
  - Do not use a zSecure configuration that was created with zSecure 1.10 or older. The 1.10 configuration does not have all the members and parameters required for Access Monitor.
- To use the Access Monitor on multiple z/OS images, use a separate CKRPARM data set for each image. Make sure that the access monitor data sets for different images have different names. The names can be set up using image-dependent variables with at least different SYS parameters (such as &SYS or &SYSCLONE) in the data set names specified in the C2PAMCNT and C2PAMCLT members of CKRPARM.
- Alternatively, you can create separate copies of members C2R\$PARM, C2PAMP, or both for each instance. If you have a shared JES procedure library, you can establish separate configurations using MVS system symbols.

---

## Configuration of Access Monitor

To start the Access Monitor and begin data collection and consolidation, perform the configuration tasks in this topic.

- Prepare the JCL.
- Define the security resources and permissions.
- Create the required Access Monitor data sets.
- Customize data collection and consolidation parameters
- Start the Access Monitor.

## Preparing the JCL

### About this task

The Access Monitor must run as a started task. Therefore, you must copy the C2PACMON procedure from the SCKRPROC data set to a started task procedure library.

### Procedure

1. To run C2PACMON as a started task, copy the C2PACMON procedure from the SCKRPROC data set to a started task procedure library. You can select a different member name, provided that you update the security resources that you will create.
2. In your copy of the C2PACMON procedure, specify the member name for the zSecure configuration that you intend to use. The default is C2R\$PARM. However, if your procedure library is shared across z/OS images, use an MVS system symbol in the procedure to assign a separate configuration member to each instance of the Access Monitor.
3. If you want separate data collection and consolidation parameters for each instance, specify your member in the PPARM parameter in the JCL. If desired, you can use a system symbol in the parameter member name. For example, you can modify the default PPARM statement shown in the following example to use the system symbol:

```
// PPARM=C2PAMP,          C2PACMON parameter member
```

The following example shows the modified command:

```
// PPARM=C2PAMP&SYSCONE,    C2PACMON parameter member
```

4. Store the configuration member(s) in a started procedure library. Within the configuration member(s), uncomment the line that sets the C2PACPRM parameter.

## Definition of security resources and permissions

This section describes the security resources and permissions required by the Access Monitor function of zSecure Admin and provides instructions to set them up. Unless you are sharing the security databases, you must establish these authorizations for all systems where the Access Monitor runs.

The following security resources and permissions are required:

- The userid and group that you selected to run the C2PACMON address space must be available.
- A STARTED profile with an STDATA segment where you can assign the required userids and groups to the started tasks.
- The userid for C2PACMON must have UPDATE access to the XFACILIT resources C2X.ICHRCX02, C2X.ICHRDY02, C2X.ICHRFY04, and C2X.ICHRFX02. This userid also requires READ access to the XFACILIT resource CKR.CKRCARLA.APF.
- Started task output protection.
- Data set names and the profiles covering them. This can include PROGRAM profiles when Program Access to Data Sets (PADS) access is being used.

You can use Job C2PZRIN0 in the SCKRSAMP data set to help set up these security resources. Note, however, that the security resources you create must be

subject to your security policy, such as choices between generic and discrete profiles. You can decide whether to run this job after reviewing the RACF commands.

In this job, some things are assumed and not customized during the zSecure configuration. Consequently, you might need to change the job based on the following information.

- Group name SYSAUDIT is assumed as the group to contain system auditors, but you might choose another one. It is assumed that you (the installer) are connected to the SYSAUDIT group. If you are not connected to this group, the allocation of data sets for the Access Monitor using job C2PZRIN1 will fail.
- The group owner is set to SYSAUTH.
- It is assumed that profiles have been set up for the SCKRLOAD data set and that a separate profile exists for the other data sets. If you have a different setup, adapt the JCL in this job to reflect the requirements for your environment.
- It is assumed that PROGRAM profile CKRCARLA exists. If you do not use PROGRAM profiles you can remove the rdefines, ralters and permits for them.

## Required Access Monitor data sets

The data sets used for the daily collection and the daily consolidation process must be large enough to hold the access monitoring data. The required size depends on your environment. To prevent data loss, monitor these data sets closely for sufficient space allocation.

The Access Monitor function requires the following data sets:

- Data sets with intermediate data for the C2PACMON address space. In the JCL, these data sets are identified as SYSPRST1 and SYSPRRPT. The C2PACMON address space allocates these data sets as shared so that an authorized user such as an administrator can view them during troubleshooting. However, these data sets should not be shared otherwise. By default, these data sets contain the system ID in their names.
- The parameter data set specified in the zSecure configuration file. For more information about the zSecure configuration file, see “Creating zSecure configuration data sets” on page 25.

Job C2PZRIN1 in the CKRINST library is supplied to help you create these data sets. Before submitting the job, customize the JCL as follows:

- Unless you updated the default zSecure configuration file, change the JCLLIB and INCLUDE statements to specify the zSecure configuration file that you prepared.
- If you intend to run the Access Monitor function of zSecure Admin on multiple z/OS images, run the job multiple times, once for each image. Preferably, run each of these jobs under the z/OS image where the corresponding Access Monitor function will run.
- If you want to share the parameter data set among z/OS images, ensure that the C2PACPRM allocation is done only once. This data set is usually allocated by job CKRZPOST.

## Customization of data collection and consolidation parameters

You can customize these parameters to control the time interval for data collection and consolidation. Before specifying the Access Monitor parameters, you must create the parameter data set as described in *Create the required Access Monitor data sets*.

### Parameter file for Access Monitor started task

The Access Monitor function requires a parameter file for the started task. This parameter file is included using the PPARM JCL parameter in the Access Monitor started task procedure as described in *Prepare the JCL*. The parameter file must always be present. If you do not want to change any of the default values for the parameters, specify a parameter file with at least one line, which can be a comment line. A sample parameter file, showing default values, can be found in member C2PAMP in the SCKRSAMP data set.

For more information about configuration parameters, see “Configuration commands” on page 75.

### Passing VERIFY event records to zSecure Alert

The zSecure Access Monitor intercepts routines for RACF events and collects information that might otherwise be unavailable. One of the most obvious events is a signon, or more general the RACF VERIFY process. The default for this RACF function is to skip writing an SMF record for the event. The application has to explicitly request that an SMF record is created. zSecure Access Monitor collects information about such events even if the application does not request an SMF record. To enhance the functionality of zSecure Alert, you can configure zSecure Access Monitor to pass the collected information to zSecure Alert. The only event type that is currently supported is the RACF VERIFY event.

If you specify the EventsToAlert parameter on the Access Monitor OPTION statement, all VERIFY events detected by Access Monitor are passed to zSecure Alert. If you include this parameter while zSecure Alert is not active, a message is issued to indicate that the message transfer failed. For more information about the EventsToAlert parameter, see the OPTION command in “Configuration commands” on page 75.

### Definition of the users or classes for which to collect detail data

The procedure for the C2PACMON started task has three DDNAMEs that refer to three members in the data set pointed to by the C2PACPRM configuration parameter. The default value for this data set is the CKRPARM data set used for the zSecure configuration. The three members are C2PAMJOB, C2PAMRCL, and C2PAMPCL. They are used to specify for which USERIDs the JOBNAME information is collected, and for which resource class and POE class the Port Of Entry (POE) information is collected. Discuss with the users of the collected Access Monitor events for which events the detail information is needed. Depending on the use of different jobnames and ports of entry, collecting this detail information might result in a significant increase in resource usage for the collected data, and for the data consolidation process. The default configuration members specify that no jobname or POE information is collected.

- Collection of jobname information is controlled by the contents of the C2PAMJOB member. This member has a two column layout. An example is shown after this paragraph. The member name and the ruler line are not part of the member, but are shown here for clarity only. The ruler line highlights that the second column must start in position 10 of the record.

```

C2PAMJOB
-----1-----2
IBMUSER  YES
C2PSUSER NO

```

The first column contains a USERID for which jobname information is controlled. The second column can contain the value YES or any other value. Jobname information is collected only for those users for which the value YES has been specified. For users that are not included in the C2PAMJOB member, or that have any value other than YES specified, jobname information is not collected. Be sure that all information in this member is specified in uppercase.

Jobname information is always collected for jobs or started tasks that run without a RACF defined user ID.

- Collection of Port Of Entry information is controlled by the contents of the C2PAMRCL and C2PAMPCL members. These members each have a two column layout. Examples are shown after this paragraph. The member name and the ruler line are not part of the member, but are shown here for clarity only. The ruler lines highlight that the second column must start in position 10 of the record.

```

C2PAMRCL
-----1-----2
OPERCMDS YES
C2PAMPCL
-----1-----2
CONSOLE  YES
TERMINAL YES

```

The first column contains a resource class for which POE information is controlled. The second column can contain the value YES or any other value. The C2PAMRCL member specifies the resource class for which the access verification is done. This can be any RACF resource class, such as DATASET, FACILITY, or OPERCMD5. The C2PAMPCL member specifies the resource class (type) of the POE. The following POE classes are recognized: TERMINAL, CONSOLE, JESINPUT, APPCPORT, and SERVAUTH. POE information is collected only for those events for which the Resource class and the POE class both have the value YES specified. If either class specifies any other value, POE information is not collected for this access monitor event. Be sure that all information in these configuration members is specified in uppercase.

Updates to the three configuration members described here are effective for data collected after a restart or after the C2PACMON started task has done a consolidation run. For more information about restarting the C2PACMON started task, or the consolidation process as done by the C2PACMON started task, see "Operator commands" on page 74.

### Definition of data collection and data consolidation files

Several times during the day, the collected Access Monitor records are saved to disk at each SMF interval. The default SMF interval for the INTVAL parameter in the SMFPRMxx member in PARMLIB is 30 minutes. The collected data is stored in a data set specified and allocated during the configuration process.

Once a day, the collected Access Monitor files are consolidated. During the consolidation process, the data from the various intervals is combined into a single interval. By default, 48 intervals are collected each day, based on 24-hour activity and an SMF interval of 30 minutes. This data consolidation is automatically done every night at the specified consolidatetime. The collected data is stored in a data set specified and allocated during the configuration process.

The Access Monitor function allows flexibility in specifying the names and other allocation parameters for the data collection and consolidation data sets. You specify the allocation parameters in two parmlib members: the C2PAMCLT member for the daily collection data set and the C2PAMCNT member for the consolidation data set. These two parmlib members must contain a TSO ALLOCATE command. Sample members are available in the SCKRSAMP data set provided with zSecure. The following two examples show the contents of these sample files.

**Example: C2PAMCLT parmlib member to allocate the data collection file**

```
alloc reuse fi(c2pamcol) -
DA('your_prefix.C2PACMON.D&LYR2.&LMON.&LDAY..T&LHR.&LMIN.') -
mod space(1,1) cylinders release -
recfm(v b) lrecl(584) blk(27998) storclas(your_class)
```

**Example: C2PAMCNT parmlib member to allocate the data consolidation file**

```
alloc reuse fi(c2pacmon) -
DA('your_prefix.DATA.C2PACMON.D&LYR2.&LMON.&LDAY.') -
mod space(1,1) cylinders release -
recfm(v b) lrecl(584) blk(27998) storclas(your_class)
```

In the previous ALLOC commands, the following rules apply:

- Multiple input lines are allowed.
- The minus sign (-) indicates continuation lines.
- Columns 73-80 are ignored.
- The total command length must be less than 255 characters. The length includes all blanks between the last significant character on a line and the subsequent line continuation character, the minus sign (-).
- Aside from the symbol substitution, the command entered in these members must be a complete and valid TSO ALLOCATE command. Remove any keywords that are not needed (for example, the VOLUME keyword).
- The reuse and file keywords must be kept as shown in the example. The file name specified must be C2PAMCOL for the data collection file and C2PACMON for the data consolidation file.
- System symbols can be included anywhere in the command. They must be specified in uppercase. User and JCL symbols are not supported.
- The record format of the data set must be variable blocked, as indicated by the RECFM(V B) keyword.
- The data set name specification must begin with the string DA('
- The data set name specification must end with the string ' )
- The specification for the data set names in member C2PAMCLT must end in D&LYR2&LMON&LDAY.T&LHR&LMIN. This results in a timestamp formatted as Dyymmdd.Thhmm.
- The specification for the data set names in member C2PAMCNT must end in D&LYR2&LMON&LDAY. This results in a timestamp formatted as Dyymmdd .
- You can specify any leading qualifiers you want, as long as the data set name after substitution remains valid.
- Specifying different prefixes for the daily collection files in member C2PAMCLT and the daily consolidation files in member C2PAMCNT has distinct benefits. The main advantage is that the DSNPREF keyword can be used to refer to a particular type of files. The daily consolidation files can be further consolidated by using the UNLOAD statement. However, the daily collection files must first be converted by using a SUMMARY statement before fast consolidation using

the UNLOAD statement is possible. (An example CARLa using such a SUMMARY statement is provided in member C2PAMCVT.) Using a different prefix allows easy separation for different processing requirements.

- You can specify additional parameters for the allocation. For example, if your installation supports specification of SMS constructs such as STORCLAS, MGMTCLAS, or a DATACLAS for zEDC compression, you can use them here. For more information about zEDC data compression, see “zEnterprise data compression (zEDC) for zSecure” on page 70.
- Optional comment lines must be included at the end. Comments are included between the comment delimiters /\* and \*/.

Failure to follow these rules can result in error messages and failures allocating the correct data sets during the daily consolidation process.

---

## Operation of the Access Monitor

You can manage the RACF Access Monitor function by issuing commands from the operator console at startup and while the task is running. You can also control the operating environment for the Access Monitor function by providing input parameters in the parmlib DD-statement in the startup procedure. For instructions, see the following sections:

- “Starting the Access Monitor STC”
- “MODIFY command to monitor or modify the Access Monitor started task” on page 69
- “Stopping the Access Monitor STC” on page 69
- “Configuration of the Access Monitor function using parmlib” on page 70
- “Memory or data storage problems when processing Access Monitor data” on page 70
- “Management of RACF exits installed by Access Monitor” on page 72

Some commands are primarily intended to be issued as part of the PARMLIB file. These commands and their keywords and parameters are described in “Configuration commands” on page 75.

### Starting the Access Monitor STC

To start the Access Monitor function of zSecure Admin, issue a START command from the operator console as shown in the following example:

```
S C2PACMON
```

In a production environment, use Automated Operation software or PARMLIB member COMMNDxx to automatically start the Access Monitor task soon after each IPL.

This command runs the procedure from the applicable system proclib. When entering the START command, you can also specify startup parameters to run diagnostic tests or force program initialization. These parameters are described in “Access Monitor START parameters.”

#### Access Monitor START parameters

For normal execution of the Access Monitor, you do not need to specify any startup parameters. By default, the Access Monitor detects if it is already active and issues an appropriate error message before ending. The Access Monitor is designed to use system resources effectively. If the Access Monitor started task has

been shut down previously, the newly started task reuses those critical system resources that can be obtained only once and that cannot be returned to the system.

In some error situations, the Access Monitor started task fails to initialize. In these situations, you might need to specify one of the optional START parameters.

The following example shows a START command with the DEBUG parameter specified:

```
S C2PACMON,,DEBUG
```

#### **DEBUG**

Issues diagnostic messages during the first part of the initialization. These diagnostic messages can also be used to determine possible problems in processing the standard PARMLIB parameters. This setting is in effect until a subsequent DEBUG command is issued either from the operator console, or using PARMLIB.

#### **FORCE**

Forces initialization to continue regardless of a previous execution. Use the FORCE option only when the Access Monitor started task cannot be started using other methods, and IPLing the system is undesirable. During normal operation, it is not necessary to use the FORCE command to start the system. If you have to use this command, create a problem report so that the issue can be investigated.

#### **DEBUG-FORCE**

Activates both the DEBUG and FORCE options at startup.

The started task procedure C2PACMON provided with zSecure also provides the PPARM parameter to specify the main PARMLIB member that initializes the Access Monitor started task. This parameter can be used to override the value specified in the procedure. The default value specified in the procedure for this parameter is C2PAMP.

## **MODIFY command to monitor or modify the Access Monitor started task**

When the Access Monitor started task is active, the console operator can monitor or modify Access Monitor operations using the MODIFY console command. You can use the F command as an alias for the MODIFY command. The following example shows a modify command that displays the current status and options for the access Monitor:

```
MODIFY C2PACMON,DISPLAY
```

Be sure that the text after the comma is one of the supported operator commands for the Access Monitor started task. For information about these commands, see "Operator commands" on page 74.

## **Stopping the Access Monitor STC**

To stop the Access Monitor started task, run the STOP command from the console. You can use the P command as an alias of the STOP command, for example:

```
P C2PACMON
```

The STOP command can also be issued as the parameter on the MODIFY command.

F C2PACMON,STOP

For more detailed information about the Access Monitor operator commands, see “Configuration commands” on page 75.

## Configuration of the Access Monitor function using parmlib

By default, the DD-statement refers to the C2PAMP member in the C2PACPRM data set. The following commands are examples of commands that can be specified in parmlib:

- DEBUG to diagnose problems
- OPTION for managing the in-memory data buffers
- REPORT for specifying the data capture interval, the CARLa statement members, and other items.

The input parameters can be specified in the form of commands with keywords. Use TSO conventions when specifying these commands. For details about the C2PAMP parameter file, see “Parameter file for Access Monitor started task” on page 65.

---

## Memory or data storage problems when processing Access Monitor data

If you have problems with memory or storing data, you might need to adjust some of the following configuration settings for the Access Monitor program:

- Data collected in the access monitor records is transferred to the CKRCARLA program to be saved to disk. The interval period is controlled by the INTVAL parameter in the SMFPRMxx in the parmlib. The default value is 30 minutes.
- Access Monitor runs as a started task and captures RACF events for all tasks in the system. In large systems with much activity, the amount of buffer space required by the program can be significant. If you find that the buffer space is not sufficient to run the Access Monitor collection, you can adjust the buffer space parameters to specify values for your installation. For details, see “Parameter file for Access Monitor started task” on page 65. The Access Monitor started task provides buffer usage statistics messages that can help you select the optimum buffer size for your installation
- The data sets used for daily collection and consolidation must be large enough to hold the required data. The required size of these data sets is largely dependent on your environment. If necessary, you can adjust the allocation and characteristics of these data sets using the Access Monitor parmlib members C2PAMCLT and C2PAMCNT. To prevent data loss, monitor these data sets closely for sufficient space allocation.

---

## zEnterprise data compression (zEDC) for zSecure

Use the guidelines in this topic to plan the implementation of zEnterprise data compression in your organization.

DFSMS (BSAM/QSAM) introduced a new type of compression for non-VSAM extended format data sets: zEnterprise data compression (zEDC). With zEDC compression, no separate dictionary needs to be created, as zEDC compression hides the dictionary in the data stream. A new dictionary starts in each compression unit. The system can decompress the segment as is.

zEDC compression works well with consolidated zSecure Admin Access Monitor data sets. Compression ratios of more than a factor 10 are possible.

Requesting new zEDC compression for new data sets is similar to requesting the existing types of compression (generic and tailored compression). It can be selected at the data set level, system level, or both.

#### **Data set level**

In addition to the existing Tailored (T) and Generic (G) values, new zEDC Required (ZR) and zEDC Preferred (ZP) values are available on the COMPACTION option in data class. These values indicate how the system is to proceed if the zEDC function cannot be used for the data set being created, as follows:

**ZR** zEDC Required. The system fails the allocation request if the zEDC function is not supported by the system or the minimum allocation amount requirement (5 MB, or 8 MB Primary if no Secondary) is not met.

**ZP** zEDC Preferred. The system does not fail the allocation request, but rather create either a tailored compressed data set if the zEDC function is not supported by the system, or create a non-compressed extended format data set if the minimum allocation amount requirement (5 MB, or 8 MB Primary if no Secondary) is not met.

#### **System level**

In addition to the existing TAILORED and GENERIC values, new zEDC Required (ZEDC\_R) and zEDC Preferred (ZEDC\_P) values are available on the COMPRESS parameter found in IGDSMSxx member of SYS1.PARMLIB.

##### **zEDC\_P**

Tells the system to not fail the allocation request but rather create either a tailored compressed data set if the zEDC function is not supported by the system or create a non-compressed extended format data set if the minimum allocation amount requirement (5 MB, or 8 MB Primary if no Secondary) is not met.

##### **zEDC\_R**

Tells the system to fail the allocation request if the zEDC function is not supported by the system or the minimum allocation amount requirement (5 MB, or 8 MB Primary if no Secondary) is not met.

zEDC compression can be activated with SET SMS=xx or at IPL. Data class continues to take precedence over system level. The default continues to be GENERIC.

If you do not have zEC12 GA2/zBC12 or zEDC Express cards with the previously mentioned Microcode Update, DFSMS can still create zEDC compressed format data sets based on user options (in Data Class or PARMLIB). In this case, BSAM/QSAM writes data non-compressed. BSAM/QSAM decompresses existing compressed data through software inflate.

For more information about zEDC compression, see *z/OS MVS Callable Services for High Level Languages*.

### **zEDC Express via QSAM/BSAM – Setup: PARMLIB**

To request the use of zEDC compression at the system level when you create new compressed format data sets (COMPACTION=Y in Data Class), new values are defined for the COMPRESS parameter found in IGDSMSxx member of

SYS1.PARMLIB: COMPRESS(TAILORED|GENERIC|zEDC\_R|zEDC\_P). See System level for the explanations of zEDC\_P and zEDC\_R.

## How to use zEDC for zSecure Access Monitor

You can add an appropriate DATACLAS to SCKRSAMP(C2PAMCNT) to be used when you create consolidation data sets, and to the C2PECDTE REXX for monthly consolidation. In the TSO ALLOC command that is generated, replace **MGMT** with **MGMTCLAS** and **DATACLAS**. You can do the same for the Y12MON data set.

---

## Management of RACF exits installed by Access Monitor

The Access Monitor started task dynamically installs additional RACF exits. Internally, the Access Monitor program (C2PACMON) calls the C2XACTV program to effectuate the required changes. The C2XACTV program can also be called as a stand-alone program. The Access Monitor RACF exits are implemented using a two level approach. The top level is an exit router module that is pointed to directly by a RACF control block. The exit router module calls up to three functional sub exits: a pre-processing, a main, and a post-processing sub exit. If a RACF exit is already active at the time that Access Monitor is started, the original exit routine is moved down to the main sub-exit. Access Monitor installs its data collection exit as the post-processing sub exit.

Normally, the Access Monitor program removes the sub exits and the exit router module during termination. However, there might be situations where the removal of the exits fails. In those situations, the sub exits are still installed and called for the related RACF events. You do not need to take any action to remove these exits, because they perform no function if the started task is not active. Of course, if present, the original installation exit is still called by the exit router module. If you want to remove the Access Monitor exits that were dynamically installed, you can run a job similar to the following:

```
//RUNIT EXEC PGM=C2XACTV
//STEPLIB DD DISP=SHR,DSN=<h1q.SCKRLOAD>
//SYSTSPRT DD SYSOUT=*
//C2XPRINT DD SYSOUT=*
//C2XIN DD *
DYNEXIT DEACTIVATE ICHRCX02
DYNEXIT DEACTIVATE ICHRFX04
DYNEXIT DEACTIVATE ICHRDY02
DYNEXIT DEACTIVATE ICHRIX02
```

The Access Monitor RACF exit router module uses either z/OS dynamic exit support or a direct branching method to call the functional sub exits.

If z/OS dynamic exit support is used, the sub exits are each protected using standard z/OS recovery services. If a sub exit abends, the sub exit is automatically disabled to avoid any subsequent abends. Disabling the sub exit is not done immediately, but only after the same sub exit has abended 255 times. If a sub exit becomes disabled, a message similar to the following is shown on the operator console and the system log:

```
CSV430I MODULE ICHRCX02 FOR EXIT C2X.ICHRX02 HAS BEEN MADE INACTIVE DUE TO
ABEND=0C1000 REASON=00000001
```

If this occurs, the sub exit can be reactivated using either of the following methods:

- Use the C2XACTV utility program to **DEACTIVATE** and **ACTIVATE** the affected exit. This requires JCL similar to the following:

```
//RUNIT EXEC PGM=C2XACTV
//STEPLIB DD DISP=SHR,DSN=<hlq.SCKRLOAD>
//SYSTSPRT DD SYSOUT=*
//C2XPRINT DD SYSOUT=*
//C2XIN DD *
DYNEXIT DEACT ICHRCX02
DYNEXIT ACT ICHRCX02
```

The user running this job must have UPDATE authority to C2X.ICHRX02 in the XFACILIT resource class.

- In some situations, it might also be possible to issue an operator command similar to the following:

```
SETPROG EXIT,modify,exitname=c2x.ichrcx02.pst,modname=c2prcx02,state=active
```

- It is also possible to RESTART Access Monitor processing by issuing the following operator command:

```
MODIFY C2PACMON,RESTART
```

The Access Monitor started task stops all internal subtasks and calls the C2XACTV program to remove its RACF exits. Next, it performs all required functions similar to those during a regular start of the program. The RESTART function acts as an efficient method to STOP and START the entire C2PACMON task, without side effects like the loss of a non-reusable address space.

For more information about the options for the dynamic exits, see “OPTION command” on page 77. For more information about the C2XACTV program see the relevant sections in the *IBM Security zSecure Admin and Audit for RACF: User Reference Manual*.

## Change of RACF EXIT calling modes

The Access Monitor OPTION statement is used during initialization of the started task. If you want to switch the sub exit calling method, you can use the C2XACTV program. The DEACTIVATE function removes the exits from storage. Using the CSVDYNEX or DIRECT keyword on the ACTIVATE function installs the router exit module for the desired mode. A job like the following can be used to switch to DIRECT mode:

```
//RUNIT EXEC PGM=C2XACTV
//STEPLIB DD DISP=SHR,DSN=<hlq.SCKRLOAD>
//SYSTSPRT DD SYSOUT=*
//C2XPRINT DD SYSOUT=*
//C2XIN DD *
DYNEXIT DEACTIVATE ICHRF04
DYNEXIT RECOVER ICHRF04
DYNEXIT ACTIVATE ICHRF04 DIRECT
```

For more information about the C2XACTV program, see the relevant sections in the *IBM Security zSecure Admin and Audit for RACF: User Reference Manual*.

---

## Access Monitor function command reference

- For information about operator commands, see “Operator commands” on page 74.
- For information about configuration commands, see “Configuration commands” on page 75.

## Operator commands

When entered as an operator command, these commands do not require additional keywords. You can also abbreviate a command to the first four characters; for example, type CONS for the CONSOLIDATE command.

### CONSOLIDATE

Runs the daily consolidation process, which includes the following steps:

1. The daily collection processing task is stopped and started.
2. The daily collection data set is closed, reallocated according to the C2PAMCLT template file, and then reopened for continued daily data collection.
3. The consolidation task is restarted.
4. The daily collection data sets from the previous day are consolidated into the summary data set from the previous day.

When the daily collection processing task restarts, the restart process activates the Access Monitor commands and parameters specified in the parmlib (default member C2PAMP). At this time, the OPTION command is not processed. Other commands, such as DEBUG and REPORT, are processed. Because the consolidation process is designed to be non-disruptive so that all relevant RACF events continue to be collected, it can take several minutes to complete the entire process.

### DEBUG

Controls the diagnostic and monitoring messages that can be generated by the program. The command is effective immediately until the next daily consolidation run.

For a complete description of the keywords for the DEBUG command, see “DEBUG command” on page 75.

### DISPLAY

Displays the current status and option settings for the Access Monitor function. The display includes the current option settings, buffer space used, the number of the buffer currently in use, and the status of several error indicators if they are set.

The DISPLAY command does not support any additional keywords.

### REPORT

Sets the values for the keywords that control the processing of the captured data. The new values are used the next time that they are needed. When the command is issued using the operator MODIFY command, that time can be almost instantaneous, or never. For example, a new value for the *Interval* parameter is used starting at the beginning of the next *Interval*. On the other hand, a new value for the *consolidatetime* is never used, because it is referenced only when the daily consolidation run is completed. At that time the value is reset to the value specified in the parmlib.

For a complete description of the keyword for the REPORT command, see “REPORT command” on page 80.

### RESTART

Gracefully shuts down the Access Monitor data collection processing, and then immediately reinitializes the task. The address space in which the Access Monitor started task is running is not stopped, and no additional console operator commands are needed to reactivate Access Monitor function processing.

The main difference between a restart and a STOP command followed by a START command for the started task is the preservation of the Address Space ID (ASID). Also, possible changes in the started task procedure are not effective during RESTART processing.

During the time required to process the RESTART command, some RACF access or define requests are not recorded.

Because the STOP/START sequence results in marking the address space as non-reusable, the RESTART command is preferred in most situations. This command prevents loss of potentially critical system resources.

The RESTART command does not support any additional keywords.

**SIPL** Issue this command only at the request of IBM Software Support personnel, or when explicitly required during release migrations. When the command is run, all in-memory data structures are freed, a system-level linkage index (LX) is lost, and the address space is marked as non-reusable. System level LXes are a limited resource that cannot be recovered without an IPL of the system.

If you upgrade the Access Monitor program, the installation instructions might require you to shut down the previous version of the Access Monitor using this SIPL command.

The SIPL command does not support any additional keywords.

**STOP** Gracefully shuts down the Access Monitor started task. After the task ends, some memory remains reserved so that critical system resources can be used during a subsequent restart of the Access Monitor started task. The effect of the STOP modify command is identical to that of the MVS STOP command.

The STOP command does not support any additional keywords.

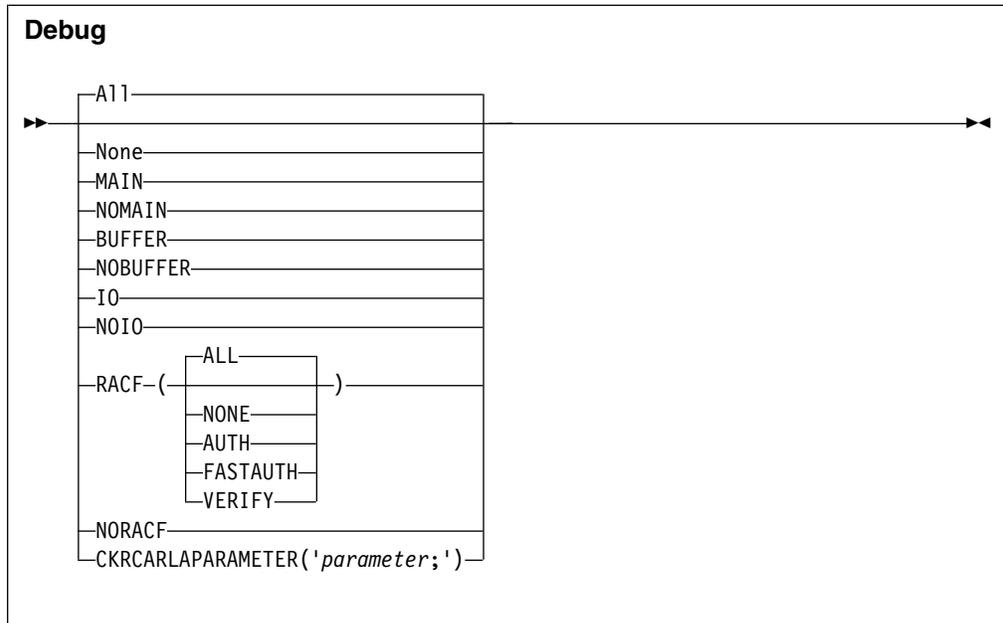
## Configuration commands

Normally, only the OPTION and REPORT commands are required. If you want to obtain diagnostic information, use the DEBUG command.

### DEBUG command

The command syntax is provided in the following diagram.

**Note:** You can specify only one option at a time. To enable multiple debug options, issue the DEBUG command multiple times. The DEBUG command can be run from the operator console or from the parmlib.



The keywords and variables have the following values:

**All** Write all diagnostic messages to the console. ALL is the default setting for displaying messages if you do not specify a parameter on the DEBUG commands. Most of these messages are intended to assist during problem determination, and are not intended for routine customer use. The messages resulting from a DEBUG BUFFER command can be used routinely to determine the minimum size needed for the data buffers.

**None** Deactivates creation of all diagnostic messages.

**MAIN** Write diagnostic messages related to mainline processing to the console. This includes responding to operator commands, initialization and management of all subtasks, and major buffer management functions.

**NOMAIN**

Do not write diagnostic messages related to mainline processing to the console. This setting suppresses the following types of messages:

- Responses to operator commands
- Initialization and management of all subtasks
- Major buffer management functions.

**BUFFER**

Write buffer usage statistics to the console (and to joblog and syslog) at the end of each reporting interval. These messages can help determine the number of Access Monitor records captured, and the amount of storage required. You can use these messages to track the minimum and maximum amount of buffer storage needed.

**NOBUFFER**

Do not write buffer usage statistics to the console.

**IO**

Trace all operations processed by the Access Monitor interface routine to CKRCARLA using SYSLOG. Using this parameter might result in large numbers of writer-to-operator (WTO) messages. This function is intended to help IBM Software Support personnel diagnose internal problems in the product.

**NOIO** Do not generate I/O diagnostic messages.

**RACF** Specifies the RACF events for which diagnostic information about the collected data is shown on the system operator console. The subkeyword specifies the type of event. If no event is specified, diagnostic information for all events types is shown. This function is intended to help IBM Software Support personnel diagnose internal problems in the product.

**NORACF**

Messages for events related to the RACF data collection process are not issued. This function is intended to help IBM Software Support personnel diagnose internal problems in the product.

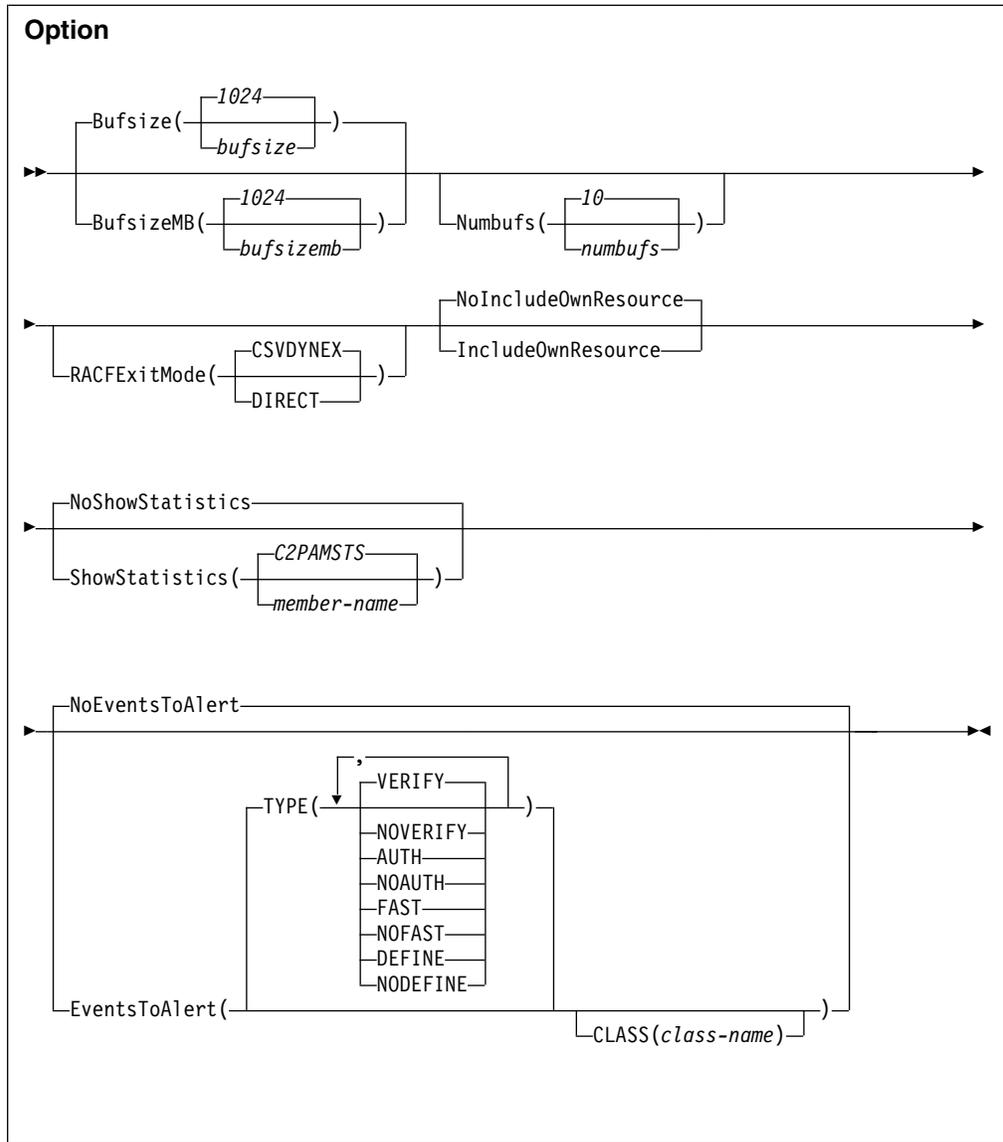
**CKRCARLAPARAMETER**

Specifies a string that is to be passed to all instances of CKRCARLA that are used within the C2PACMON started task. The string as specified must end with a semicolon, and must be enclosed in quotation marks. This parameter is intended for IBM Software Support personnel to diagnose problems. The maximum length of the string is 63 characters.

**OPTION command**

The OPTION statement is only valid from the parmlib. The main purpose of the OPTION statement is to specify the number and size of the in-memory data buffers. It can also be used to specify other processing options that are effective for the duration of the entire Access Monitor started task.

The OPTION statement has the following syntax:



The keywords and variables have the following values:

### Bufsize/BufsizeMB

The `Bufsize/BufsizeMB` keyword can be specified only when the `OPTION` statement is used during startup or during `RESTART` processing. It is ignored during `CONSOLIDATE` processing.

`Bufsize/BufsizeMB` specifies the size of the in-memory buffers used for storing the Access Monitor records during the *interval* period. Make sure that the buffer is large enough to contain all Access Monitor records collected during that period. If the buffer is too small, the Access Monitor data-capturing routines attempt to switch to an unused buffer. If no unused buffer is available, a buffer overflow message is issued, and the buffer containing the oldest data is used instead.

If you use the `Bufsize` keyword, specify the required buffer size in kilobytes. If you use the `BufsizeMB` keyword, specify the size in megabytes. Valid sizes for the buffers are between 1 kilobyte and 1 gigabyte. The size that you specify is rounded up to the nearest megabyte.

If you use both keywords in an `OPTION` statement, the last value specified is used by the program. The buffers are allocated in 64-bit storage and count towards the specified `MEMLIMIT` of the started task. The use of multiple buffers during periods of high activity can significantly reduce the required buffer size. In general, it is more efficient to specify, for example, 10 buffers of 1 megabyte instead of 2 buffers of 5 megabytes.

### **Numbufs**

The `Numbufs` keyword can be specified only when the `OPTION` statement is used during startup or during `RESTART` processing. It is ignored during `CONSOLIDATE` processing.

`Numbufs` specifies the number of buffers allocated. Valid values for *numbufs* are between 2 and 32. Make sure that the total number of buffers is sufficient to hold all captured Access Monitor records during periods of high activity.

To reduce the *bufsize* required to save all data collected during high-activity periods, specify multiple buffers. If no additional buffers are available, the oldest buffer is used instead, resulting in data loss.

### **INCLUDEOWNRESOURCE, NOINCLUDEOWNRESOURCE**

Determines whether Access Monitor records are created for Access Monitor events logged when users request access to their own resources. These resources might be, for example, private data sets or jobs running with a user's own userid. Using the `INCLUDEOWNRESOURCE` option can be helpful to diagnose suspected problems with missing events. However, because this option can significantly increase the amount of data collected, use it only when required. The default for this option is `NOINCLUDEOWNRESOURCE`.

### **RACFEXITMODE**

The `RACFEXITMODE` keyword can be specified only when the `OPTION` statement is used during startup or during `RESTART` processing. It is ignored during `CONSOLIDATE` processing.

The `RACFEXITMODE` keywords specify whether functional sub exits are called using z/OS dynamic exit services, or are called using a direct branch instruction. Using z/OS dynamic exit services provides additional flexibility and recovery, but uses more resources. Using a direct branch instruction is more efficient, but does not provide additional flexibility or recovery above that which is provided by the called sub exit. Possible choices for the parameters are:

#### **CSVDYNEX**

This keyword indicates that the RACF exit router module uses z/OS dynamic exit services for calling the functional sub exits. This option provides additional flexibility and recovery for the called sub exits.

#### **DIRECT**

This keyword indicates that the RACF exit router module uses a direct branch instruction to call the functional sub exits. This option provides a fast path to the called sub exits.

If the `RACFEXITMODE` keyword is not specified, or if no value is specified, RACF exits are called using z/OS dynamic exit services.

### **SHOWSTATISTICS, NOSHOWSTATISTICS**

This keyword determines if the specified CARLa member is included and run at the end of every SMF interval. At the end of the SMF interval, the data that is collected in storage is written out to the daily collection data set. The default member *C2PAMSTS* writes information about the number and type of events that are collected during this interval to the z/OS system log and to the joblog of the STC. The following figure shows example output:

```

C2P8000I Access data for period 31Aug2016 21:40:23 - 31Aug16 21:45:30
C2P8001I Totals          1365
C2P8001I   Auth         1090
C2P8001I   Fast          7
C2P8001I   Define       2
C2P8001I   Verify      266
C2P8002I Output records  100

```

Using the *SHOWSTATISTICS* keyword and the provided *C2PAMSTS* member requires that you use the provided *C2PAMCOL* member for daily collection. The *C2PAMCOL* member contains the *DEFTYPE* and *DEFINE* statements that are used in *C2PAMSTS* to calculate the number of output records. The *C2PAMSTS* member is included from the *SC2PSAMP* concatenation in the started task procedure. The default value for this keyword is *NOSHOWSTATISTICS*.

#### **EventsToAlert, NoEventsToAlert**

Determines if information for certain events is forwarded to zSecure Alert. The default is not to forward any event information. If you specify only the *EventsToAlert* keyword without any detail keywords or parameters, *VERIFY* events are forwarded to zSecure Alert. The current release of Access Monitor only supports *TYPE(VERIFY)* as detail specification. Detail keywords and parameters for other events and selections are reserved in the syntax. These keywords and parameters are currently not supported and using them results in an error message.

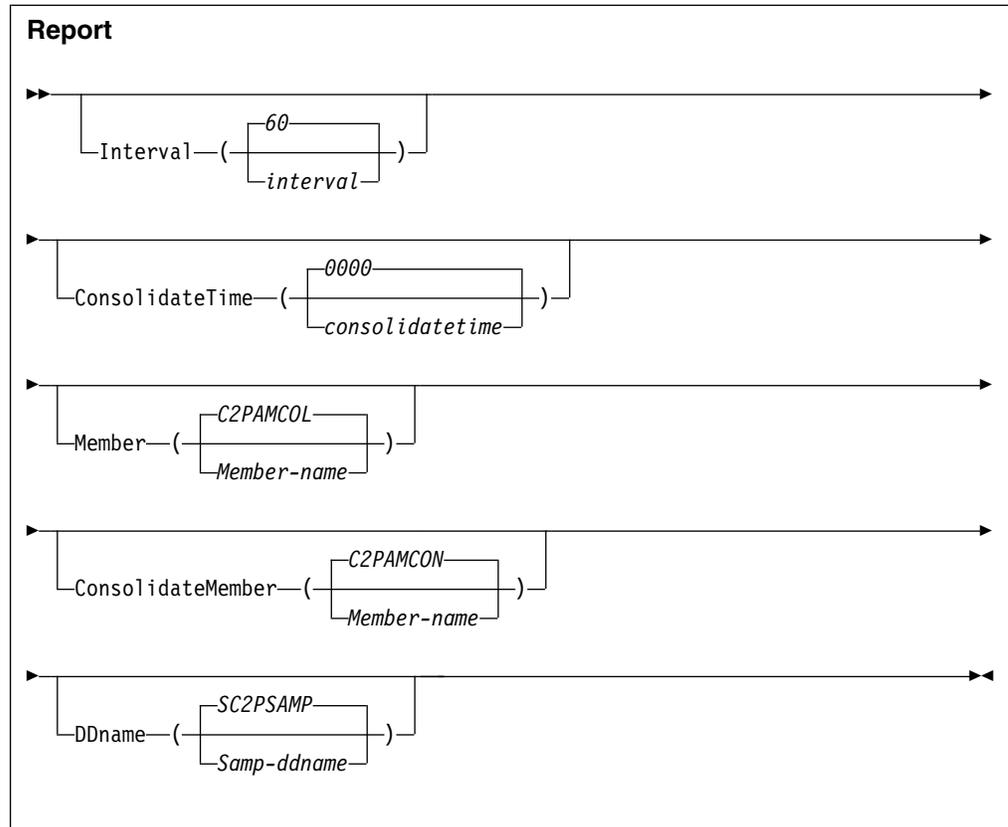
To activate forwarding of supported captured events, specify either *Option EventsToAlert* or *Option EventsToAlert(Type(Verify))*. To deactivate forwarding of supported captured events specify either *Option NoEventsToAlert* or *Option EventsToAlert(Type(NoVerify))*.

If you activate event forwarding to zSecure Alert, the Access Monitor started task performs additional processing. At the beginning of every interval as defined through the *REPORT* statement, the started task verifies that events can be forwarded to zSecure Alert. If the Alert started task is not active, an error message is issued. Only activate event forwarding if you are using the event data to generate alerts, for example through predefined alert 1122.

Forwarding events to zSecure Alert has no effect on recording events in the Access Monitor *ACCESS* files.

#### **REPORT command**

This command controls the timing of the buffer management process, the timing of the daily consolidation process, and the source for the CARLa statements used for the data collection and consolidation processes. The effects of the *REPORT* command can be delayed due to the cyclic nature of various tasks in the Access Monitor function. For example, a modified value for the *Interval* is only used after the current interval expires. The *REPORT* command has the following syntax.



The keywords and variables have the following values:

### Interval

Specifies the interval at which the Access Monitor started task transfers the collected records to the CKRCARLA task for statistical analysis. The value *interval* specifies the time interval in seconds. A time interval can be in the range of 10 and 3600 seconds. The default value is 60 seconds.

### ConsolidateTime

Specifies the time of day at which the Access Monitor will start the daily data consolidation process. At the specified time, the current daily record collection data set is closed. The daily records of the previous day are consolidated into the data set specified using the C2PAMCNT file.

The preferred, default value for the *consolidatetime* is 0000 (midnight).

### Member

Specifies the member name in the partitioned data set that is used for the daily data collection. It contains the CARLA statements that summarize the Access Monitor records during the SMF interval period. At the end of the SMF interval, the collected records are written to disk. In normal situations, you do not need to specify this keyword. The program uses the default member name, C2PAMCOL.

### ConsolidateMember

Specifies the member name in the partitioned data set used by the consolidation process. The consolidation process summarizes all individual records for the SMF interval periods. This process significantly reduces the amount of space required to store the daily data. The default value for *ConsolidateMember* is C2PAMCON. Normally, this default value is

overwritten in the C2PAMP member to the value C2PAMCMP. This member includes additional CARLa statements to reduce data set size. It also includes a reference to the installation specific mapping rules as defined in member C2PAMMAP.

**DDName**

Specifies the JCL DD-name pointing to the partitioned data set containing the CARLa statements that run the Access Monitor daily collection and consolidation process. DDName must contain at least the members indicated by *member* and *consolidateMember*.

---

## Chapter 11. Setup of RACF-Offline

The RACF-Offline function is a component of zSecure Admin that allows you to execute and test RACF commands on a RACF database that is not active in the system. Using this program, you can test changes to RACF definitions without impacting any other software running on the system and without using a dedicated test system. For more information about RACF-Offline, see the *IBM Security zSecure Admin and Audit for RACF: User Reference Manual*.

Instructions for installing RACF-Offline are provided in the *Program Directory: IBM Security zSecure Admin RACF-Offline*. After you have installed the product, you must perform additional post-installation activities to activate the function. For information, see “Installing and activating RACF-Offline.”

---

### Default installation data set names

RACF-Offline is installed in two system libraries:

- A load library, SB8RLNK, which must be APF authorized
- A JCL sample library, SB8RSAMP

See *Program Directory: IBM Security zSecure Admin RACF-Offline* for more information.

---

### Installing and activating RACF-Offline

#### About this task

To perform each task, see the procedure shown in the table.

*Table 5. Installation checklist*

| Step | Description   | Job name | Status |
|------|---|----------|--------|
| 1    | Install RACF-Offline. See the <i>Program Directory: IBM Security zSecure Admin RACF-Offline</i> . |          |        |
| 2    | Build the default Options module (B8ROPT)   | B8RJOPT  |        |
| 3    | Update Parmlib member for APF library   | B8RSPROG |        |
| 4    | Update Parmlib member for TSO Authorized Commands (Optional)                                      | B8RSIKJ  |        |
| 5    | Verify Parmlib member for SMF Exits   |          |        |
| 6    | Define Minimal RACF authorizations for testing  | B8RJRDF  |        |
| 7    | Test RACF Offline   | B8RJTST  |        |
| 8    | “Check for RACF-Offline enablement” on page 88  |          |        |

## Building the default options module (B8ROPT)

### About this task

The B8ROPT options module specifies the RACF general resource class to be used for authorization verifications performed by the product. The default resource class used is the XFACILIT class. The options module can also contain additional RACF-Offline commands that specify:

- The default RACF databases.
- The default LOG data sets.
- The SMF processing options.

Specify these optional commands between the resource class specification, CLASS, and the END command.

The following example job, B8RJ0PT, can be modified to specify this information. This job consists of assembly and linkedit JCL with inline source for B8ROPT. The job is available in the B8ROPT member in the data set where RACF-Offline was installed.

```
B8ROPT CSECT
B8ROPT AMODE 31
B8ROPT RMODE ANY
CLASS DC CL80'XFACILIT' RACF RESOURCE CLASS
RACFDB DC CL80'RACFDB '<your-offline-racfdb>' ' DSNAME
SMF DC CL80'SMF ID($B8R)' SMF OPTIONS
END DC CL80'END' MANDATORY END
END
```

Before running this job, adapt the inline source with option settings applicable to your environment. If you do **not** run this job, RACF-Offline uses the default resource class, and does **not** use a default RACF database.

### Procedure

Follow this two-step process to build the default options module for your environment:

1. Edit the B8RJ0PT member to set options for your system environment.
  - a. In the CLASS statement, specify the resource class name to be used for authorization verifications done in the product. The resource class used in the sample job is XFACILIT.
  - b. For the RACFDB statement, specify the data set name for the default RACF database to be used when the user does not select any other RACF database. Specify the name within two single quotation marks (') as shown in the following example:

```
RACFDB DC CL80'RACFDB 'BCSC.ROFFLINE.TESTDB1' SEQ(1)' Dsname
        DC CL80'RACFDB 'BCSC.ROFFLINE.TESTDB2' SEQ(2)' Dsname
```

- c. For the SMF statement, specify the SMF processing required for your environment. The complete syntax of the SMF statement is described in the RACF-Offline chapter of the *IBM Security zSecure Admin and Audit for RACF: User Reference Manual*. A possible SMF processing option is to change the SMFID; for example:

```
SMF DC CL80'SMF ID($B8R)' SMF options
```

- d. Do **not** modify the END statement. If your RACF database is physically split in multiple databases, after editing, your B8ROPT module might look like the following example:

```

B8ROPT CSECT
B8ROPT AMODE 31
B8ROPT RMODE ANY
CLASS DC CL80'$B8R' Resource class
RACFDB DC CL80'RACFDB ''BCSC.ROFFLINE.TESTDB1'' SEQ(1)' Dsname
DC CL80'RACFDB ''BCSC.ROFFLINE.TESTDB2'' SEQ(2)' Dsname
SMF DC CL80'SMF ID($B8R)' SMF options
END DC CL80'END' Mandatory
END

```

**Note:**

- 1) RACFDB is a RACF-Offline control command. Specifying the dsname in quotation marks is useful for standard parsing processing. Because this name is part of a literal string in the assembler source, use two single quotation marks (') around this value in the B8ROPT source.
  - 2) The SMF option is a RACF-Offline control command. Without this option, the SMF records for changes in the System RACF database would be indistinguishable from the records created for changes in the offline database. Several processing options are supported. For more information about the SMF options, see the RACF-Offline chapter of the *IBM Security zSecure Admin and Audit for RACF: User Reference Manual*
2. Submit the B8RJOPT job. After you have adapted the settings in B8RJOPT for your environment, submit the job to apply the changes to the B8ROPT options module.

## Updating PARMLIB members for the APF library

### About this task

To activate the RACF-Offline function, make the program library APF-authorized and place it in the link list. Both operations can be performed dynamically using either operator commands or prepared members in PARMLIB. The installation library provides a sample PARMLIB member B8RSPROG that can be adapted for your environment.

### Procedure

1. To add the library to the active APF list:
  - a. Add a member for the program library to the PARMLIB member.

```

APF ADD DSNAME(SYS1.SB8RLNK) SMS

```
  - b. Run the T PROG=xx operator command to update the PARMLIB member.
2. To run the B8RACF program, use either a STEPLIB statement or add the program library to the link list.

For initial testing, or incidental usage of B8RACF, use a Steplib.
3. To add the library to the active link list:
  - a. Add a member such as the following one to PARMLIB.

```

LNKLST DEFINE NAME(LNKLSTB8) COPYFROM(CURRENT)
LNKLST ADD NAME(LNKLSTB8) DSN(SYS1.SB8RLNK)
LNKLST ACTIVATE NAME(LNKLSTB8)
LNKLST UPDATE, JOB=*

```
  - b. Run the T PROG=xx operator command to update the PARMLIB member.

## Updating parmlib members for TSO Authorized Commands (Optional)

### About this task

RACF-Offline can be run as a TSO command and as the main program in a batch job. You can skip this step in the activation process if you only want to run RACF-Offline as a job-step program in the batch environment.

### Procedure

To run RACF-Offline as a TSO command, add several commands to the list of APF authorized commands that can be executed from TSO.

1. In parmlib member IKJTS0xx, add these commands to the AUTHCMD list.

```
B8RACF          /* zSecure Admin RACF-Offline */ +
B8RVARY         /* zSecure Admin RACF-Offline */ +
B8REPLAY        /* zSecure Admin RACF-Offline */ +
B8RACFLG        /* zSecure Admin RACF-Offline */ +
```

If you decide to insert these lines as the last lines of the AUTHCMD statement:

- Verify that the previous line is properly continued, using a plus sign (+).
- Verify that the line is properly terminated, using a right parenthesis ( ) ).

A sample with instructions is included in member B8RSIKJ.

2. Run the TSO PARMLIB UPDATE(xx) command to activate this PARMLIB member.

## Verifying parmlib member for SMF exits

The SMF records created by the regular RACF commands issued against the system RACF database are indistinguishable from the records issued by RACF-Offline to an offline RACF database. To enable identification, the SMF records created under RACF-Offline can be modified using the dynamic SMF exits IEFU83, IEFU84, and IEFU85. These exits must be enabled on the system and specified for the entire system or for the relevant subsystems such as TSO, JES2, and JES3. The following example shows an SMFPRMxx member that has been configured to set up the dynamic SMF exits.

```
ACTIVE          /* ACTIVE SMF RECORDING          */
DSNAME(SYS1.MAN1,
        SYS1.MAN2,
        SYS1.MAN3)
NOPROMPT        /* DO NOT PROMPT OPERATOR          */
REC(PERM)       /* TYPE 17 PERM RECORDS ONLY      */
MAXDORM(3000)   /* WRITE IDLE BUFFER AFTER 30 MIN */
STATUS(010000) /* WRITE SMF STATS AFTER 1 HOUR   */
JWT(0100)       /* 522 AFTER 1 HOUR              */
SID(IDFX)
LISTDSN         /* LIST DATA SET STATUS AT IPL    */
SYS(NOTYPE(40,42,99),EXITS(IEFU83,IEFU84,IEFU85,IEFACTRT,
                           IEFUSI,IEFUJI,IEFU29),NOINTERVAL,NODETAIL)
SUBSYS(STC,NOTYPE(40,42,99),EXITS(IEFU29,IEFU83,IEFU84,IEFU85))
```

If the SMF exits are not enabled, SMF records created for commands updating the Offline RACF database will seem to modify the System RACF database. That is, the SMF ID on records modified in the Offline RACF database will be the same as it is for records modified in the RACF database.

## RACF authorizations for minimal testing

At the testing stage, define a top generic profile with a UACC(NONE) and the userid for the test job with UPDATE on the access list. If you used the XFACILIT resource class as the resource class for the RACF-Offline profiles, you can use these commands:

```
SETR GENERIC(XFACILIT)
SETR CLASSACT(XFACILIT)
RDEF XFACILIT B8R.**          UACC(NONE) OWNER(owner-of-your-choice)
PE B8R.** CLASS(XFACILIT) ACCESS(UPDATE) ID(userid-of-the-tester)
SETR GENERIC(XFACILIT) REFRESH
SETR RACLIST(XFACILIT) REFRESH
```

The example job B8RJRDF contains JCL that can be used to define this minimal set of testing profiles.

## Commands for creating, testing, and troubleshooting a RACF-Offline database

You can test RACF-Offline by issuing some RACF commands in the RACF-Offline environment. Running any of the RACF-Offline functions requires RACF access to the authorization profiles. You also need access to an offline RACF database.

### Creating an Offline RACF database

The example job B8RJUT2 provides the JCL to create a copy of the RACF database.

```
//STEP1 EXEC PGM=IRRUT200
//SYSPRINT DD SYSOUT=*
//SYSIN DD DUMMY
//SYSUT2 DD SYSOUT=*
//SYSRACF DD DISP=SHR,DSN=Your-System-RACF-database
//SYSUT1 DD DISP=(NEW,CATLG),DSN=Your-Offline-database,
// UNIT=3390,SPACE=(4096,space,,CONTIG,ROUND),
// DCB=(LRECL=4096,RECFM=F)
```

You can adapt this job for your environment, or use your standard installation job for creating a copy of the RACF database. When using sample job B8RJUT2, specify the correct names and sizes for the RACF databases. In this JCL sample, the *space* is specified in blocks of 4 KB. If your current RACF database is allocated on an IBM 3390 Direct Access Storage Device in cylinders, you can multiply the number of cylinders by 180 to find the number of blocks required.

### Running commands against the Offline RACF database

After you have created a copy of the RACF database, you can use that RACF database to run some RACF-Offline commands. The member B8RJTST in the RACF-Offline installation library contains the following test JCL that runs RACF-Offline commands.

```
//RUNIT EXEC PGM=B8RACF
//STEPLIB DD DISP=SHR,DSN=Your-Product.SB8RLNK
//SYSTSPRT DD SYSOUT=*
//SYSTSIN DD *
LU
END
//B8RPARM DD *
RACFDB 'Your-Offline-database'
SMF ID($B8R)
//
```

Adapt this JCL for your environment, then run the job. The following example shows the output from the job.

```
B8R121I B8ROPT options module successfully processed
B8R274I RACF DB used is BCSC.ROFFLINE.TESTDB
B8R304I New SMF-ID: $B8R
B8R143I B8RPARM file processed
B8R200A Enter RACF Command or "END"
LU
USER=B8RTEST  NAME=UNKNOWN  OWNER=B8R          CREATED=03.169
...
SECURITY-LABEL=NONE SPECIFIED
B8R200A Enter RACF Command or "END"
END
```

### Troubleshooting

If you receive RACF error messages such as IRR51004I, IRR51011I, or IRR52115I, or if you encounter an ABEND 483-024, update the Offline RACF database with the current templates by running IRRMIN00 with PARM=UPDATE.

## Check for RACF-Offline enablement

At startup, the B8RACF command verifies whether RACF-Offline is enabled or disabled by checking IFAPRDxx in PARMLIB.

- If RACF-Offline is enabled, or not defined in IFAPRDxx, initialization of RACF-Offline continues normally.
- If RACF-Offline is disabled, a message (B8R106E) is issued and processing stops.

To explicitly enable RACF-Offline, add an entry such as the following one to an active IFAPRDxx member.

```
OWNER('IBM CORP')
  NAME('zSecure Admin')
  ID(5655-T01)
  VERSION(*) RELEASE(*) MOD(*)
  FEATURENAME('RACF-Offline')
  STATE(ENABLED)
```

To disable RACF-Offline, add an entry such as the previous one to IFAPRDxx. Then, replace the STATE(ENABLED) parameter with the STATE(DISABLED) parameter.

After updating IFAPRDxx, apply the updates by running the operator command SET PROD=XX.

---

## Chapter 12. Setup of zSecure Alert

zSecure Alert is a real-time monitor for z/OS systems protected with the Security Server (RACF) or CA-ACF2. zSecure Alert issues alerts for important events relevant to the security of the system at the time they occur. zSecure Alert is part of the IBM Security zSecure suite and builds on zSecure Audit. For more information about zSecure Alert, see the *IBM Security zSecure Alert: User Reference Manual*.

---

### Verification of the product and release

See <http://www.ibm.com/software/support/lifecycle/> for the IBM Software Support Lifecycle. (Search for zSecure in all products.)

---

### Considerations when upgrading from a previous release of zSecure Alert

If you are upgrading from a previous release of zSecure Alert, you must use one of the following procedures to ensure that you are using the correct software for the current release. If you have not started the zSecure Alert started task (C2POLICE) since the last IPL of the system, no specific upgrade steps are required.

- When stopping the previous version of C2POLICE, you must use the SIPL command; for example, you can use the following operator command:

```
MODIFY C2POLICE,SIPL
```

If you need to return to the previous release, you must again use the SIPL command to stop C2POLICE. After a successful stop of the started task, you can immediately start C2POLICE by using the previous release software.

- After stopping the current version of the zSecure Alert started task, you shut down and IPL the system. After the IPL, you start the zSecure Alert started task using the upgraded code. In this scenario, you do not need to perform any additional steps.

If you do not follow either of these procedures, startup might fail and an ABEND of the C2POLICE started task might occur. If startup fails with messages C2P0183E and C2P0123E, you might be able to recover using the FORCE startup parameter.

---

### Prerequisites for configuring and using zSecure Alert

#### About this task

zSecure Alert is one of the CARLa-driven components in the zSecure product family. For all CARLa-driven components, SMP/E installation is done concurrently, as described in Chapter 4, "Installation of the software," on page 9 and the *Program Directory: IBM Security zSecure CARLa-Driven Components*. All CARLa-driven components make use of a zSecure configuration.

#### Procedure

Before configuring or using zSecure Alert, you must complete the following procedure:

1. Complete the basic installation process documented in Chapter 4, “Installation of the software,” on page 9 and the *Program Directory: IBM Security zSecure CARLa-Driven Components*. The basic, shared part of the installation process is described in Chapter 4, “Installation of the software,” on page 9.
2. As part of the installation process, create and customize a library with the low-level CKRINST qualifier. This library is where you can find the setup jobs for zSecure Alert.
3. The SCKRLOAD component must be APF-authorized; see “APF authorization of the software” on page 18.
4. A zSecure configuration that enables zSecure Alert is required. The default zSecure configuration is shipped in *your.prefix.CKRPARM(C2R\$PARM)*. You might have configurations of your own. If you want to use zSecure Alert on multiple z/OS images, you must have a separate zSecure configuration for each image, with at least different SYS parameters. For additional information, see “Distribution of zSecure data sets to additional z/OS images” on page 17.

The Alert-enabled zSecure configuration is required to access the ISPF panels to configure zSecure Alert; pass this configuration to the C2POLICE and C2PCOLL started tasks. For more information, see Chapter 6, “Deployment of the software,” on page 23. You can also use this configuration when allocating data sets for zSecure Alert.

In the configuration, the parameters relevant for zSecure Alert are:

```

/* Parameters only used for zSecure Alert
/* SET C2PCUST='C2R.DATA.C2POLICE.C2PCUST'
/* SET C2POLICE='C2POLICE'
/* SET SIMESM=

```

As shipped, these parameters are commented out. You must uncomment them and supply your own choices for the zSecure Alert configuration data set and for the name of the address space.

---

## zSecure Alert address space overview

zSecure Alert runs as a started task. To collect all information for alert generation, it dynamically defines SMF exits. It also installs itself as an EMCS console and periodically starts the zSecure Collect program to obtain information about the system environment. For analysis and report generation, it invokes zSecure Audit at each reporting interval.

The following sections provide information about the available commands and options, guidelines for configuring the product, and information about the associated performance implications.

### Infrastructure

zSecure Alert runs as a permanently active started task (STC). Only one zSecure Alert address space can be active in a z/OS system image. It is started from the operator console using a regular start command.

The SMF exits capture all SMF records from all tasks in the system before they are written to the SMF log, that is, the MANx data sets or the system logger LOGSTREAM. The records are passed unmodified to possible other SMF exits and subsequent SMF processing. Only SMF records specified in the active SMFPRMxx parmlib member can be captured by SMF exits.

The EMCS console captures all WTO messages directed to the hardcopy set, typically the same as the SYSLOG. It does not modify any of the messages and allow standard processing to proceed.

The captured SMF records and WTO messages are optionally filtered. The remaining records and messages are saved in an in-memory buffer allocated in the private area of the STC for further processing.

The zSecure Collect program comes with zSecure Audit. It is used to periodically gather information about system libraries, UNIX files, current parmlib options, and so on. This information is gathered into a so-called CKFREEZE file. It is used to generate alerts for certain events related to critical system data sets and resources. It does not need for the installation to explicitly specify which data sets and resources are critical.

Some alerts base their selection criteria on the contents of the security database on your system as well as on the contents of the CKFREEZE file. This information is periodically refreshed by a preprocessing task, which prepares the queries included in the actual reporting step.

During each reporting interval, the data is passed to zSecure Audit for analysis and alert generation. Alerts can be issued through:

- E-mails
- Short text messages to pagers or cell phones
- WTOs, which can, for example, be captured by an automated operations package
- SNMP traps, which can in turn be captured by a network console like Tivoli NetView or Tivoli Enterprise Console
- UNIX Syslog messages, which, for example, can be sent to QRadar

**Note:** When commands are directed by RRSF or CPF, SMF records and consequently, alerts, are generated on the sending and on the receiving systems.

The analysis and reporting functionality provide great flexibility in the type of record selection criteria, the use of thresholds, and the formatting of alert messages. It also allows annotating, for example, userids with parts of the associated installation data or user data from the security database. It also allows general key-based lookups in other external files. See *IBM Security zSecure: CARLa Command Reference* for detailed information about the CARLa Auditing and Reporting Language (CARLa).

zSecure Alert uses dynamic SMF exits to capture all SMF records from all tasks in the system. However, it is only possible if these EXITS have been enabled by the SMF parameter member in PARMLIB. You must ensure that the exit routines IEFU83, IEFU84 and IEFU85 have been enabled for SYS and for all subsystems. For more information about specifying EXITS in SMFPRM, see the relevant chapter in the *MVS Initialization and Tuning Reference*.

If you have changed SMF EXIT definitions and activated them dynamically using a SET SMFcommand, you must also reinitialize the started task by a RESTART command. See “zSecure Alert operator commands” on page 101 for details about the RESTART command.

## Supported ddnames for the zSecure Alert started task

The zSecure Alert started task uses the following DD statements. Not all DD statements are required in all environments. Member C2POLICE in the SCKRPROC provides an example procedure for the zSecure Alert started task.

### C2P1OUT

The C2P1OUT DD statement identifies a work data set for the output of the pre-processing CARLa step, which is passed to the alert generating CARLa step.

### C2PDEBUG

The C2PDEBUG DD statement identifies the output stream that is used for error messages that CKRCARLA, CKFCOLL and C2POLICE issue.

### C2PEMFRB

The C2PEMFRB DD statement identifies a data set that retains information about the current base configuration that is used for extended monitoring. It is only needed if extended monitoring is active.

### C2RCMD

The C2RCMD DD statement identifies an output stream that is used for commands if you selected the **Write TSO-RACF command to C2RCMD DD** option on the Action Customization panel.

### C2RSMTTP

The C2RSMTTP DD statement identifies an output stream that is used for SMTP messages if you selected the **Write e-mails to C2RSMTTP DD** or **Write text messages to C2RSMTTP DD** option on the Alert Destination panel.

### C2RSNMP

The C2RSNMP DD statement identifies an output stream that is used for SNMP traps if you selected the **Write SNMP traps to C2RSNMP DD** option on the Alert Destination panel.

### C2RSYSLG

The C2RSYSLG DD statement identifies an output stream that is used for UNIX type SYSLOG messages if you selected the **Write messages to C2RSYSLG DD** option on the Alert Destination panel.

### C2RWTO

The C2RWTO DD statement identifies an output stream that is used for WTO messages if you selected the **Write WTOs to C2RWTO DD** option on the Alert Destination panel.

### CKFREEZE

The CKFREEZE DD statement identifies the CKFREEZE data set that is used for the standard alert generation process. The C2PCOLL started task updates it daily.

### CKGPRINT

The CKGPRINT DD statement identifies the output stream that is used for error messages that the CKGRACF program issues. You need this DD statement only if CKGRACF commands are specified as alert action commands.

### PARMLIB

The PARMLIB DD statement identifies the C2PCUST data set that contains the primary Alert configuration member.

### SC2PSAMP

The SC2PSAMP DD statement identifies the data set that contains standard and

customized CARLa members for use during alert generation. This data set should also contain the secondary Alert configuration members.

#### **STEPLIB**

The STEPLIB or JOBLIB DD statement identifies the library containing the C2POLICE and CKRCARLA programs.

#### **SYSINCKF**

The SYSINCKF DD statement identifies a data set with CKFCOLL input statements. Use this DD statement at the request of zSecure software support only.

#### **SYSRCKF**

The SYSRCKF DD statement identifies a work data set for the output of the CKFCOLL program that is used to collect data for the extended monitoring process. It is only needed if extended monitoring is active.

#### **SYSRRPT**

The SYSRRPT DD statement identifies a work data set for the output of the alert generating CARLa step (also known as the Reporting phase).

#### **SYSRST1**

The SYSRST1 DD statement identifies a work data set for the output of the pre-processing CARLa step (also known as the stage-1 phase).

#### **SYSTCPD**

The SYSTCPD DD statement identifies the TCP/IP configuration data set that must be used for the zSecure Alert started task.

#### **SYSTSIN**

Unused.

#### **SYSTSPRT**

The SYSTSPRT DD statement identifies the output stream that is used for messages and debug output for TSO commands that are executed in the zSecure Alert environment. These are mainly ALLOCATE commands for Extended Monitoring data sets.

## **Configuration**

By default, zSecure Alert captures all SMF records from all tasks in the system. Analysis is done at specified intervals. In large systems with high activity, the amount of buffer space needed can be significant. To reduce the amount of storage and speed up processing, you can specify a `filterlist` as part of the startup parameters to pass only certain records to the SMF record analysis routines. Doing so can also significantly reduce the required processing time. Likewise zSecure Alert captures all WTO messages by default, and it is possible to filter these messages.

By default, data analysis is performed every 60 seconds. Environment data is read in every hour. The CKFREEZE file with the I/O configuration data is refreshed daily. Furthermore, there is a *time window* interval for averaging alerts that use history data.

Specifying the values for filtering, analysis interval and buffering can be done through PARMLIB and console operator commands. The various interfaces for controlling zSecure Alert processing are described in this chapter. When using the zSecure Alert ISPF interface for configuration definition, most of the PARMLIB statements are automatically set and updated as required.

The zSecure Alert ISPF interface allows configuring the Extended Monitor settings. Selecting Extended Monitoring alerts is possible only if the person responsible for installation and deployment of the software has completed the process of enabling Extended Monitor alerts.

As previously mentioned under Infrastructure, the SMF record analysis is done using zSecure Admin and Audit functionality. All the possible alert situations are defined using CARLa scripts. They are specified by the SYSIN DD statement in the startup JCL. It is possible for an installation to modify the selection criteria and thresholds for the defined alerts, and to add installation-specific alerts. See the information about predefined alerts in the *IBM Security zSecure Alert: User Reference Manual* for a complete overview of the alerts shipped with zSecure Alert.

## Control

At startup time and during the execution of the program, it is possible to control its execution using commands from the operator console. The commands for direct operator interaction to manage the started task are documented in “Starting, stopping, and modifying the zSecure Alert started task” on page 99. Other commands are documented in “Other commands” on page 106. They are DEBUG, OPTION, REPORT, FILTER and so on. DEBUG is for diagnostics; OPTION is to manage the in-memory data buffers and the zSecure Collect background data gathering process. REPORT is used to specify the reporting interval and the events to report on. FILTER is used to limit the SMF record types and WTO messages collected.

---

## Post-installation tasks

After installation of zSecure Alert, complete the tasks described in the following sections.

### Setup of started tasks

zSecure Alert must run as a started task. Copy the C2PCOLL and C2POLICE procedures from SCKRPROC, and your zSecure Alert-enabled zSecure configuration members, to a started task procedure library on the system where you are going to use zSecure Alert. When copying these procedures you can choose different names:

- For the zSecure Collect started task, the name that you choose is the one you specify during Alert configuration. See the section about specifying general settings during Alert configuration in the *IBM Security zSecure Alert: User Reference Manual*.
- For the zSecure Alert address space, where the default is C2POLICE, use the name as specified in the C2POLICE parameter in the zSecure configuration.
- In both procedures, you must specify your Alert-enabled zSecure configuration.
- In the zSecure Alert JCL, you must update the PPARM parameter to reflect the name of the Alert configuration with which you intend to run. The PPARM parameter must be equal to the zSecure Alert configuration name, with a P appended. You can also use a system symbol in the name of the zSecure Alert configuration to be used. For example, you might change

```
// PPARM=C2PDFLP          C2POLICE parameter member <setname>P
```

to

```
// PPARM=&SYSNAME.P      C2POLICE parameter member <setname>P
```

If you further customize the JCL, make sure that you do not include an OUTPUT statement with DEFAULT=YES and an OUTDISP of PURGE or HOLD. Doing so prevents all email and text message alerts from being sent.

## Security resources

You must set authorizations on all systems where you are going to run zSecure Alert, unless you share the security databases. Security requirements for the zSecure Alert started tasks include:

- The userid and group or logonid that you intend to run the C2POLICE and C2PCOLL address spaces, or the names you selected for them. For C2PCOLL, the userid or logonid must have an OMVS segment for RACF, or an OMVS record for ACF2.
- Started task names and security resources to assign the required userids and group or logonid to these started tasks.
- On both RACF and ACF2 systems, READ access to the XFACILIT resource CKR.READALL and CKR.CKRCARLA.APF is required. The userid also requires READ access to several OPERCMDS resources. See job C2PZAIN0 for RACF or job C2PZAINA for ACF2.
- The userid or logonid for C2PCOLL must have READ access to the XFACILIT resources CKF.AUDIT and CKF.ALERT, unless your installation configured a different security class. See Appendix A, "Site module," on page 173.
- Started task output protection.
- Data set names and profiles covering them. This might include PROGRAM profiles when PADS access is being used.

For the users who configure zSecure Alert, the following security requirements apply:

- READ access to the data sets where the zSecure software resides.
- Access to the zSecure-specific resources that determine which options and actions are available. See Appendix B, "Security setup for zSecure," on page 175.
- For configuring, UPDATE or WRITE for ACF2 access to the zSecure Alert configuration data set is required. With READ access, the user can examine the configuration.
- The user must have READ access to the following resources:

```
OPERCMS: MVS.MODIFY.STC.C2POLICE.C2POLICE
OPERCMS: MVS.MCSOPER.<userid>* (the actual resource depending on the ISPF screen id)
TSOAUTH: CONSOLE
```

You need this access to determine which alert configuration is currently active and to refresh an alert configuration. Without this access, both of the following items occur:

- The Act indicator on the "Managing alert configurations" panel remains blank. See the information about managing alert configurations using option SE.A.A in the *IBM Security zSecure Alert: User Reference Manual*.
- Updates to the alert configuration are not effective until the operator issues the F C2POLICE,REFRESH command or the zSecure Alert address space is restarted.

If you use zSecure Alert on multiple z/OS images, there is no cross-image communication. Therefore, the Act column is blank even if an alert configuration is in use on a different z/OS image, and there is no automatic refresh on the other images.

Job C2PZAIN0 for RACF and job C2PZAINA for ACF2 in the SCKRSAMP library are supplied to help you set up these security resources. Note, however, that the security resources you create should be subject to your security policy, such as choices between generic and discrete profiles. You can decide to run this job after reviewing the RACF or ACF2 commands.

In these jobs, some things are assumed and not customized during the zSecure configuration; you might want to change these things:

- The started tasks for zSecure Alert run under a common userid or logonid.
- On RACF systems, group name SYSAUDIT is assumed as the group to contain system auditors, but you can choose another group. Connect to the SYSAUDIT group. If you do not, the allocation of data sets for zSecure Alert (job C2PZAIN1) will fail.
- On RACF systems, the group owner is set to SYSAUTH.
- It is assumed that profiles or rules have been set up for the SCKRLOAD data set and that a separate profile or rule exists for the other data sets. If you have a different setup, adapt this in this job.
- On RACF systems, it is assumed that PROGRAM profile CKRCARLA exists. If you do not use PROGRAM profiles, you can remove the rdefines, ralters and permits for the PROGRAM profiles.

## Required data sets

zSecure Alert requires the following data sets:

- A dedicated CKFREEZE data set. This data set must pertain to the z/OS image where zSecure Alert is running, and so it cannot be shared with zSecure Alert on other z/OS images. Because of serialization issues, the data set cannot be shared with zSecure Admin or zSecure Audit. The C2PCOLL address space periodically refreshes the contents of this CKFREEZE data set.
- Data sets with intermediate data for the C2POLICE address space. In the JCL, these data sets are identified as SYSPRST1, SYSPRRPT, SYSPRCKF, C2P10UT, and C2PEMFRB. The C2POLICE address space allocates them as shared so that you can view them for diagnostic purposes, but they must not be otherwise shared. By default, these data sets contain the system id in their names.
- The Alert configuration data set. This is the data set that you previously specified in the zSecure configuration. It is written into from the ISPF interface when option SE.A "Configure zSecure Alert" is used to customize zSecure Alert. The data set must be a PDS/E to prevent space abend. A PDS/E can be shared between system images. If the data set is shared between system images, then a different configuration can be used for different images, but they *can* also be the same.

Make sure that the Alert configuration data set is well protected against any attempt of intrusion into its configuration. For instance, intruders could find out the cell-phone numbers that are used for your alert messages and saturate them with messages that do not look intrusion-related before starting the actual intrusion.

When upgrading from a previous release of zSecure, do not create new configuration data sets. Instead, continue to use the data sets that contain the results of your earlier configuration effort.

As of zSecure 1.13, the Alert configuration data set must contain a member called C2PXPARM. You can use either of the following methods to create this member:

- Run the Setup Alert transactions under ISPF and Verify and Activate your Alert configuration. However, for a shared configuration, do not perform this action until all Alert instances that use the configuration data set run on the new release.
- Copy member C2PXPARM from the SCKRSAMP library.

Job C2PZAIN1 in the SCKRSAMP library is supplied to assist you in creating these data sets. Before submitting, customize as follows:

- Unless you updated the default zSecure configuration, change the JCLLIB and INCLUDE statements to specify the zSecure Alert-enabled zSecure configuration you prepared.
- If you install zSecure Alert on multiple z/OS images, you must run the job multiple times: once with each zSecure configuration. Preferably, run each of these jobs under the z/OS image where the corresponding zSecure Alert is to run. If this is not possible (for example, because you are installing into a z/OS image that has not yet been IPL'd), make sure that all data sets are allocated on volumes that are accessible from the intended z/OS image.
- If you want to share the zSecure Alert configuration data set among z/OS images, remove the C2PCUST allocation from all C2PZAIN1 runs except one.

## SMF requirements

zSecure Alert uses dynamically defined SMF exits to capture all SMF records from all tasks in the system, before they are written to the SMF log (that is, the MANx data sets). The records are passed unmodified to possible other SMF exits and subsequent SMF processing. SMF creates only those records that have been selected from SMFPRMxx. Also, the SMF dynamic exits are invoked only if the exits are enabled in SMFPRMxx. Before continuing, identify the SMF records that are required for your selected alerts, and enable the necessary SMF exits in your SMFPRMxx. Most alerts require one of the following:

- SMF records types 30 and 80 on RACF systems
- The type written by ACF2, which has default type 230 but might be different for your installation

For a detailed description, see the alert descriptions in the *IBM Security zSecure Alert: User Reference Manual*. The SMF exits that must be enabled are IEFU83, IEFU84 and IEFU85. Each exit point is used in a specific environment and for specific SMF records. Ensure that these three exit points are enabled for the entire system and for all defined subsystems.

```
SYS(EXIT(IEFU83,IEFU84,IEFU85))
```

Incorrect specifications in SMFPRMxx can lead to failure to detect some alert situations.

## Specifying data set parameters for extended monitoring

### About this task

For extended monitoring, the C2POLICE started task creates a CKFREEZE snapshot data set at each environment refresh interval. The parameters for allocating these data sets are entered in a special template format in member C2PEMFRT in the C2PCUST data set.

## Procedure

To create the C2PEMFRT member, follow these steps:

1. Copy member C2PEMFRT from the SCKRSAMP data set to the data set you specified for C2PCUST in your configuration member.
2. Edit the copied C2PEMFRT member. The sample member starts with the following lines:

```
alloc reuse fi(ckfreeze) -  
DA('your.prefix.DATA.CKFREEZE.D&LYR2.&Lmon.&Lday..T&LHR.&LMIN.') -  
mod space(2,1) cylinders release -  
recfm(v b s) lrecl(X) blk(27998)
```

3. In the sample member, edit the data set name, the space parameters, and the data set placement parameters to follow the installation conventions used in your environment.
  - Multiple input lines are allowed.
  - Indicate continuation lines with the minus sign (-).
  - Columns 73-80 are ignored.
  - The total command length must be less than 255 characters. The length includes all blanks between the last significant character on a line and the subsequent line continuation character, the minus sign (-).
  - Aside from the symbol substitution, the command entered in these members must be a complete and valid TSO ALLOCATE command. Remove any keywords that are not needed, for example, the VOLUME keyword.
  - The REUSE and FILE keywords must be kept as shown in the example. The filename specified must be CKFREEZE.
  - System symbols can be included anywhere in the command. User and JCL symbols are not supported.
  - The record format of the data set must be variable blocked spanned, as indicated by the RECFM(V B S) keywords.
  - The data set name specification must begin with the string DA('
  - The data set name specification must end with the string ')
  - The data set names as specified must end in D&LYR2&LMON&LDAY.T &LHR&LMIN. Specifying the data set names in this way results in a timestamp formatted as Dymmdd.Thhmm.
  - You can specify any leading qualifiers you want, as long as the data set name after symbol substitution remains valid.
  - The qualifier DATA in the sample data set name can be replaced by S&sysclone. to reflect the system where the snapshot data set is created.
  - You can specify additional parameters for the allocation. For example, if your installation supports specification of SMS constructs such as STORCLAS or MGMTCLAS, you can use them here.
  - Optional comment lines must be included at the end. Comment is included between the comment delimiters /\* and \*/.
4. Save the C2PEMFRT member.

**Note:** It is important to specify the qualifiers before the final date and time qualifiers so that only the intended CKFREEZE snapshot data sets match. All data sets starting with these qualifiers are considered as temporary CKFREEZE data sets that are eventually deleted.

## Setup of the alert configuration data set

Set up alert configuration as described in the *IBM Security zSecure Alert: User Reference Manual*. Before you enter the zSecure ISPF interface, first create the zSecure Alert Configuration Data Set.

## Startup of the zSecure Alert address space

When all configuration steps have status OK, you can start the zSecure Alert started task with the MVS command START C2POLICE. When you use a zSecure Alert configuration other than the default C2PDFL, change the PPARM parameter in the C2POLICE started task JCL. That is, PPARM must be the configuration name suffixed with a P. Alternatively, specify the PPARM on the start command.

After the first time you start the zSecure Alert address space, issue the following MVS command:

```
F C2POLICE,COLLECT
```

Issuing this command ensures that the zSecure Alert address space uses a matching CKFREEZE file. Subsequent refreshes are done automatically.

Start zSecure Alert soon after each IPL, using your Automated Operation software or PARMLIB member COMMNDxx. However, do not start zSecure Alert before OMVS is fully initialized. The following message indicates that OMVS is fully initialized:

```
BPXI004I OMVS INITIALIZATION COMPLETE
```

The wait is required because zSecure Alert requires TCP/IP services.

## The preamble member C2PXDEF1

The C2PXDEF1 member is automatically created (empty) in the zSecure Alert configuration data set by the SE.A transaction when the member does not exist yet and update is allowed. This member is used as a preamble for zSecure Alert processing, both in the zSecure Alert address space and during Verify. It is intended to be used only as directed by IBM Software Support.

Errors in other software sometimes cause data that is not valid to be written. For example, badly formatted SMF records can cause error messages in zSecure Alert. However, these error messages do not make it clear that the errors are caused by invalid input, and usually IBM Software Support is contacted. If this happens, IBM can send you a set of CARLa statements that you can temporarily use in C2PXDEF1 until the problems with the OEM-vendor are solved.

---

## Starting, stopping, and modifying the zSecure Alert started task

zSecure Alert is started from the operator console by a START command. The command executes the procedure from the applicable system proclib. It is possible to specify startup parameters. These parameters can be given at the START command itself. An example of such a START command is:

```
S C2POLICE,PARM.C2POLICE=DEBUG
```

See “zSecure Alert START parameters” on page 100 for the available startup parameters.

zSecure Alert also supports parameter input from the PARMLIB DD statement in the startup procedure. The PARMLIB DD statement is used for those parameters that determine the normal operational environment. The parameters can be specified in

the form of commands with keywords. TSO conventions are used for these commands. See the sections on the following pages for detailed descriptions of the supported commands, keywords, and parameters.

During execution of the started task, the console operator can also issue commands to monitor, or modify the functioning of zSecure Alert. All these commands can be issued through the MODIFY console command. MVS supports use of the F command as an alias of the MODIFY command. An example of such a command is:

```
MODIFY C2POLICE,DISPLAY
```

The text after the comma must be one of the supported zSecure Alert operator commands.

To terminate the started task, the console operator can issue the STOP command. MVS supports use of the P command as an alias of the STOP command; for example:

```
P C2POLICE
```

The STOP command can also be issued as the parameter on the MODIFY command.

```
F C2POLICE,STOP
```

See “zSecure Alert operator commands” on page 101 for the detailed description of the operator commands available in zSecure Alert.

## **zSecure Alert START parameters**

zSecure Alert supports two startup parameters. Startup parameters can be used by the operator as part of the START command.

```
S C2POLICE,PARAM.C2POLICE=FORCE
```

For normal execution of zSecure Alert, you do not need to specify any startup parameter. By default, zSecure Alert detects if it is already executing, and issue an appropriate error message and terminate. Also, when zSecure Alert has been shut down previously, it reuses those critical system resources that can be obtained only once. These system resources cannot be returned to the system. It ensures that no system resources are wasted.

In some error situations, initialization of zSecure Alert fails. In those situations, one of the optional START parameters can be required.

### **DEBUG**

Specifies that diagnostic messages must be issued during the first part of the initialization. These diagnostic messages can also be used to determine possible problems in processing the standard PARMLIB parameters. This setting is in effect until a subsequent DEBUG command is issued either from the operator console, or from PARMLIB.

### **FORCE**

Specifies that irrespective of a previous execution, initialization must continue. Only use the FORCE option if you cannot start zSecure Alert normally. The FORCE option might enable starting zSecure Alert without the need to IPL the system. During normal operation, the FORCE option is never required.

## DEBUG-FORCE

Specifies that both the DEBUG and FORCE options must be active at startup.

## zSecure Alert operator commands

The zSecure Alert operator commands that might be used in the MODIFY console command are described in the following list:

### STOP

Stop execution of the zSecure Alert started task. This results in an orderly shutdown of the task. Some memory remains reserved after termination of the task to enable reuse of some critical system resources during a subsequent restart of the started task. The effect of the STOP MODIFY command is identical to that of the MVS STOP command.

The STOP command does not support any additional keywords.

### RESTART

This command results in an orderly shutdown of zSecure Alert processing, followed by an immediate reinitialization. The address space in which the started task is running is not terminated. No additional console operator command is needed to reactivate zSecure Alert processing. The main difference between a restart and a STOP command followed by a START command for the started task is the preservation of the ASID. Also, possible changes in the started task procedure cannot be effected during RESTART processing. During the time required to process the RESTART command, alert situations are not recognized, and no reports are generated.

Because the STOP/START sequence results in marking the address space as *non-reusable*, the RESTART command is preferred in most situations. This command prevents loss of potentially critical system resources.

The RESTART command does not support any additional keywords.

### REFRESH

This command results in the reprocessing of the command and parameters specified in the PARMLIB and a refresh of some subtasks. Not all PARMLIB commands can be processed. The OPTION command is not supported during a REFRESH. The preprocessing subtask is started and the reporting task is ended and restarted. Because the alert generating reporting task can only be restarted after completion of the preprocessing task, it might take several minutes for the refresh process to complete. During this period, alerts are still generated according to the existing configuration.

During the time that the zSecure collect process is running, the REFRESH command is accepted, but most processing is delayed. When the collect process has finished, subtask start and restart processing as described is performed.

The REFRESH command does not support any additional keywords.

### COLLECT

This command results in an immediate, synchronized execution of the zSecure Collect started task. Processing is identical to that resulting from the normal scheduled start of the zSecure Collect task. The name of the started task is controlled by the *CollectSTCName* parameter. The regular scheduled start of the STC is not affected and remains at the time specified by *CollectTime*.

While the zSecure Collect process is running, the reporting task remains active. Alerts continue to be issued as usual. The preprocessing task might be delayed until the collect task has completed.

The COLLECT command does not support any additional keywords.

**SIPL** This command is to be used only in emergency situations. It results in freeing all in-memory data structures, loss of a system level LX, that is, linkage index, and marking the address space as *non-reusable*. System level LX's are a limited resource, and cannot be recovered without an IPL of the system. When upgrading from one release of zSecure Alert to another, the installation instructions require that you shut down the previous version using this SIPL command.

The SIPL command does not support any additional keywords.

#### **DISPLAY**

This command results in a display of the status and options of zSecure Alert processing. It shows the current options, the buffer space used, the buffer number in use at the time, and the status of several error indicators if set.

The DISPLAY command does not support any additional keywords.

#### **REPORT**

This command enables you to set the values for the keywords that control processing of the captured data. The new values are used the next time that the program refers to them. Values specified through an operator MODIFY command are overwritten by values from PARMLIB during the next REFRESH, which might be started by the console operator, or automatically at the end of each *Stage1Interval*.

#### **FILTER**

This command enables you to set the filtering criteria for the SMF-records and the WTO-messages before they are captured in the in-memory buffers. Efficient use of these filter criteria can significantly reduce the amount of buffer space needed. The new filter criteria are effective immediately. For a complete description of all keywords, see "FILTER command" on page 113.

**Note:** Values specified through an operator MODIFY command are overwritten by values from PARMLIB during the next REFRESH, which might be started by the console operator, or automatically at the end of each *Stage1Interval*.

#### **DEBUG**

This command controls the diagnostic and monitoring messages that can be generated by the program. All messages are described in *IBM Security zSecure: Messages Guide*. The command is effective immediately. For a complete description of all keywords, see "DEBUG command" on page 106.

#### **DIAGNOSE**

This command is used to display detailed information or perform diagnostic tasks. It allows dumping some internal control blocks and tables for problem determination. The control blocks displayed are intended for IBM support personnel to diagnose certain problems. For a complete description of all keywords, see "DIAGNOSE command" on page 108.

## Cleanup and deactivation of SMF exits

Normally C2POLICE cleans up its environment, even when canceled. If C2POLICE is stopped and for some reason the zSecure Alert SMF exits are still active, you can use the following procedure to deactivate them. See the *IBM MVS System commands* manuals for the command syntax and *MVS Planning: Operations* for command authorizations.

First, issue the following command to determine whether the SMF exit module is active and under what exit names:

```
d prog,exit,mod=c2psmfu8
```

The output looks like the following example:

```
CSV462I 13.11.54 PROG,EXIT DISPLAY 494
MODULE C2PSMFU8
EXIT(S) SYS.IEFU85      SYS.IEFU84      SYS.IEFU83
EXIT(S) SYSTSO.IEFU83  SYSSTC.IEFU83  SYSASCH.IEFU83
EXIT(S) SYSJES2.IEFU83 SYSJES3.IEFU83 SYSTSO.IEFU84
EXIT(S) SYSJES3.IEFU84 SYSASCH.IEFU84 SYSJES2.IEFU84
EXIT(S) SYSSTC.IEFU84 SYSTSO.IEFU85  SYSSTC.IEFU85
EXIT(S) SYSASCH.IEFU85 SYSJES2.IEFU85  SYSJES3.IEFU85
```

Then deactivate for **each** exit by name:

```
setprog exit,modify,en=<exit name>,mod=c2psmfu8,state=inactive
```

---

## Configuration guidelines and performance implications

zSecure Alert processing consists of several parts. The parameters specified at startup influence the overall performance of zSecure Alert and its impact on other users. The most important parameters in this respect are the *intervals* and the *filters*.

### Filters

As indicated in “Configuration” on page 93, filtering is mostly a performance issue, although too narrow a filter can cause alerts to be lost. Filtering is done based on WTO message identifiers and SMF record types and subtypes only. The actual event selection must be done in the CARLa in the skeleton members for the individual alerts. If you specify no filter, all SMF records and WTO messages are captured. The predefined alerts have their filter settings preset. For your own alerts, you must specify the correct filter settings from interface option SE.A.A, see the information about adding your own alerts in the *IBM Security zSecure Alert: User Reference Manual*. When you Verify an alert configuration, the correct overall filter settings are generated for activation through the Refresh action.

### Intervals

There are several relevant intervals:

- Reporting interval for performing data analysis and generating alerts
- stage 1 interval for reassessing the environment
- "average" interval for "moving window" analysis

By default, data analysis is done every 60 seconds. This interval might be increased if you do not need almost real-time alert messages. If you need a faster response, you can reduce the interval time.

**Note:** For each reporting interval, a new buffer is used so that this ties in with the buffer considerations explained in the next section.

The stage-1 preprocessing subtask obtains current information about the system environment and user attributes. This task is carried out hourly by default. If you do not like outdated information, you must process the security database and the CKFREEZE file every reporting interval. However, it is not necessary. Since obtaining a new I/O configuration image is a costly process, zSecure Collect is normally scheduled to run each day at a particular time to refresh the CKFREEZE file. However, it is also possible to have zSecure Alert dispatch this task by the operator command `MODIFY C2POLICE,COLLECT`.

Some "averaging" alerts with thresholds might use a time window larger than the reporting interval. For these alerts, SMF records are kept in history buffers for five times the reporting interval, for example. This long-term analysis interval can be adjusted as well, depending on your reporting needs.

## Buffers

Another important consideration for the configuration of zSecure Alert is the in-memory buffer usage. The buffer space used by zSecure Alert is regular pageable storage in the private area of the zSecure Alert started task address space. It is similar in all aspects to the working storage of a TSO user editing a data set. As a guideline for calculating the buffer size, you can perform the following steps.

**Note:** The numbers given in the steps are for illustration purposes only and must not be used as a starting point for your system.

1. Look at the output of your SMF dump program. Summarize the number of RACF SMF records (Record type 80) or ACF2 SMF records, and Accounting SMF records (Record type 30) written per day.

For instance, on a small system, during an average day, the MAN data sets are switched and dumped five times. The output of the IFASMFDP program shows the following numbers of RACF or ACF2 SMF records: 50,000 32,000 69,000 49,000 and 27,000. The total number of RACF or ACF2 SMF records written during that average day is 227,000. The number of SMF 30 Records were: 19000 15000 31000 23000 and 17000. The total number of SMF 30 records during the day is 105,000.

2. Assuming an alert reporting interval of 1 minute (the default), calculate the number of records per interval.

In this example, it yields  $227,000 / 1440 = 158$  RACF or ACF2 records, and  $105,000 / 1440 = 73$  SMF-30 records per minute.

3. Look at the output of your SMF dump program for the average record length of these SMF records. It must be 250 - 300 bytes for the RACF records, 600 - 700 bytes for ACF2 records, and 1000 - 1500 bytes for the SMF-30 records.
4. Multiply the average number of records by the average record length to find the average buffer size per interval.

In the example of the small system, it results in  $(158 * 274) + (73 * 1224) = 132,644$  bytes.

5. To accommodate for normal fluctuations in system workload, multiply the average found by a factor of 5, and round up to the nearest "nice" number to find the best starting point for your *bufsize* parameter.

In the example, a good setting for the *bufsize* parameter is 700 KB.

After determining the minimum buffer size, the next concern is about the number of buffers required. As mentioned, the minimum number of buffers is also related to your long-term event analysis. For instance, if you want to generate an alert whenever a user generates more than 10 RACF logon violations in 10 minutes, the

amount of data kept in the buffers must represent at least 10 minutes. Because one buffer is always being filled with new events and therefore not available for the averaging process, the formula becomes:

$$\text{Numbufs} > (\text{AverageInterval} / \text{Interval}) + 1$$

As a starting point, use twice the number of buffers based on this formula. So, assuming that you use the default values for *Interval* (60 seconds) and for *AverageInterval* (300 seconds), you end up with  $2*((300/60)+1) = 12$  buffers.

Additional buffers allocated through this procedure can be used as overflow buffers for periods with high system activity. Typically, such periods do not last long. The previous example calculation allows for short periods (1 minutes or 2 minutes) where three to four times the normal amount of SMF records must be captured.

In the previous examples, it is assumed that the default values for *Interval*, and *AverageInterval* are used. The main criteria for determining these parameters are the reporting requirements. For most installations, an alert response time of about 1 minute seems appropriate. It is also well in the normal response time of people to e-mails, or other methods of alert delivery. For the *AverageInterval*, the use of a 5-minute interval is sufficiently long to avoid excessive false alarms, It is also short enough to detect most situations for which alerts are wanted.

You can use the following values as starting values for these OPTION and REPORT parameters:

**Bufsize**

1024 (=1 MB) or 2048 for ACF2

This is based on the average length of an RACF or ACF2 SMF-record, the following specified interval, and an average of 40 RACF or ACF2 SMF-records per second during periods of high activity.

**NumBufs**

12

This is based on the long-term threshold time-period (*AverageInterval*) and the *Interval* period. It also allows for an additional six overflow buffers.

**Interval**

60 Seconds

**AverageInterval**

300 Seconds

During initial execution of zSecure Alert, monitor the in-memory buffer usage, using the DEBUG BUFFER command. This results in three messages at the end of each *Interval* period. The C2P0325 and C2P0326 messages indicate how much buffer space was used for SMF-records and WTO-messages. The total amount of space for the SMF-records and WTO-records must approximately match the expected space as calculated in step 4. In step 5, the buffer size was specified at five times the average expected space required. So, the buffers are expected to be used for only about 20 percent. It leaves ample space for fluctuations in system activity.

Using the same numbers as used in the previous example calculation, you might expect these messages:

```
C2P0333I Buffer index is 09
C2P0325I Buffer stats: SMF(cnt,len) 00000214-00131928
C2P0326I Buffer stats: WTO(cnt,len) 00000000-00000000
```

The messages confirm that your expected record rate was about right, that is, 214 records versus the expected 231, and that the average size of the records was also in the right order of magnitude, that is, 131,928 versus the expected 132,644.

When activating buffer debug messages, zSecure Alert also generates a message whenever there is a need for an overflow buffer. See the following message example:

```
C2P0334I Extended buffer used
C2P0333I Buffer index is 02
C2P0325I Buffer stats: SMF(cnt,len) 00002728-01037650
C2P0326I Buffer stats: WTO(cnt,len) 00000000-00000000
C2P0333I Buffer index is 03
C2P0325I Buffer stats: SMF(cnt,len) 00000814-00307855
C2P0326I Buffer stats: WTO(cnt,len) 00000000-00000000
```

These messages are issued in addition to the regular buffer usage messages. The indicated buffer '02' is the previous buffer that was overflowing into the subsequent buffer ('03'), which is shown in the regular C2P0325 and C2P0326 messages that follow.

Using the steps previously outlined, you are able to select a minimum buffer size and number of buffers that fits your needs, without using excessive system resources. The method starts with small buffers that can be increased when needed. An alternative approach is to start with many large buffers, and monitoring the buffer statistics messages. After a few tests, you can decide by which amount the buffer size must be reduced.

When allocating buffers, you must also consider the MEMLIMIT specified in the zSecure Alert started task JCL. The default MEMLIMIT value provided in the C2POLICE member in SCKRPROC is 8GB. This value must be at least 64MB larger than the total buffer space specified through *bufsize* and *numbufs*.

---

## Other commands

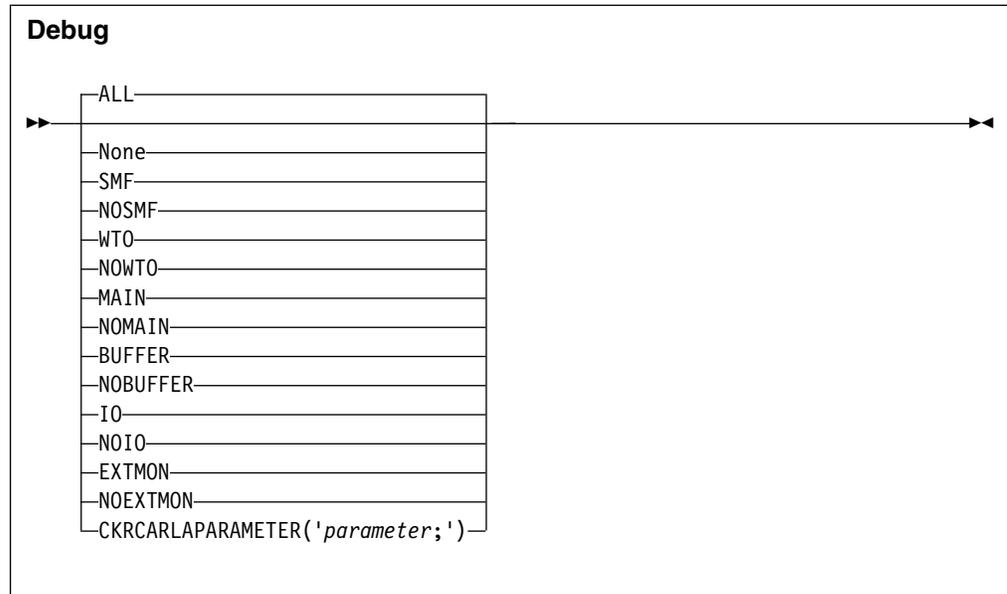
The following commands are not normally required. The DEBUG command enables you to obtain diagnostic information. You can enter these commands in the C2XPARM member in the Alert configuration data set; see “Required data sets” on page 96.

The other commands are normally generated by the interface; see the information about configuration in the *IBM Security zSecure Alert: User Reference Manual*.

## DEBUG command

The DEBUG command has the following syntax.

**Note:** Only one option can be specified. If you want to receive all messages except those messages related to WTO processing, you must issue two DEBUG commands (DEBUG ALL, followed by DEBUG NOWTO). The DEBUG command is valid both from PARMLIB and from the operator console.



The keywords and variables have the following values:

- All** This default level specifies that all diagnostic messages must be written to the console. Most of these messages are intended to assist during problem determination, and are not intended for routine customer usage. Use the messages resulting from DEBUG BUFFER routinely to determine minimum size for the data buffers.
- None** Deactivates creation of all diagnostic messages.
- SMF** Diagnostic messages related to processing SMF records are written to the console.
- NOSMF** Diagnostic messages related to processing SMF records are not written to the console.
- WTO** Diagnostic messages related to processing WTO messages are written to the console.
- NOWTO** Diagnostic messages related to processing WTO messages are not written to the console.
- MAIN** Diagnostic messages related to mainline processing are written to the console. It includes responding to operator commands, initialization and management of all subtasks, and major buffer management functions.
- NOMAIN** Diagnostic messages related to mainline processing are not written to the console. It includes responding to operator commands, initialization and management of all subtasks, and major buffer management functions.
- BUFFER** Buffer usage statistics are written to the console, joblog, and syslog at the end of each reporting interval. These messages can be used to determine the number of SMF records and WTO messages captured, and the amount of storage required for each. You can use these messages to track the minimum and maximum amount of buffer storage needed.

## NOBUFFER

Buffer usage statistics are not written to the console.

**IO** Specifies that all operations processed by the zSecure Alert data analysis I/O routine must be traced through SYSLOG. It might result in large numbers of WTO messages. This function is intended to be used by IBM support personnel to assist in diagnosing internal problems in the product.

## NOIO

I/O diagnostic messages are not to be generated.

## EXTMON

Diagnostic messages pertaining to Extended Monitoring alert processing are to be written to the operator console.

## NOEXTMON

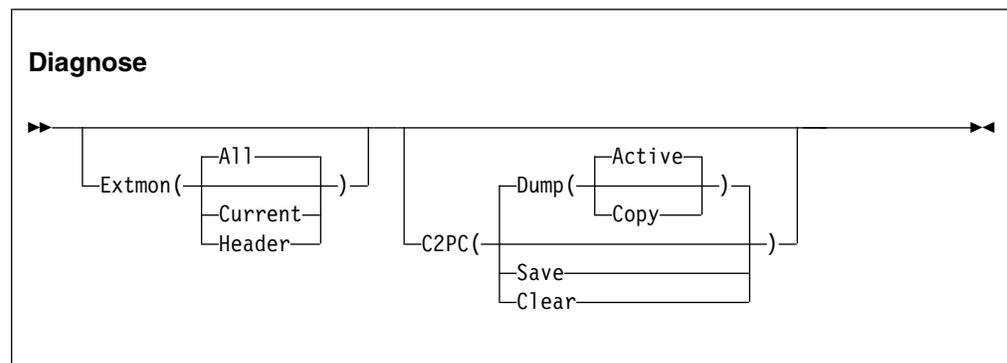
Diagnostic messages pertaining to Extended Monitoring alert processing are not to be written to the operator console.

## CKRCARLAPARAMETER

Specifies a string that is to be passed to all instances of CKRCARLA that are used within the C2POLICE started task. The string as specified must end with a semicolon, and must be enclosed in quotation marks. This parameter is intended for IBM Software Support personnel to diagnose problems. The maximum length of the string is 63 characters.

## DIAGNOSE command

The DIAGNOSE command causes the dumping of some internal control blocks and tables for problem determination. The control blocks displayed are intended for IBM support personnel to diagnose problems. The following diagram shows the syntax of the DIAGNOSE command.



The keywords and parameters have the following values:

### Extmon

Specifies that status information for Extended Monitoring snapshot data sets is to be displayed on the operator console. The available suboptions are:

**All** The names and status of all CKFREEZE snapshot data sets is displayed, The status information has the following layout:

```
LCB..CED
L The data set is listed in the system catalog
C This is the CURRENT snapshot data set
B This is the BASE snapshot data set
. Reserved
```

. Reserved  
C The snapshot data set is being created  
E This is an expired snapshot data set  
D This snapshot data set has been deleted

**Current**

The names and status of the Current and Base snapshot data sets are displayed.

**Header**

The header information from the internal CKFT control block is displayed on the operator console in dump format. This information is intended for IBM support personnel only.

**C2PC** Information from the internal C2PC control block is to be saved or displayed on the operator console. This information is intended for IBM support personnel only. The following suboptions are available:

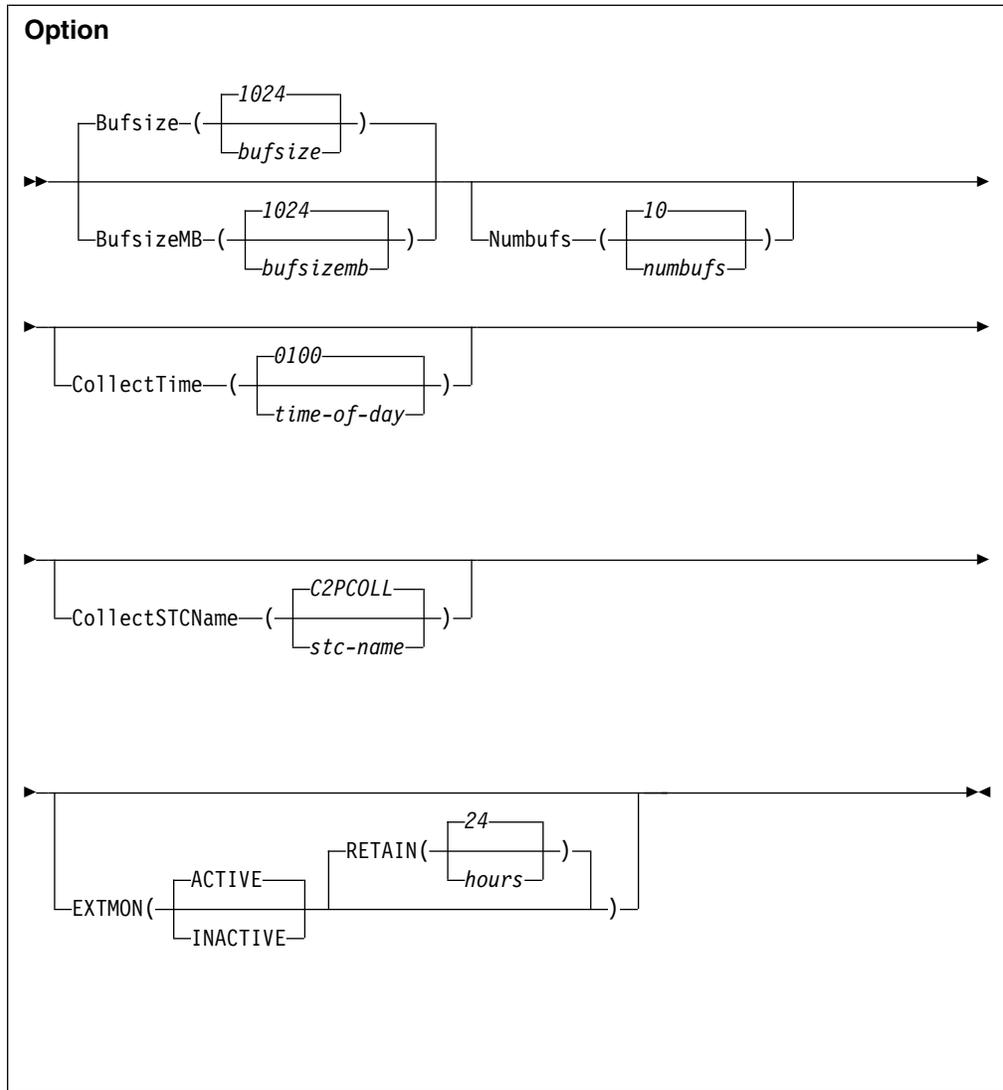
**Dump** The Active or saved Copy of the C2PC data area is displayed on the operator console in dump format.

**Save** The active C2PC data area is saved in the C2PC copy area.

**Clear** The saved copy of the C2PC data area is cleared (reset to binary zeros).

## **OPTION command**

The OPTION command is only valid when it is part of the PARMLIB statements. The main purpose of the OPTION command is to specify the number and size of the in-memory data buffers. It has the following syntax:



The keywords and variables have the following values:

### Bufsize/BufsizeMB

The `Bufsize/BufsizeMB` keyword can be specified only when the `OPTION` statement is used during startup or during `RESTART` processing. It is ignored during `REFRESH` processing.

`Bufsize/BufsizeMB` specifies the size of the in-memory buffers used for storing the SMF records and WTO messages during the *interval* period. Make sure that the buffer is large enough to contain all SMF records and WTO messages collected during that period. If the buffer is too small, zSecure Alert attempts to switch to an unused buffer. If no unused buffer is available, the buffer containing the oldest history data is used instead. If this new buffer is not available, a buffer overflow message is issued. Records of the current reporting interval are lost.

If you use the `Bufsize` keyword, specify the required buffer size in kilobytes. If you use the `BufsizeMB` keyword, specify the size in megabytes. Valid sizes for the buffers are between 1 kilobyte and 1 gigabyte. The size you specify is rounded up to the nearest megabyte. If you use both keywords in an `OPTION` statement, the last value specified is

used by the program. The buffers are allocated in 64-bit storage and count towards the specified MEMLIMIT of the started task. Use of overflow buffers, also called extended buffering, can significantly reduce the required buffer size. See “Configuration guidelines and performance implications” on page 103 for guidelines for selecting an appropriate buffer size for your installation. In general, it is more efficient to specify, for example, 10 buffers of 1 megabyte instead of 2 buffers of 5 megabytes.

### **Numbufs**

The Numbufs keyword can be specified only when the OPTION statement is used during startup or during RESTART processing. It is ignored during REFRESH processing.

Numbufs specifies the number of buffers allocated. The value *numbufs* must be between 2 and 32 inclusive. The total number of buffers must be sufficient to hold all captured SMF-records and WTO-messages, as required for the reporting specifications.

$\text{Numbufs} > (\text{AverageInterval} / \text{Interval}) + 1$

Specifying more buffers than the minimum enables their use for overflow purposes. This way you can reduce the bufsize and save all data collected during high-activity periods. If no overflow buffers are available, use the oldest history buffer instead. It results in losing some data required for long-term threshold analysis. See “Configuration guidelines and performance implications” on page 103 for guidelines on selecting an appropriate number of buffers for your installation.

### **CollectTime**

Specifies the time of day that the zSecure Collect started task must be started. The time must be specified in 24 hour format as four consecutive digits, that is, HHMM. For example, 1 AM must be specified as 0100, while 1 PM must be specified as 1300.

Time is specified between 0001, that is, 1 minute after midnight, and 2359, that is, 1 minute before midnight. The time value 0000 signifies that the zSecure Collect STC must not be started at all.

### **CollectSTCName**

Specifies the name of the started task (STC) in the system proclib. It can be used to generate an internal START command of the form

START name.name

Before using this feature, ensure that the procedure exists, that the correct userid and group are assigned to the started task, and that the started task has sufficient authorization to execute the zSecure Collect functions.

The zSecure Alert started task needs sufficient authorization for the start command. Follow the steps described in “Prerequisites for configuring and using zSecure Alert” on page 89 to define the necessary profiles.

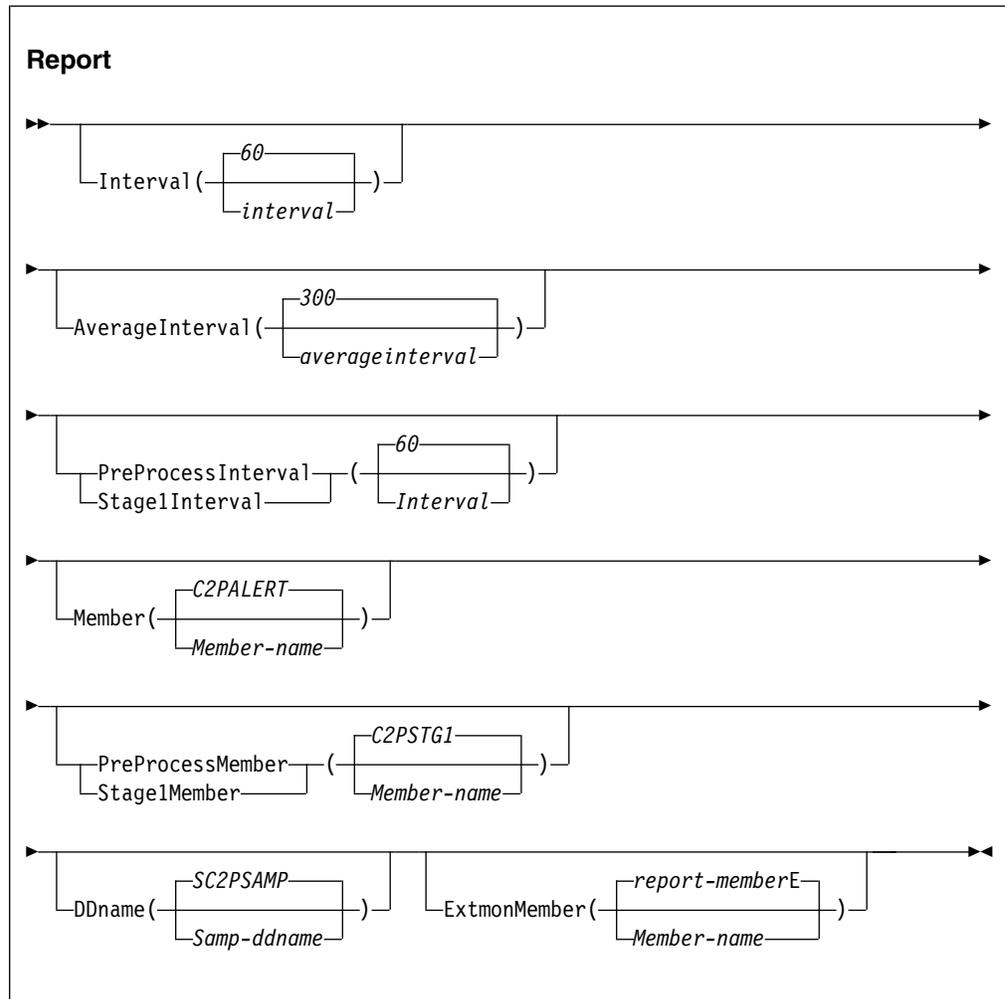
### **EXTMON**

Specifies that the Extended Monitoring process is to be used. It requires that the person who installed and configured the zSecure Alert software completes several configuration steps. These steps are described in “Post-installation tasks” on page 94. The first subparameter specifies whether the process is ACTIVE or INACTIVE. The second subparameter specifies the retention period of the CKFREEZE snapshot data sets. CKFREEZE snapshot data sets that are older than the specified retention

period are automatically deleted if the Extended Monitoring process is active. The default value for the RETAIN parameter is 24 hours.

## REPORT command

The REPORT command controls the timing of the reports and the source for the CARLa statements used for pre-processing environment information and report generation. The effects of the REPORT command might be delayed due to the cyclic nature of various tasks in zSecure Alert. For instance, a modified value for the *Interval* will only be used after expiration of the current interval. The REPORT command has the following syntax:



The keywords and variables have the following values:

### Interval

Specifies the interval at which zSecure Alert analyzes the collected data and generate appropriate alerts. The value *interval* specifies the time interval in seconds. Valid time intervals are 10 - 3600 seconds. The default value is 60 seconds.

### AverageInterval

Specifies the time over which zSecure Alert averages the occurrence of certain events for *moving window* analysis. This time is also called the

history period. Generally, this period would be five times as long as *interval*. The *numbufs* parameter must be sufficiently large to capture all data for the *AverageInterval* period.

$$\text{Numbufs} > (\text{AverageInterval} / \text{Interval}) + 1$$

The value *AverageInterval* specifies the time in seconds. Valid time averaging periods are 10 - 9999 seconds. The default value is 300 seconds.

#### **PreProcessInterval or Stage1Interval**

Specifies the interval at which zSecure Alert processes the information from the security database and the CKFREEZE file. The result of this processing is used as selection criteria for the regular record analysis. Because this process does not result in direct alert generation, but is only used as input for subsequent steps, it is called the STAGE1 CARLa process. To pick up the latest selection criteria, the reporting task will be refreshed after completion of the STAGE1 process. For the period that the STAGE1 process is active, operator REFRESH and COLLECT commands are postponed until the end of the process. The *Stage1Interval* must be specified in minutes, with valid values 10 - 1440. The default value is 60 minutes.

The best value for *Stage1Interval* is dependent on the frequency of updates to your system and to your security database.

#### **Member**

Specifies the membername in the partitioned data set that is used for the data analysis. It contains the CARLa statements that generate the appropriate alerts, as specified for your installation.

#### **PreProcessMember or Stage1Member**

Specifies the membername in the partitioned data set that is used for processing the security database and CKFREEZE file. It contains the CARLa statements that result in selection criteria used during the alert generation process. The output of the STAGE1 process must be explicitly included by the alert generation process.

#### **DDName**

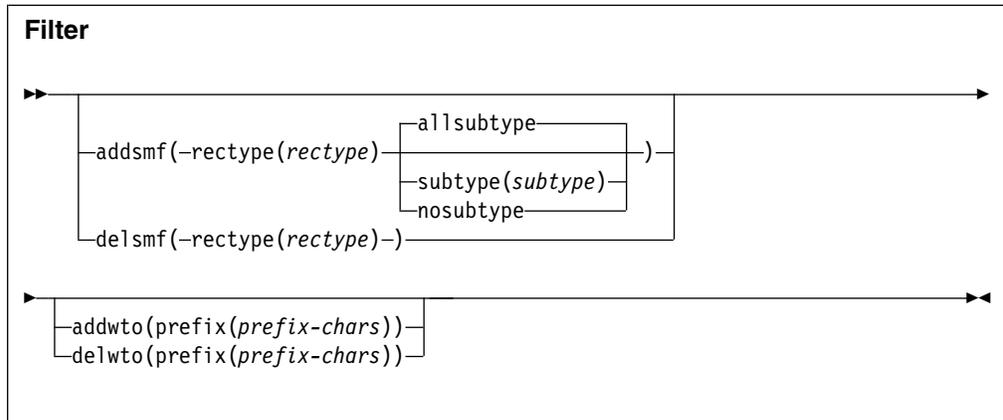
Specifies the JCL DD-name pointing to the partitioned data set containing CARLa statements used by zSecure Admin and Audit. It must contain at least the members indicated by *member* and *Stage1Member*.

#### **ExtmonMember**

Specifies the member-name in the partitioned data set that is used for the Extended Monitoring alerts. This member contains the CARLa statements that are used to analyze the CKFREEZE snapshot data sets and create appropriate alerts. If the ExtmonMember option is not specified, or if no member-name is specified, a default member name is used. The default member name is built from the member name specified for the MEMBER keyword followed by the letter "E".

## **FILTER command**

The filter criteria are used to limit the amount of data collected in the in-memory buffers for further processing. By using the FILTER command, it is possible to eliminate unused events early in the process, thus increasing the overall efficiency. If there are no SMF and WTO filter criteria specified, all SMF records and WTO messages are collected for further processing. To avoid this situation, the zSecure Alert User Interface will generate dummy filters, that do not match any event. The FILTER command has the following syntax:



The following section describes the possible keywords and parameters.

### ADDSMF

Specifies the additional filter criterion to be used for SMF-records. You can repeat the FILTER command to specify as many filter criteria as you need. The criterion you specify is added to the already active criteria. The SMF-record type to be selected is specified by the *rectype* and *subtype* parameters. The available suboptions are:

#### Allsubtype

Specifies that all SMF-record subtypes are included in the record filter (default). This specification can also be interpreted as the absence of any filtering on subtype. Subtypes are used for only SMF-record types 30, 80, 92, and ACF2. For all other SMF-record types, the subtype specification is ignored.

#### Rectype

Specifies the SMF-record type that must be selected or that must no longer be selected. The *rectype* parameter must have a numeric value 0 - 255, or the value **ACF2** to specify records generated by ACF2.

#### Subtype

Specifies the SMF-record subtype that must be selected. The *subtype* is only used for SMF-record types 30, 80, 92, and ACF2. For all other SMF-record types, the subtype is ignored. The value of *subtype* must be numeric or a single alphabetic character. The subtype is interpreted as follows:

##### Rectype 30

The *subtype* is the standard SMF-record subtype. Although currently SMF-Record type 30 only has defined subtypes 1 to 5, the range accepted by zSecure Alert is 1 - 8.

##### Rectype 80

The *subtype* is the RACF event code. For a complete list of RACF event codes, see RACF Auditor's guide. The range of values accepted by zSecure Alert is 1 - 255.

##### Rectype 92

The *subtype* is the standard SMF-record subtype. Although SMF-Record type 92 currently has defined only subtypes 1 - 17, the range accepted by zSecure Alert is 1 - 255.

### Rectype ACF2

The *subtype* is the ACF2 record type. For a complete list of ACF2 subtypes, see the “SELECT/LIST Fields” chapter in the *CARLa Command Reference*; see the ACF2\_SUBTYPE field in NEWLIST TYPE=SMF.

### Nosubtype

Specifies that the SMF-record subtype, as described previously for the Subtype keyword, must not be used as a selection criterion. Use of this keyword resets all subtypes previously specified for the indicated *rectype*.

### DELSMF

Specifies that you no longer want the specified SMF-record type to be selected. The SMF-record type is identified by the *rectype* parameter only. It is not possible to deactivate SMF-record selection per subtype.

### ADDWTO

Specifies the filter criteria used for the WTO-messages. You can specify up to 24 different filter criteria.

### DELWTO

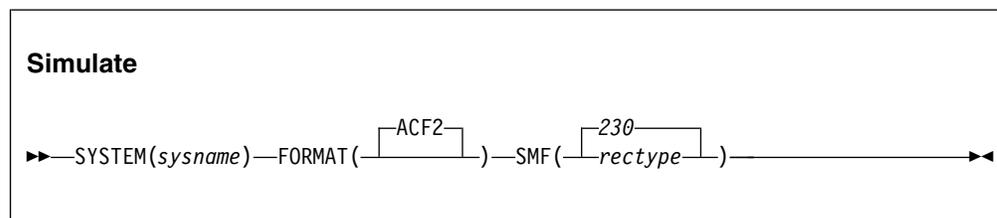
Specifies that you no longer want WTO message selection to occur for messages starting with *prefix-chars*.

### Prefix

Specifies the first characters of the WTO message identifier. If you want to include all ICH messages, simply specify ICH. If you only want to include ICH408I messages, specify the full seven (7) characters of the message identifier. The maximum length of the message prefix is eight (8) characters. The minimum length is one (1) character.

## SIMULATE command

For normal operations, the SIMULATE command is not required because zSecure Alert uses the documented interface to obtain the SMF-record type used by ACF2. Only when this process fails, the SIMULATE command is required. The command has various keywords and required parameters that are currently not used by zSecure Alert. These keywords and parameters are included for consistency with the zSecure Admin and Audit syntax of the SIMULATE command. They can be used in a future version of zSecure Alert. The SIMULATE command has the following syntax:



The following section describes the possible keywords and parameters.

### System

Specifies the system name to which this SIMULATE command applies. Currently, the value for *sysname* is ignored. You must specify the SMF\_ID of the current system.

**Format**

The only supported parameter **ACF2** indicates that this **SIMULATE** command is used to specify ACF2 specific options.

**SMF**

Specifies the SMF-record type for the ACF2 generated SMF-records. The parameter *rectype* must be numeric with a value 1 - 255. The default value is 230.

## Coexistence considerations

For migration purposes, the zSecure Alert configuration data set can be shared between z/OS images with different releases of zSecure Alert. However, share the configuration data set between different releases for only a limited amount of time, because new alerts and new functions are not available until all sharing systems have been upgraded. If you want to use new alerts and new functions, but do not want to upgrade all systems at the same time, temporarily break the sharing and assign different configuration data sets.

If you share the configuration data set, configure zSecure Alert only by using the lowest level ISPF interface in use. After a configuration data set has been upgraded, you can no longer make changes from the lower-level interface unless you back out the upgrade. Moreover, the lower-level zSecure Alert address space might or might not work correctly with a configuration that was created or maintained using the new ISPF interface.

Upgrading is supported from lower release versions that are still supported when the newer release is issued.

## Upgrade of zSecure Alert

When you use a higher level of the zSecure Alert configuration interface than the one used for the alert configurations present in your configuration data set, the following panel is displayed:

```

zSecure - Setup - Alert

UPGRADE process about to start for C2R.IP01.C2PCUST
Warning: The current zSecure Alert data set was created using downlevel
panels. There might still be a downlevel zSecure Alert using it. After
upgrade, a downlevel zSecure Alert can no longer use this data set and
customization will only be possible from the zSecure Alert release 1.12.0
(or newer) User Interface.

The following downlevel table has been found:
User interface level : 1.11.0
Table name           : C2PIUACD
Creation date        : 2011/03/08
Last change date     : 2012/05/10
Last change time     : 08:49:01
Last changed by      : ALERTU1

Select upgrade option
3  1. Upgrade from downlevel table
   2. Create new table
   3. Cancel upgrade process.

```

Figure 5. Setup Alert panel: Upgrading zSecure Alert

You can choose the following upgrade options:

### 1 - Upgrade from downlevel table

The Alert configurations are stored in ISPF tables. Choose this option if

you decide to maintain your old configuration with the alerts selected and destinations for each alert. The old configuration tables will be converted to new format tables. If one of the configuration steps requires additional information, it can be set to the status of Req instead of the desired state of OK. In this case, you must provide this information by using the corresponding action command. Configuration step Ver, which means verify your configuration, can always be set to Req, which means "required," to refresh the alert code. After selecting this option, you can no longer configure zSecure Alert with a lower-level ISPF interface.

### 2 - Create new table

Select this option when you do not want to keep your old configuration. A clean configuration is in use and you must perform all configuration steps; that means all configuration steps have status Req. As with option 1, you can no longer configure zSecure Alert with a lower-level ISPF interface.

### 3 - Cancel upgrade process

Select this option when you have not yet upgraded all systems that share the configuration data set to the current software level.

## Backout of an upgrade

zSecure Alert checks for the presence of higher-release tables. When you try to configure zSecure Alert with a lower-level ISPF interface than the level of your configuration, the following panel is displayed:

```

zSecure - Setup - Alert          Row 1 to 1 of 1
Command ==> _____ Scroll ==> CSR

The current zSecure Alert data set is shared with a higher level User
Interface. The following uplevel table(s) are found. You should configure
zSecure Alert from the highest User Interface level, or delete the higher
level table(s) by using the D action command.
Warning: Deleting the higher level table(s) results in the loss of all
customization performed from the higher level User Interface!
-----
  Level Table          Created   Changed   ID
  _  1.7.0 C2PIUACC     2005/05/08 2005/05/10 11:32:50 ALERTU1
***** Bottom of data *****

```

Figure 6. Configuring the correct ISPF interface level

Use the **D** (delete) action command only when you want to fall back to the current level. After backing out, rerun Verify and Refresh under the old ISPF interface to make the backout effective for the zSecure Alert address space.



---

## Chapter 13. Setup and use of the zSecure Visual Server

Using the zSecure Visual Server establishes a secure connection directly with RACF. You can then use the zSecure Visual client, a Windows-based graphical user interface, for decentralized RACF administration from the Windows environment.

Use the information in the following sections to install, configure, and use the Visual Server.

---

### Setup of the Visual Server

The following sections provide information about the prerequisites and procedures for installing the zSecure Visual Server:

- “Installation requirements”
- “Required system authorizations” on page 120
- “Owners, directories, and file systems preparation” on page 121
- “zSecure configuration for zSecure Visual” on page 122
- “zSecure Visual Server software” on page 122
- “Setup of a new zSecure Visual Server” on page 123

### Installation requirements

zSecure Visual is one of the CARLa-driven components in the zSecure product family. For all CARLa-driven components, SMP/E installation is done concurrently. All CARLa-driven components use the zSecure configuration.

Before configuring or using zSecure Visual, you must complete the basic installation process documented in Chapter 4, “Installation of the software,” on page 9 and the *Program Directory: IBM Security zSecure CARLa-Driven Components*. Be sure to perform the following tasks during installation:

- Create and customize a library with the low-level qualifier CKRINST. You can find the setup jobs for Visual here.
- The CKGRACF component must run APF-authorized. See “APF authorization of the software” on page 18.
- Establish the CKGRACF daily job. See “Requirements for running the daily CKGRACF job” on page 43.
- Ensure that both the CKGRACF and CKRCARLA programs are program-controlled. See “Setting up Program Control and PADS access” on page 185 for more information.
- Ensure that support modules from libraries such as *hlq.SCEERUN* and *hlq.SCEERUN2* (where *hlq* is CEE by default) are program-controlled.

**Note:** Normally, these data sets are in the `linklist` and are members of the profile `*` or `**` in the `PROGRAM` class. You must verify the data sets that apply to your system.

- Enable the zSecure configuration for zSecure Visual. See “zSecure configuration for zSecure Visual” on page 122 for instructions.

- You can run multiple instances of the server, and the instances can run different releases. See “Upgrading an existing V1.x Server to zSecure Visual 2.2.1” on page 125. However, within each server instance, all of the following components must be at the same level:
  - JCL
  - REXX
  - CARLa library
  - Load modules
  - The USS code that is extracted from the SCKRPAX library
 Using different levels of these components is not supported.

## Required system authorizations

Before using zSecure Visual, you must perform the following tasks:

- Establish authorization to set up the required users, groups, directories, and file systems. For instructions, see “Owners, directories, and file systems preparation” on page 121.
- Set up READ access to these FACILITY resources for the user who runs the Server Setup:
  - BPX.FILEATTR.APF
  - BPX.FILEATTR.PROGCTL
- Establish authorization to create and mount the dedicated file system needed for the zSecure Visual data. The file system can be HFS or zFS.
- Establish authorization to create entities in your system for job scheduling or automated operations, or for both, for the purpose of the production process.
- Set up UPDATE access to one of the procedure libraries of your Job Entry Subsystem and authority to set up STARTED profiles. These authorizations are required to set up the started task for the server. Alternatively, you can run the Server as a batch job. If you run the Server as a batch job, you must have the appropriate SURROGAT authority.
- Select and allow an available set of IP ports for each server. See “TCP/IP Security” on page 124 for more information.
- Provide READ access on the C2R.SERVER.ADMIN resource to the users who add new workstations to the server (in the XFACILIT class, unless your installation has customized this. For more information, see Appendix A, “Site module,” on page 173). Job C2RZWADM uses group MYGROUP. See “Setup of the server processes” on page 123. These users must also have a valid z/OS UNIX System Services home directory, unless the BPX.DEFAULT.USER has a valid home directory. Provide each user with a unique home directory so that they cannot read the generated install passwords of other users. Also, the default connect GROUP profile for these users must have an OMVS segment with a valid GID.
- The RACF userids of the RACF administrators who will use the Visual client, but who will not add new workstations to the server, also require a UID and GID. However, it is not necessary to define separate OMVS segments for these users. Instead, you can exploit BPX.DEFAULT or BPX.UNIQUE, depending on your z/OS system. Also, these users do not need home directories of their own.
- All users of the zSecure Visual client need READ access on the data set that is identified by the C2RWCUST DD statement in the server JCL, and to the data sets that are identified by the C2RWASSC member in that data set.

**Note:** The C2RWCUST DD statement is required starting with zSecure 1.12.

## Owners, directories, and file systems preparation

When the number of concurrently active clients exceeds the limit for a single server, you need multiple servers. Multiple servers can run in separate z/OS images or within a single z/OS image, sharing the file system where the software resides.

If you run multiple instances of the zSecure Visual Server, the servers can share the directory where the software resides, but each server instance must have its own instance-related data (that is, subdirectories run and log). As a result, an initial password (a one-time usable shared secret) that you generate for a client is valid only for that specific server instance. After the initial connect, the certificates are also valid only for that same server instance. Therefore, clients must access a server by an IP-address or DNS name that is always associated with the same server instance.

Using separate directories and file systems also eases your future upgrade of z/OS and the zSecure Visual software because you can upgrade the system and reinstall the zSecure Visual software while ensuring all data in place.

**Note:** Up to 315 clients can be connected to a server at the same time. To accommodate this many clients, the maximum number of open file descriptors allowed per process for the zSecure Visual Server userid must be raised to 1594 or more.

Because each file or directory in UNIX must have both an owning user and an owning group, you must assign owners. The following defaults are used in this documentation and the IBM-supplied jobs. You can adapt these defaults to fit the conventions of your installation:

*Table 6. Directory and file owner user and group id naming conventions*

|              | Software            | Data                |
|--------------|---------------------|---------------------|
| Owning User  | C2RUSER             | C2RSERVE            |
| Owning Group | C2RGROUP            | C2RSERVG            |
| Directory    | /usr/lpp/c2r/V2R2M1 | /u/c2rserve/server1 |
| Mount point  | /usr/lpp/c2r        | /u/c2rserve         |
| File system  | OMVS.C2R.ZFS        | OMVS.C2RSERVE.ZFS   |

As shown in Table 6, the data is owned by C2RSERVE, the default user under which the Server runs. The Server does not, however, own the files containing the software. Instead, the Server is granted READ and EXECUTE access to the software through the group permission bits and through a CONNECT to the group that owns the software files. The same access is required for people who are to use the client. Similarly, both the server and the users of the clients need READ access to the OS data sets where zSecure resides. The default high-level qualifier of these data sets is CKR.

In the same way, you can connect your security support and production control personnel to C2RSERVG to grant them access to the Server-owned data files because they might need to view log files.

**Note:** The default mount points in Table 6 do not coincide with the software and data directories. This configuration allows you to set up multiple Servers under a single userid. Similarly, you can install future software releases within a single file

system. If you want separate file systems for each release or for each Server, you can use the IBM-supplied jobs as templates and run them multiple times.

Automount is commonly done for file systems that are used for home directories, such as /u/c2rserve, but typically not for software. For file systems that are not automounted, update the BPXPRMxx member in your parmlib to ensure that the file systems are mounted after subsequent IPLs.

## **zSecure configuration for zSecure Visual**

The default zSecure configuration is C2R\$PARM. You can have other configurations. The following zSecure configuration parameters are specifically for zSecure Visual:

- C2RWCUST
- C2RW131A
- C2RWIN
- C2RSERVE

**Note:** The FIPS 140-2 cryptography standard was replaced by the NIST 800-131A standard. As a result, the C2RWFIPS configuration parameter was replaced by the C2RW131A parameter.

Make sure that the data set that is identified by C2RWCUST contains all members that are required for the current release. For a new configuration, empty members are created by job CKRZPOST, but CKRZPOST makes no updates to a configuration that you might already have put effort into.

See Appendix D, “Configuration parameters and members,” on page 189 for descriptions of these parameters and the members of C2RWCUST.

## **zSecure Visual Server software**

### **Software location**

As described in “Owners, directories, and file systems preparation” on page 121, the default location for the software is /usr/1pp/c2r/V2R2M1. However, you can choose a different location. For example, you can remove the release number, or add a maintenance level to the path name.

Before running the setup jobs, update your zSecure configuration so that the C2RWIN parameter reflects your chosen software location. Specify the updated configuration in subsequent setup jobs.

### **Owner and location preparation for the software**

You can use job C2RZCZFS to prepare the file system where the software is to be installed.

- You might not want a new file system; for example, you might want to use an already mounted file system from a previous installation. To use an existing file system, comment out the jobsteps that create and mount a file system. However, you must still run the other jobsteps to set up the directories.
- Because the C2RZCZFS job mounts the file system, run it as root. This mount does not persist after subsequent IPL. Ensure that the file system is mounted when needed. For example, you can include the mount in your BPXPRMxx member.

- The file system must be mounted with the SECURITY and SETUID attributes. These attributes are required because zSecure Visual runs as a daemon, and therefore requires a program-controlled environment.

For an upgrade installation, you normally do not have to prepare a new file system. However, create a new directory into which to unpack the software. You can then start using the upgraded software by changing the C2RWIN parameter in the zSecure configuration and restarting the Server.

### Unpacking the software

- Before running the C2RZWUNP job, supply the zSecure configuration that contains your customized C2RWIN parameter.
- Job step OS2ZFS copies the (SMP/E-installed) software into a UNIX file.
- After unpacking, the pax file is no longer needed, and you can discard it.

## Setup of a new zSecure Visual Server

The following sections provide information about the processes required to set up a new zSecure Visual Server:

- “Setup of the userid and file system”
- “Updating the Security zSecure configuration: the Server root”
- “Setup of the server processes”
- “TCP/IP Security” on page 124
- “First time startup of the Server” on page 125
- “Upgrading an existing V1.x Server to zSecure Visual 2.2.1” on page 125

### Setup of the userid and file system

Run the C2RZWUSR job as root because it mounts the file system and must transfer ownership of the created home directory to the userid of the server. The XFER jobstep transfers the ownership.

You can run multiple servers under the same userid, provided that each server has its own ServerRoot directory. Multiple servers under a single userid can run different releases of the software, provided that all of the servers run zSecure Visual 1.8.1 or higher.

### Updating the Security zSecure configuration: the Server root

As outlined in “Owners, directories, and file systems preparation” on page 121, each zSecure Visual Server must have its own directory to use as a Server root. To address the required directory, edit the zSecure configuration for each Server that you set up. Normally, you use a subdirectory of the home directory of the userid that runs the Server. For example:

- Default userid that runs the server is C2RSERVE
- Home directory of the C2RSERVE user is /u/c2rserve
- Default Server root is /u/c2rserve/server1

For each Server that you want to prepare:

1. Prepare the zSecure configuration, and then use this configuration in the subsequent jobs.
2. Run job C2RZRUT to establish the Server root.

### Setup of the server processes

To run as a started task:

- You must copy JCL-procedures C2RSERVE, C2RSTOP, and C2RSLOG to a library that is part of your JES procedure concatenation. You also must copy the zSecure configurations for all Servers to the same library, because for started tasks, no JCLLIB is available.
- Ensure that the Server process runs under the intended userid. The process that stops a server (C2RSTOP) or prints the server log files (C2RSLOG) must run under the same userid as the Server itself.

As an alternative to running as a started task, you might choose to construct batch jobs to run the C2RSERVE, C2RSTOP, and C2RSLOG procedures. For example, you might want to use your job scheduling system to start and stop the zSecure Visual Server. Or, during setup, you might want to run the first-time start as a batch job. For considerations on batch jobs versus started tasks, see “Making the software available for batch processes” on page 21.

Whether you select jobs or started tasks:

- Update the CONFIG=C2R\$PARM in the EXEC or PROC statement to reflect the zSecure configuration or configurations that you prepared for your Server or Servers. For a started task, consider using a System symbol as the configuration member name or part of the configuration member name.
- Procedures C2RSTOP and C2RSLOG must refer to the same zSecure configuration (the same Server root directory) as the Server that they are to operate on.
- Make sure that you leave the TIME=NOLIMIT specification in the JCL in place. The Server starter is a short-lived process, but the Server itself runs in a forked process, for which the MAXCPUTIM has no effect. The CPU time limit is inherited from the parent.

Job C2RZWADM is supplied to establish the required access for STARTED, SURROGAT, FACILITY and XFACILIT resources. See “Required system authorizations” on page 120. If you customized the Site module to use a resource class other than XFACILIT, change this job accordingly. See Appendix A, “Site module,” on page 173 for information about customizing the Site module.

## TCP/IP Security

The Server must have permission to use the IP stack and the selected port. You can configure a base TCP port in job C2RZWINI. In addition, the Server uses port base+1. The Server also uses a set of ephemeral ports, but you do not have to reserve these ports.

In the PORT or PORTRANGE statement in your TCP/IP configuration, specify a SAF resource. For example:

```
PORTRANGE 8000 2 TCP * NOAUTOLOG SAF VISUAL
```

This statement restricts the use of TCP ports 8000-8001 to users that have at least READ access to the EZB.PORTACCESS.sysname.tcpname.VISUAL resource in the SERVAUTH class. For sysname, the MVS system variable SYSNAME is substituted. For tcpname, the TCP/IP job name is substituted.

Instead of \*, you can fully or partially specify the jobname or jobnames that you intend to use for the server and for the first-time server-start job; for example, C2R\*. However, with SAF active, there is usually no need to impose jobname restrictions.

If you have activated protection of unreserved ports in your TCP/IP stack, you must grant permission to use these ports to the userid under which you will run the Server. For more information about protecting unreserved ports, go to the z/OS Internet library at:

<http://www.ibm.com/systems/z/os/zos/library/bkserv/index.html>

Select the version of z/OS that you are using then select **z/OS Communications Server -> IP Configuration Reference** in the **Contents** column.

In a multi-stack (CINET) environment, the zSecure Visual Server binds only to one stack at a time. To have a predictable IP address that your clients (and the SE.W transaction) can connect with, ensure that the same stack is used after each start of the server. For example, if the stack you want to use is named ABC, you can set up stack affinity by adding the following step to the C2RSERVE job before the C2RSERVE EXEC line:

```
//STEP0 EXEC PGM=BPXTCAFF,PARM=ABC
```

The Server, including the first-time start by job C2RZWINI, also needs at least READ access to the EZB.STACKACCESS.sysname.tcpname resource in the SERVAUTH class. For sysname, the MVS system variable SYSNAME is substituted. For tcpname, the TCP/IP job name is substituted.

### **First time startup of the Server**

Job C2RZWINI is supplied to start the Server for the first time and to establish a Certificate Authority. This job must run under the userid of the Server, and on the z/OS image where you intend to run the server. In a multi-system environment, either sysplex or more traditional multi-access spool, you might need to specify system affinity to ensure that the job runs on the correct system image.

Alternatively, you can run job C2RZWINI under a different userid, but in that case, after stopping the server, run job C2RZWXFR.

**Attention:** Never run job C2RZWINI when upgrading an already-established Server. Doing so invalidates all previously issued certificates.

After a while, you can see the following line in the <Server-root>/log/server.log) file:

```
P399M194V0.2.67L269A4S0E80:LCM: Initial certification completed successfully
```

After the Certificate Authority is established, run job C2RSLOG to print the server logs, and archive the output. IBM Software Support might request this output in case of problems.

Job C2RZWINI performs an initial start of the Server, but it does not terminate the Server although the job C2RZWINI itself terminates. For normal starting and stopping, use procedures C2RSERVE and C2RSTOP respectively, as described in “zSecure Visual Server operations” on page 142.

## **Upgrading an existing V1.x Server to zSecure Visual 2.2.1**

### **About this task**

For any upgrade, you must make sure that your local copies of the JCL (for example, in your JES procedure library when running the Visual Server as a started task) match the level of other zSecure components. These local JCL copies

include the zSecure configuration that you use for Visual Server. For a new server instance, job CKRZPOST has prepared your configuration, but this preparation is not done when upgrading, because the configuration contains your customization, which the CKRZPOST job does not overwrite.

zSecure Visual 2.1.0 and higher include a C2RW131A switch, which allows you to enforce communication to be compliant with NIST 800-131A. However, be aware that older Visual clients might not be enabled, so you likely want to roll out the new version before you actually enforce compliance. Even if the switch is set OFF, communication to clients that do support a compliant protocol will be compliant.

## Procedure

To upgrade the zSecure Visual software on an existing server, follow these steps:

1. Unpack the server software into a new directory that is different from the directory where the previous level was unpacked.
2. Verify that the server userid has the required access to the new directory and the files in the directory.

Make sure that the C2RW131A parameter value is set to OFF until all clients have upgraded to at least the 2.1.0 level of the zSecure Visual client.

3. Edit the zSecure configuration that your Server uses. Be sure that the C2RWIN parameter reflects the location of the new software.
4. Stop and then restart the server. If you are upgrading from a zSecure Visual Server that does not support NIST 800-131A compliant protocols to one that does, wait until you see the following messages in the server.log file in the log subdirectory before attempting to connect a client:

- E160:LCM: The LCM certificate in current use, *certificate*, is not NIST 800-131A compliant. A new LCM certificate will be generated in about 300 seconds.
- E130:CA: The CA certificate in current use, *certificate*, is not NIST 800-131A compliant. A new CA certificate will be generated in about 300 seconds.
- E130:CA: The CA certificate in current use, *certificate*, is NIST 800-131A compliant.
- E160:LCM: The LCM certificate in current use, *certificate*, is NIST 800-131A compliant.

Note that the order of these messages is important. The messages about compliant LCM certificates might occur multiple times, but ignore all messages before the message that says the CA certificate is NIST 800-131A-compliant. The first "LCM-compliant" message that comes after the "CA-compliant" message indicates that the server is ready to have clients connected to it.

**Attention:** Do not run job C2RZWINI when upgrading. Doing so invalidates all previously issued certificates.

## Compatibility of IBM Security zSecure Visual and zSecure components

Use the guidelines in this topic to plan for an upgrade of IBM Security zSecure Visual.

To optimize zSecure Visual features, all related components must be the same version. For optimum performance, combine zSecure Visual client 2.2.1 with:

- z/OS V2R2
- CKRCARLA 2.2.1
- CKGRACF 2.2.1
- zSecure Visual server 2.2.1

Upgrading the zSecure Visual client does not require the client to be at the same release level as the zSecure Visual server. However, IBM does not support using previous releases of the Visual client with the current release of the Visual server. See Table 7.

First, upgrade the server to the latest release, and then begin installing the new client. Multiple instances of the server can exist while you manage the workload of upgrading all the client instances.

Multiple zSecure Visual client versions can coexist on the same workstation. For example, on a single computer you can install version 2.2.1 of the client without first removing version 2.1. In general, multiple client versions can exist concurrently on a single computer *if no port conflicts exist*:

- Configure a different local port number than the default to run multiple versions in parallel.
- Ensure that the port value for each client version corresponds to the port of the Visual server with which it communicates.

Multiple zSecure server instances with different versions are also supported if your configuration prevents port conflicts. For more information, see the *IBM Security zSecure CARLa-Driven Components: Installation and Deployment Guide*.

Table 7 lists available support based on the zSecure Visual server version.

Table 7. zSecure Visual client versions compatibility

| Server Client         | zSecure Visual 2.2.1 | zSecure Visual 2.2.0 | zSecure Visual 2.1.1 | zSecure Visual 2.1.0 | zSecure Visual 1.13.1 | zSecure Visual 1.13.0 |
|-----------------------|----------------------|----------------------|----------------------|----------------------|-----------------------|-----------------------|
| zSecure Visual 2.2.1  | Supported            | No formal support    | No formal support    | No formal support    | No formal support     | No formal support     |
| zSecure Visual 2.2.0  | Compatible           | Supported            | No formal support    | No formal support    | No formal support     | No formal support     |
| zSecure Visual 2.1.1  | Compatible           | Compatible           | Supported            | No formal support    | No formal support     | No formal support     |
| zSecure Visual 2.1.0  | Compatible           | Compatible           | Compatible           | Supported            | No formal support     | No formal support     |
| zSecure Visual 1.13.1 | Compatible           | Compatible           | Compatible           | Compatible           | Supported             | No formal support     |
| zSecure Visual 1.13.0 | Compatible           | Compatible           | Compatible           | Compatible           | Compatible            | Supported             |

**Note:** *Compatible* means that new function is not supported in a downlevel client.

## Making clients known to the server

To access the server, zSecure Visual client must have a local server definition and a corresponding client definition on the server. The mainframe environment provides limited support for the initial or incidental configuration of clients. After at least one client is installed and configured, use this client to further create and maintain the client definitions. See “Authority to manage client definitions” on page 134 for information.

### Visual server access through ISPF

See “Installation requirements” on page 119 for guidelines on setting up the zSecure configuration for zSecure Visual. For more information about zSecure configurations, see Chapter 6, “Deployment of the software,” on page 23.

**Note:** The C2RWZINI job must have run against that particular server instance.

## Configuring the Visual Client

### About this task

The administrator uses the ISPF interface to configure the zSecure Visual client.

### Procedure

1. Go into IBM Security zSecure Admin on z/OS ISPF.
2. Enter **SE** (Setup), and select **W** (Windows configuration).
3. Use action **AP** to create a client and an initial password. You can also use action **A** for now and use action **P** at a later time. If you use action **AP** now and lose or cancel the initial password, or its validity expires before the client is successfully installed, you can use action **P** to generate a new initial password.

| Menu   | Options   | Info      | Commands | Setup                    |
|--|-----------|-----------|----------|--------------------------|
| -----  |           |           |          |                          |
| zSecure Visual - Configuration                                     |           |           |          |                          |
| Command ==> _____ _ start panel                                    |           |           |          |                          |
| 1 1. Add, delete, or install zSecure Visual Windows client         |           |           |          |                          |
| Server   | . . . . . | IP01      |          | (IP or DNS)              |
| Server base port   | . 8000    | _____     |          | (IP base port of server) |
| Act Agent id   | AP        | 12.1. 100 | _____    |                          |
| Act must be A, D, P, C, AP (A=add D=delete C=cancel pwd P=new pwd) |           |           |          |                          |

Figure 7. Configuration screen for the zSecure Visual Windows Client

Your TSO session does not have to be on the system where the server is active. Consequently, you must select the server by a resolvable DNS name or IP address and port number.

If you specify an IP address, ensure that you use the same IP address that your clients will use.

**Note:** Do not use these addresses:

#### Loopback address

Do not use because every stack has its own copy of the loopback address.

### Dynamic VIPA address

Do not use because such an address might move between stacks or even between z/OS images.

You must identify the client by its client ID. The client ID must match the ID that is used in the Server definition dialog on the client.

4. You are prompted to enter a userid and corresponding password:

```
Menu      Options      Info      Commands      Setup
-----
zSecure Visual - Configuration
Command ==> _____ start panel

1 1. Add, delete, or install zSecure Visual Windows client
+-----+
Server |                                     | or DNS)
Server |                                     | of server)
Act Ag | Enter userid and password          |
AP 12  | Userid . . . . ADMIN              |
      | Password . . . .                  |
+-----+

Act must be A, D, P, C, AP (A=add D=delete C=cancel pwd P=new pwd)
```

Figure 8. Userid and password configuration for zSecure Visual Windows client

If the logon is successful and the client exists, you receive the initial password that you must supply in the Server definition dialog on the client side. The initial password has a limited validity of seven days, or the duration of the server run. For cancellation of the password before its validity expires, see “Canceling a password” on page 130.

If the password generation fails, a general error message is displayed in the right upper corner of the screen. A more descriptive error message is also displayed. For problem diagnosis see “SE.W communication problems” on page 147.

5. Install the client on the personal computer by following the instructions in the *IBM Security zSecure Visual: Client Manual*. The new client can be installed next to a previous release. Customization of the previous release is not used by the new release. However, you can copy previously defined servers, including their certificates, as described in the *IBM Security zSecure Visual: Client Manual*.

**Note:** When upgrading a 1.x server, existing certificates will automatically be converted to the new encryption standard for 2.x servers. It is not possible to create new certificates for a 1.x client on a 2.2.1 server.

## Results

If the server behaves in an unexpected way, you can review the files in the log directory:

### **bbracf.log, server.log**

These files provide information about the latest run of the server.

### **bbracf.log0, ..., bbracf.log9**

These log history files correspond to previous runs of the server. There can be up to 10 log history files.

For additional information about debugging zSecure Visual client issues, see the *IBM Security zSecure Visual: Client Manual*

## Canceling a password

If you decide not to use an existing password for client installation, you can cancel the password by typing action **C** in the Windows configuration panel. To be effective, cancel the password before anyone actually uses the password to install the client. Before generating a new password, actions **P** and **AP** also cancel any password previously issued for the client.

## Creating Visual Clients in bulk

### About this task

The bulk-agent function also serves as a mass-password-reset; it does not test whether or not the agent IDs already exist.

The mass-add function can operate in two modes:

#### Autogen =yes

In this mode, the bulk process creates or overwrites a dataset with generated agent IDs and initial passwords, in a format such as:

```
614 >CFC51AF4A7
615 >5171DCADCD
```

The numbers are equivalent to the number that can be filled in on the zSecure Visual Configuration panel, in the column after the fixed constant 12.1. You can use the generated list to tell each agent-user his or her initial password. See the information about adding or editing a server definition in the *IBM Security zSecure Visual: Client Manual*.

#### Autogen = no

In this mode, the bulk process expects as input a dataset such as the one shown. The passwords and the > characters can be omitted, or they can contain what a previous run left in the dataset. The dataset must be sequential and have record format F or FB.

### Procedure

1. To invoke the bulk agent, go to any zSecure product panel under ISPF and type the following command on the command line:  
TSO C2RELSI BULK
2. Enter the following information in response to the prompt of line-mode dialog:
  - Base port number of the server
  - Whether or not you want Autogen mode
  - Agent number for the first agent and the number of agents you want to generate. These two numbers are required only in Autogen mode.
  - Dataset name for the zSecure Visual clients.
    - In non-Autogen mode, this dataset must already exist and contain the list of agents to be generated.
    - In Autogen mode, this dataset might or might not exist.
3. At the end of the dialog, you are prompted for the zSecure Visual Administrator's userid and password. When you supply these items correctly, the list of initial passwords is displayed.

---

## Configuration of client authorities

By default, client authorities are checked using resources in the XFACILIT class. However, your installation might have chosen to use a different resource class for the zSecure-related resources. See Appendix A, “Site module,” on page 173. Resources that are checked by z/OS UNIX System Services (that is, the resources covered by BPX.\*\*\*) cannot be reconfigured. These resources are always checked in the FACILITY class.

## Profiles for assigning interface levels to users

Mostly, the menu options, buttons, and fields in the zSecure Visual client application are enabled or disabled based on the user-selectable interface level. The central administrator can configure which interface levels each user can select. There are several interface levels: Helpdesk, Connect, User, Access List, Group, and Full. The *IBM Security zSecure Visual: Client Manual* documents exactly what is allowed under each of these levels.

To deny interface levels to client users, grant NONE access to the profiles listed in the following table:

*Table 8. Profiles for assigning interface levels to users*

| Profile                       | Interface level |
|-------------------------------|-----------------|
| C2R.CLIENT.INTERFACE.HELPDESK | Helpdesk        |
| C2R.CLIENT.INTERFACE.CONNECT  | Connect         |
| C2R.CLIENT.INTERFACE.USER     | User            |
| C2R.CLIENT.INTERFACE.ACCLIST  | Access List     |
| C2R.CLIENT.INTERFACE.GROUP    | Group           |
| C2R.CLIENT.INTERFACE.FULL     | Full            |

For compatibility reasons, discrete profiles are required for Interface levels. Interface levels for which no corresponding profile exists are available for all users of zSecure Visual.

The zSecure Visual client interface uses several more security resources to configure its functionality, as explained in the following topics. You can review a subset of these resources using the MYACCESS report output. To inspect the MYACCESS output for a user, use the following TSO command:

```
CKGRACF SHOW MYACCESS ID <id>
```

## Required access for generated commands

Although the menu options, button, check boxes, and fields in the client application are enabled or disabled based on the profiles described in “Profiles for assigning interface levels to users,” further permissions are required on the server side. Without these permissions, the commands that the client generates, depending on these buttons and check boxes, will fail on the server side. Therefore, whenever the central administrator grants a user a particular interface level, the administrator must also make sure that the user is granted access to the resources as specified in the following table:

Table 9. Resources for role-based authorities

| Resource                          | Uacc | Helpdesk | Connect | User | Access List | Group | Full |
|-----------------------------------|------|----------|---------|------|-------------|-------|------|
| CKG.CMD.CMD.EX.ADDGROUP           | n    | n        | n       | n    | n           | u     | u    |
| CKG.CMD.CMD.EX.ADDSD              | n    | n        | n       | u    | u           | u     | u    |
| CKG.CMD.CMD.EX.ADDUSER            | n    | n        | n       | u    | u           | u     | u    |
| CKG.CMD.CMD.EX.ALTDSD             | n    | n        | n       | u    | u           | u     | u    |
| CKG.CMD.CMD.EX.ALTGROUP           | n    | n        | n       | n    | n           | u     | u    |
| CKG.CMD.CMD.EX.ALTUSER            | n    | n        | n       | u    | u           | u     | u    |
| CKG.CMD.CMD.EX.DELDSD             | n    | n        | n       | n    | n           | u     | u    |
| CKG.CMD.CMD.EX.DELGROUP           | n    | n        | n       | n    | n           | u     | u    |
| CKG.CMD.CMD.EX.PERMIT             | n    | n        | n       | u    | u           | u     | u    |
| CKG.CMD.CMD.EX.RACMAP             | n    | r        | r       | r    | r           | r     | r    |
| CKG.CMD.CMD.EX.RALTER             | n    | n        | n       | u    | u           | u     | u    |
| CKG.CMD.CMD.EX.RDEFINE            | n    | n        | n       | u    | u           | u     | u    |
| CKG.CMD.CMD.EX.RDELETE            | n    | n        | n       | n    | n           | u     | u    |
| CKG.CMD.CMD.EX.SETROPTS           | n    | n        | n       | u    | u           | u     | u    |
| CKG.CMD.CMD.REQ.CONNECT           | n    | n        | u       | u    | u           | u     | u    |
| CKG.CMD.CMD.REQ.PERMIT            | n    | n        | n       | n    | u           | u     | u    |
| CKG.CMD.CMD.REQ.REMOVE            | n    | n        | u       | u    | u           | u     | u    |
| CKG.CMD.COMMENT                   | n    | r        | r       | r    | r           | r     | r    |
| CKG.CMD.LIST                      | r    | r        | r       | r    | r           | r     | r    |
| CKG.CMD.SHOW.MYACCESS             | n    | r        | r       | r    | r           | r     | r    |
| CKG.CMD.USER.REQ.PWDEFAULT        | n    | n        | n       | u    | u           | u     | u    |
| CKG.CMD.USER.REQ.PWNOHIST         | n    | n        | n       | n    | n           | n     | u    |
| CKG.CMD.USER.REQ.PWNORULE         | n    | n        | n       | n    | n           | n     | u    |
| CKG.CMD.USER.REQ.PWRESET          | n    | u        | u       | u    | u           | u     | u    |
| CKG.CMD.USER.REQ.PWSET            | n    | u        | u       | u    | u           | u     | u    |
| CKG.CMD.USER.REQ.PWSET.DEFAULT    | n    | n        | n       | u    | u           | u     | u    |
| CKG.CMD.USER.REQ.PWSET.EXPIRED    | n    | n        | n       | u    | u           | u     | u    |
| CKG.CMD.USER.REQ.PWSET.NONEXP     | n    | n        | n       | u    | u           | u     | u    |
| CKG.CMD.USER.REQ.PWSET.PASSWORD   | n    | n        | n       | u    | u           | u     | u    |
| CKG.CMD.USER.REQ.PWSET.PREVIOUS   | n    | n        | n       | u    | u           | u     | u    |
| CKG.CMD.USER.REQ.RESUME           | n    | r        | u       | u    | u           | u     | u    |
| CKG.CMD.USER.REQ.SCHEDULE         | n    | u        | u       | u    | u           | u     | u    |
| CKG.RAC.SCP.CONNECT.BASE.AUTH.USE | n    | n        | u       | u    | u           | u     | u    |
| CKG.RAC.SCP.CONNECT.BASE.AUTH.*   | n    | n        | n       | u    | u           | u     | u    |
| CKG.RAC.SCP*.BASE.*               | n    | n        | n       | n    | u           | u     | u    |
| CKG.SCP.ID.**                     | n    | n        | n       | n    | n           | n     | u    |

- Required access levels are abbreviated with n for NONE, r for READ, and u for UPDATE.

- Specifically for CKG.CMD.USER.REQ.PWNOHIST and CKG.CMD.USER.REQ.PWNORULE, granting a user UPDATE access results in bypassing the password history and the password rules, respectively, as specified in the RACF SETROPTS settings.
- For all other resources in the table, granting higher access has no effect. However, do not grant ALTER access because this access gives a user full control over not only the resource, but over the profile as well.
- Generic profiles are supported.
- In addition to any profiles you decide to cover with resources in the previous table, create catch-many profiles CKG.CMD.USER.REQ.\*, CKG.CMD.\*\*\*, CKG.RAC.\*\*\*, CKG.SCP.ID.\*.SYS1.\*, and CKG.\*\*\*, with UACC=NONE, and empty access lists. In this way, you prevent new functions of future releases of zSecure Visual from inadvertently becoming available to delegated administrators.

## Profiles for schedule name selection lists

The schedules a user can create are defined with discrete profiles of the form CKG.SCHEDULE.<SCHEDULE NAME>. When creating schedules, the user can select available schedule names from a list. The schedule name \$DELETE allows the user to mark user profiles for deletion. See the examples in the following table for some suggested schedule names.

Table 10. Profiles to provide schedule name selection list

| Profile               | Uacc | Help desk | Connect | User | Access List | Group | Full |
|-----------------------|------|-----------|---------|------|-------------|-------|------|
| CKG.SCHEDULE.\$DELETE | n    | n         | n       | u    | u           | u     | u    |
| CKG.SCHEDULE.GRPADMIN | n    | n         | u       | u    | n           | u     | u    |
| CKG.SCHEDULE.HELPDESK | n    | u         | u       | n    | n           | n     | n    |
| CKG.SCHEDULE.SYSADMIN | n    | n         | n       | n    | n           | n     | u    |

## Authorities required to duplicate a user

Usually at least group-special and CLAUTH(USER) authorities are required to duplicate a user. You must also have DATASET authorities to create aliases in the master catalog.

## Profiles to allow the Define Alias action

To allow the Define Alias action, create discrete profiles of the form CKG.UCAT.<USER CATALOG NAME>. These profiles are required because otherwise zSecure Visual has no way to know which user catalogs exist. When a zSecure Visual user is granted at least READ access to the profile representing the user catalog, the user can define an alias for a userid or groupid pointing to the catalog.

Table 11. Profile to allow the Define Alias action

| Profile                      | Uacc | Any role |
|------------------------------|------|----------|
| CKG.UCAT.<USER CATALOG NAME> | n    | nr*      |

## Resource for RACF scoping

When the user has READ or higher access to the resource, the system extends the user's CKGRACF scope to the RACF scope for users with READ access. If the user has NONE access, the scope is not extended.

Table 12. Resource to activate RACF scoping

| Resource     | Uacc | Any role |
|--------------|------|----------|
| CKG.SCP.RACF | n    | nr*      |

## Password change policy for zSecure Visual users

If your local policy requires users to specify a reason when changing a password, you can use the profile in the following table to enforce the policy. This profile is triggered when a user with NONE access to the profile attempts to change the password. In all other situations, specifying a reason is possible but not required. A discrete profile is required.

Table 13. Profile to enforce password change policy

| Profile                      | Uacc | Any role |
|------------------------------|------|----------|
| C2R.CLIENT.EMPTYREASON.PWSET | n    | n        |

## Segment editing for users

In order to edit segments, users require UPDATE access to the relevant resource for the class as seen in “Required access for generated commands” on page 131. (Specifically, they are CKG.CMD.CMD.EX.ALTUSER, CKG.CMD.CMD.EX.ALTGROUP, CKG.CMD.CMD.EX.ALTDSD and CKG.CMD.CMD.EX.RALTER.) In addition, users require UPDATE access to the necessary FIELD class resources (or System Special).

The following table shows the syntax of the resource that is used to control segment editing.

Table 14. Resource that controls segment editing

| Profile                   | Uacc | Any role |
|---------------------------|------|----------|
| <CLASS>.<SEGMENT>.<FIELD> | n    | u        |

## Authority to manage client definitions

A zSecure Visual client requires a local server definition and a corresponding client definition on the server in order to access the server through a safe channel. To set up a new channel, an initial password is needed once. To be able to manage the client definitions located on the server, the administrator must have READ or higher access on the C2R.SERVER.ADMIN resource. This access allows the administrator to create new client definitions, edit and delete existing ones, and also to generate initial passwords on any system the administrator can log on to. You might want to grant this authority to only a few people.

Table 15. Resource to maintain zSecure Visual server and client definitions

| Resource         | Uacc | Any role |
|------------------|------|----------|
| C2R.SERVER.ADMIN | n    | r        |

## Profile for viewing system-wide RACF options

To view the system-wide RACF options, users must have READ access on the following discrete profile:

Table 16. Profile for viewing system-wide RACF options

| Profile             | Uacc | Any role |
|---------------------|------|----------|
| C2R.CLIENT.SETROPTS | n    | r        |

This profile is defined as a discrete profile in the XFACILIT class. If a zSecure Visual client user does not have READ access to the profile, the user cannot display the RACF SETROPTS settings.

---

## Implementing site-specific functions

zSecure Visual supports two site-specific functions:

- Presenting site-specific user data in the Visual Client; data that is user-only information, specific to your organization, like employee numbers and department codes.
- Calling site-specific REXX scripts from the Visual Client through its user interface in a manner that is transparent to the end user. This makes the Visual Client fully customizable to support any new function for your organization.

If you want to implement these site-specific functions, additional configuration of the Visual Server is required.

### Site-specific user data

Use the guidelines and settings in this topic to configure site-specific user data for zSecure Visual.

You can configure zSecure Visual to present *site-specific user data*. This data is user-only information that is specific to your organization (for example, employee numbers and department codes). You can then retrieve, display, and search on this data in the following Visual client panels:

- User properties dialog
- User table
- Find dialog

For example, you can configure Visual Server to retrieve personnel information that the Visual client displays in addition to the other fields that it normally displays.

To configure for the display of site-specific user data in the Visual client, perform the following tasks:

- Determine what information and information characteristics to display to your users:
  - Location of and information in the site-specific user datasets that you want to display in the Visual client.
  - Column order in which you want to display the user data in the Visual client.
  - Columns of data for which the users can perform search operations.
  - Whether to display the site-specific user information in addition to or instead of INSTDATA information.
- Create the Associations and Record format configuration files to specify the location and format of the site-specific user information. These files are described in this section.

- Specify the allocation of the data sets to the Visual client using the C2RWASSC dataset member of the C2RWCUST ALLOC parameter. See the C2RWCUST parameter in Appendix D, "Configuration parameters and members," on page 189.

### Associations configuration file

This file specifies the name of the data sets where the site-specific user information is staged for access by the Visual Server. The Associations configuration file contains a header row followed by one or more data file definitions and one or more record format file definitions.

#### Header row

The header row is specified using the capital letter H as the first character, followed by the version number of the site associations configurations file, which is currently 1.13:

```
H1.13
```

#### Data file definitions

Specifies the user ID for which you want to view data and the name of the data file that contains the data records:

```
User_id DATA DSN='data.file'
```

#### *User\_id*

Specifies an individual user ID or a generic user ID for all users. Start each row with a capital U as the first character. Separate this keyword from the DATA keyword with one or more spaces.

##### **Individual** *user\_id*

Specify an individual RACF user ID to retrieve and present user information for a single user ID. This user ID must match the user ID that is logged onto the client in order to use the specified data file. Individual user IDs are useful for testing an initial setup of the data for display and for restricting access to specific users.

##### **Generic** *user\_id*

Specify a generic user ID to retrieve and present user information for all user IDs. Use an asterisk (\*) to specify a generic user ID (U\*). If an individual user ID is specified and verified, the generic user ID is not used. Generic user IDs are useful for presenting user information to a general population in your organization as part of normal operations.

**DATA** This keyword must precede the name of the data file containing the data records. Separate this keyword from the DSN parameter with one or more spaces.

```
DSN='data.file'
```

Specifies the name of the data file containing the data records for the specified user ID. Enclose the file name in single quotation marks.

#### Record format file definitions

Specifies the user ID for which you want to view data and the name of the Record format configuration file:

```
User_id RECFORMAT DSN='recordformat.file'
```

### *User\_id*

Specifies an individual user ID or a generic user ID for all users. Start each row with a capital U as the first character. Separate this keyword from the RECFORMAT keyword with one or more spaces.

#### **Individual** *user\_id*

Specify an individual RACF user ID to format retrieved user information for a single user ID.

#### **Generic** *user\_id*

Specify a generic user ID to format retrieved user information for all user IDs. Use an asterisk (\*) to specify a generic user ID (U\*).

### **RECFORMAT**

This keyword must precede the name of the Record format file. Separate this keyword from the DSN parameter with one or more spaces.

#### **DSN='record\_format.file'**

Specifies the name of the Record format configuration file. Enclose the file name in single quotation marks.

### **Example contents for Associations configuration file**

This example demonstrates the specification of two data set names: one entry for an individual user and one generic entry for all users.

```
H1.13
UDEMOUSER  DATA      DSN='DEMO.DATA'
UDEMOUSER  RECFORMAT  DSN='DEMO.FORMAT1'
U*         DATA      DSN='SERV#1.DATA'
U*         RECFORMAT  DSN='SERV#1.RECFMT'
```

### **Record format configuration file**

The Record format configuration file specifies how to present the user information from the site-specific data file. The record format file has the following record types:

#### **FIELD key field**

The syntax of this entry is:

```
*FIELD 'field_name' (field_start,length)
```

where:

#### **\*FIELD**

Required. For CARLa to combine local site user data with RACF information, each row in the data file must contain a field that matches a value in the RACF database. This field is defined using the *field\_name*. When CARLa extracts the information from RACF, it uses the RACF value for the chosen field to look up the relevant row in the data file.

#### *field\_name*

Required. Specifies which user profile field is used to look up the relevant row in the in the data file. Single quotation marks are required around the field name. You must include at least one space after \*FIELD to separate the field name.

*field\_start,length*

Specifies the starting position and length of the field name in the data file that corresponds with the value specified as *field\_name*. Both values must be integers. Use the delimiters as shown in Example contents for Record format configuration file using \*FIELD; separate the integers with a comma and enclose both values in the parentheses.

### User ID key field

The syntax of this entry is:

\*USERID (*field\_start*)

where:

#### \*USERID

Required. For CARLa to combine local site user data with RACF information, each row in the data file must contain a field that matches a value in the RACF database, namely, the RACF USERID. When CARLa extracts the information from RACF, it uses the RACF value for UserID to look up the relevant row in the data file. CARLa then uses the offsets defined (for example, Department at offset 29 in the examples in Example contents for Record format configuration file using \*USERID) to extract and include in the values returned to the Visual client.

*field\_start*

Required. Specifies the starting position of the user ID field. The field is always 8 characters long, so you do not specify the length of the field. (All user IDs are eight characters long.) You must include at least one space after the \*USERID prefix to separate the *field\_start* value.

### Column definitions

*n* '*column\_title*' (*field\_start,length*) Y | N

*n* Specifies the column sequence number, which indicates the order in which the columns are displayed in the Users profile table and the User properties form. Specify a single integer in the range 1-9. The maximum number of columns is 9.

'*column\_title*'

Specifies the name that is assigned to the displayed column. You must use single quotation marks; for example, 'Department'. You can specify up to 20 characters.

(*field\_start,length*)

Specifies the starting position of the column and the width (length) of the field in the data file. Both values must be integers. You must use the delimiters as shown; separate the integers with a comma and enclose both values in parentheses. There is no validation for overlapping column definitions; the administrator is responsible for specifying the values correctly.

Y | N Specifies whether the column is enabled for searching (added to the search form). Specify Y to enable for searching or N to disable for searching. If you do not specify Y or N, the column is not enabled for searching.

## Installation data

\*INSTDATA

If this field is not specified, the Visual client displays the site-defined columns as a replacement to the Installation data field in the Users table and the User properties dialog. Add the \*INSTDATA row to the record layout if you want to display your site-specific user information and the installation data information in the Visual client.

## Example contents for Record format configuration file using \*FIELD

This example demonstrates a layout of site-specific user information in four fields (or columns, depending on the dialog), with one searchable field (Employee). The order of the fields can be different from the order in which the column definitions are listed (which is specified by the column sequence number). The user information is displayed in addition to installation data (INSTDATA) information.

This example lists the fields according to the sequential offsets in the data (source) file:

```
*FIELD 'pgmname' (1,20)
1 'Employee No.' (21,7)
2 'Department' (29,5) Y
4 'Cost Center' (34,7)
3 'State' (42,3)
*INSTDATA
```

The Visual client reads the Record format configuration file to generate the corresponding CARLa commands that it sends to the Visual Server. The following example shows the contents of a data source file with fixed length records that are referenced by the fields in the previous example of a Record format configuration file:

Offsets:

|               |         |              |     |    |
|---------------|---------|--------------|-----|----|
| 1             | 21      | 29           | 34  | 42 |
|               |         |              |     |    |
| A. Name One   | 1000405 | 203420002451 | NSW |    |
| B. Name Two   | 0003050 | 300120002451 | TAS |    |
| C. Name Three | 2030060 | 203420030288 | NSW |    |
| A. Name Four  | 2004078 | 300120002451 | VIC |    |
| B. Name Five  | 1000407 | 510630030288 | SA  |    |
| C. Name Six   | 0060902 | 640620005624 | WA  |    |

## Example contents for Record format configuration file using \*USERID

This example demonstrates a layout of site-specific user information in four fields (or columns, depending on the dialog), with one searchable field (Employee). The order of the fields can be different from the order in which the column definitions are

listed (which is specified by the column sequence number). The user information is displayed in addition to installation data (INSTDATA) information.

This example lists the fields according to the sequential offsets in the data (source) file:

```
*USERID          (1,8)
2 'Employee'     (9,20) Y
1 'Department'   (29,5)
4 'Cost Center'  (34,7)
3 'State'        (42,3)
*INSTDATA
```

This example lists the fields according to the desired order of presentation in the Visual client:

```
*USERID          (1,8)
1 'Department'   (29,5)
2 'Employee'     (9,20) Y
3 'State'        (42,3)
4 'Cost Center'  (34,7)
*INSTDATA
```

The Visual client reads the Record format configuration file to generate the corresponding CARLa commands that it sends to the Visual Server. The following example shows the record layout from a RACF data (source) file with fixed-length records that is referenced by the fields and offset locations in the example Record format configuration file:

```
offsets: 1      9              29    34    42
          C2RWQA47QA-00000047    500654300510 SA
          C2RWQA46QA-00000048    500654301610 TAS
          C2RWQA40QA-00000040    500654300510 WA
```

## Site-defined REXX scripts

Use the guidelines in this topic to customize zSecure Visual such that site-defined REXX scripts can be called from zSecure Visual through its user interface in a manner that is transparent to the end user. Currently, the REXX scripts can be executed at the local node only.

Starting from version 2.1.0, you can customize zSecure Visual to call site-defined REXX scripts through the zSecure Visual user interface. The process is transparent to the end user. For site-defined REXX scripts to be called from zSecure Visual through its user interface, the Visual Server must be configured with an association file that contains the site-defined scripts configuration information that the Visual Client can use. The association file is defined as the C2RSCRPT member of the C2RWCUST data set, with the site-defined scripts themselves also being members of this data set.

This is an example of such an association file:

```
2.1.0
$HOMEDIR USER OMVS "Create home directory"
$ROLEAB  USER *    "Add role ab"
$SCRIPT3 GROUP BASE "Disable passphrase"
$ALIAS   USER *    "Define alias to resource"
```

The first line of the association file contains a version identifier that is used to distinguish between different versions of an association file. The current version is

2.1.0. Only one version of the association file (the C2RSCRPT member) is supported. Future versions of the association file will be backward compatible with previous versions.

The subsequent lines are made up of the following fields:

**Script Name**

The name of the C2RWCUST member that contains the site-defined script. To differentiate between site-defined scripts and other members of C2RWCUST, it is suggested to prefix members that contain the site-defined scripts with a '\$' character.

**Class** Represents the class that is to be provided as an input parameter to the script, such as USER or GROUP.

**Segment**

Represents the segment which is to be provided as an input parameter to the script, such as BASE or OMVS. If segment selection is not required, then specify an asterisk (\*) for this column.

**Description**

A short description of the site-defined script. This description is displayed as text for the corresponding Action menu item and the context-sensitive menu item in the user interface. The description has to be enclosed in double quotes. The description should be a word or a few words at most. Descriptions larger than 50 characters will be truncated.

The contents of the association file are not case-sensitive, except for the Description field.

This sample illustrates a REXX script that uses the DFSMS AMS (Access Method Services) command **DEFINE ALIAS** to define an alias to a resource name based on the value of the first character of the supplied key:

```
/* REXX */
/* In case CLASS=USER, the zSecure Visual client passes a key
   and a segment to the site-defined script */
/* The segment is not employed in this script */
parse arg class segment key
if class<>'USER'
then
do
say 'CLASS must be USER'
return 123
end
/* Derive a 'name' value from the key */
name = key
/* Derive a 'relate' value from the key */
if substr(key,1,1)='C'
then
do
relate = "ICFCAT.C1"
end
else
do
relate = "ICFCAT." || key
end
/* Build the 'define' argument */
define_argument = "alias (name('" || name || "' ) relate('" || relate
define_argument = define_argument || "'))"
/* Provide some feedback for when the 'define' fails */
say "define" define_argument
/* Execute the 'define' command */
```

```
address tso
define define_argument
/* pass TSO command return code to the Visual client; 0 = success */
return rc
```

---

## zSecure Visual Server operations

### Starting the Visual Server

You can start the Visual Server as a started task by issuing this command:

```
S C2RSERVE
```

or submit a batch job with SURROGAT authority as in SCKRSAMP(C2RJSERV). Using either method requires running the command or job under the proper server userid.

The server can be started only if z/OS UNIX System Services are available. If you want to use automated procedures to start the server, be sure that these procedures execute after the following system message has been received:

```
BPXI004I OMVS INITIALIZATION COMPLETE
```

Failure to wait for this message results in the symptoms described in “Server startup problems” on page 145.

If you try to start the server twice for the same IP port, the second start command terminates.

### Visual Server logs to verify initialization

You can use one of the following methods to see whether initialization is ready:

- Use the ISPF OBROWSE command to look in the log file periodically:

```
OBROWSE <ServerRoot>/log/server.log
```

- Use the C2RSLOG procedure to copy the logs to JES spool space:

```
S C2RSLOG
```

### Stopping the Visual Server

To stop the server, issue the following command:

```
S C2RSTOP
```

You can also stop the server by canceling the parent task. The parent task is the one that has a proper step name (not \*OMVSEX).

---

## Problem determination

This section contains the following troubleshooting topics:

- “Resources to resolve system problems” on page 143
- “Command to collect diagnostic information” on page 144
- “Server setup (job C2RZWINI) problems” on page 145
- “Server startup problems” on page 145
- “Server response problems” on page 146
- “zSecure Admin termination problems” on page 147
- “SE.W communication problems” on page 147

## Resources to resolve system problems

You can locate information to help resolve system problems using any of the following resources:

- The **File about-server.box** in the run subdirectory provides information about the server as a whole. The same information is available on the client as the **Server information** option in the **Help** menu.

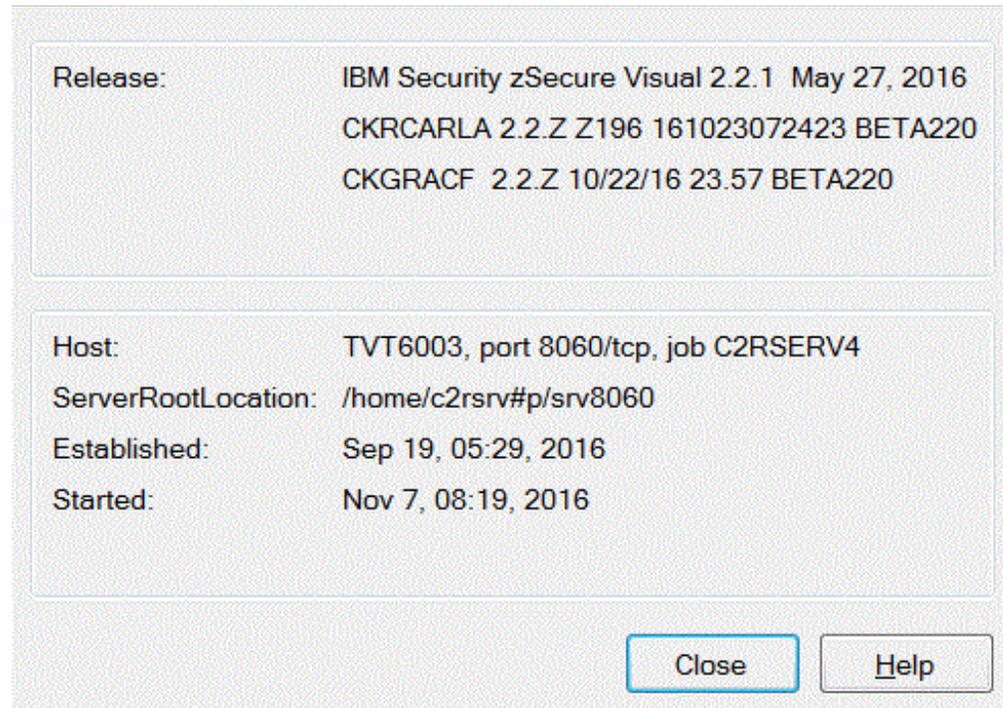


Figure 9. zSecure Visual Client Server Information dialog

The upper box shows the software releases that the server uses. The upper line corresponds to the release of the pax file. The other two lines provide the releases and build dates of the zSecure components CKRCARLA and CKGRACF as they were at the time the server was started.

**Note:** Do not upgrade these components while the server is active. If you do, the Server information does not display until you restart the server.

The lower box provides the following information about the server identity:

**Host** The hostname of the server, its IP port as configured in job C2RZWINI, and the jobname of the address space that started the Server.

**ServerRootLocation**

The (possibly resolved) value of the C2RSERVE parameter in the zSecure configuration.

**Established**

The time that the server established itself as a Certificate Authority (job C2RZWINI).

**Started**

The time that the server was last started or restarted.

The text in the title bar does not come from the **about-server.box** file. It contains the name that was specified on the client side under the **File -> Configure** menu.

- The MVS syslog provides messages related to server start problems. In addition, you can often find messages about security violations (ICH408I).
- SMF can provide insight into security violations. SMF can also provide information about successful access based on the AUDIT option of the RACF profiles.
- Server logs are available in the log subdirectory within the Server root directory. This directory is identified by the C2RSERVE parameter in the zSecure configuration of the Server. The Server log directory is also identified on the Client side in the Server information box, through the **Help** menu. The bbracf.log and server.log files in the log subdirectory provide information about the latest run of the server. There is a history of 10 logs for each type; for example, the files bbracf.log0, ..., bbracf.log9 correspond to previous runs of the server.
- For problems during SE.W, look in the home directory of the TSO user. See “SE.W communication problems” on page 147.
- If the client behaves in an unexpected way, see the *IBM Security zSecure Visual: Client Manual* for more information.
- The SYSPRINT from the last CKRCARLA run, the CKGPRINT from the last CKGRACF run, and the commands issued are available through the client's communication window.

## Command to collect diagnostic information

The **c2rdiag** command can be run at any time; it does not matter whether the zSecure Visual Server is running. The collected information is stored in a dump file, **C2Rdiag\_dump\_XXXX.tar**, where *XXXX* represents a time stamp. The dump file can be transferred to IBM Software Support for troubleshooting.

Because the **c2rdiag** command needs information about all active processes in the system to collect diagnostic information, the command must be run under a userid with root authority (uid=0). Running under root authority ensures the necessary permission:

- READ and WRITE permission to the <Server Root> directory that is identified by the C2RSERVE parameter in the zSecure configuration file.
- READ and EXECUTE permission to the zSecure Visual Server software directory that is identified by the C2RWIN parameter in the zSecure configuration file.

## Collecting diagnostic information and sending to IBM for troubleshooting Procedure

Perform the following steps to collect diagnostic information and send the dump file to IBM.

**Note:** System log output (SDSF) is not captured by the **c2rdiag** command. If this information is considered relevant, you must supply an extract of the system log around the time of the suspected events.

1. Log on to the system with a userid that has root authority.
2. Open an OMVS command shell and navigate to the <Server Root> directory.
3. Run the command `./bin/c2rdiag`
4. Using binary mode, transfer the dump file, **C2Rdiag\_dump\_XXXX.tar**, to IBM

- After IBM confirms receipt of the file, delete the dump files to prevent disk space from running out. The zSecure Visual Server cannot delete the files because they are root-owned.

## Server setup (job C2RZWINI) problems

The following error messages can occur during server setup:

### FSUM2078

This message might be issued if you did not create a home directory for the server userid.

### FOM0303I rsn=0924041A

The following message indicates you do not have READ access on the FACILITY resource BPX.FILEATTR.APF:

```
FOMF0303I CKGRACF: chattr() error: rv=-1, errno=8B, rsn=0924041A
```

### FOM0303I rsn=0924041B

The following message indicates you do not have READ access on the FACILITY resource BPX.FILEATTR.PROGCTL:

```
FOMF0303I ./bin/bbmini: chattr() error: rv=-1, errno=8B, rsn=0924041B
```

## Server startup problems

When the Server encounters a problem during startup, it produces a C2RW message. These messages are described in the *IBM Security zSecure: Messages Guide*. The following startup problems do not produce C2RW error messages.

- Attempts to start the server before z/OS UNIX System Services initialization is complete (that is, too soon after IPL) result in message ICH408I.

```
ICH408I USER(C2RSERVE) GROUP(C2R) NAME(ZSECURE VISUAL SERV)
CL(FSOBJ )
INSUFFICIENT AUTHORITY TO DUB
```

The task runs, but not as an z/OS UNIX System Services process, which makes it useless. If you receive this message:

- Cancel the task.
  - Wait for the BPX1004I message as described in “Starting the Visual Server” on page 142.
  - Start the task again.
- Attempts to run the server when you are not allowed to use the port number result in the following error message:

```
TCPIP Conn: can't bind to socket (errno 111)
```

In this case, you might have reserved the TCP/IP port numbers used by the server using parameters in the PROFILE.TCPIP dataset. The commands can look similar to:

```
PORT xxxx TCP C2RSERVE NOAUTOLOG
```

or

```
PORTRANGE xxxx yy TCP C2RSERVE NOAUTOLOG
```

In this case, the C2RSERVE jobname is the only ID allowed to open the port. Therefore, if the installation step runs with a different jobname, it receives a bind() errno=111 message.

To avoid this problem, base your protection of TCP/IP ports on userid, rather than jobname. See “TCP/IP Security” on page 124.

- Attempts to run the server while TCP/IP has not been started result in the following error message:

```
S8E220:TCPIP Conn: Socket error 112
```

In this case there might be problems with TCP/IP, or TCP/IP might not be active at all.

The server aborts itself when it cannot successfully run zSecure Admin. For example, failing to make CKGRACF and CKRCARLA program-controlled (see “Installation requirements” on page 119) can result in messages such as:

```
ICH420I PROGRAM CKGRACF FROM LIBRARY CKR.SCKRLOAD
        CAUSED THE ENVIRONMENT TO BECOME UNCONTROLLED.
BPXP014I ENVIRONMENT MUST BE CONTROLLED FOR
        SERVER (BPX.SERVER) PROCESSING.
```

This message might be accompanied by a dump file (CEEDUMP.timestamp) in the run directory. A dump that is written for this reason can be discarded.

## Server response problems

If the server is not responding, first determine whether the server is waiting or spending CPU time to perform work. You can see this example with SDSF DA. The server normally is shown as 3 address spaces in SDSF (while idling). Possible causes for lack of response include:

- The client is using the wrong port numbers or machine name. There is a test connection button in the client to verify they at least are active. You can use the **netstat** command under TSO to see on which port the server is listening.
- The server log shows a number of messages:

```
E10:Crypt: Protocol violation. message from 12.1.4 and no secure channel
E18:Crypt: Unexpected message from 12.1.4 suspicious, so discarded
```

The probable cause of these messages is that the server agent has been stopped and then started again, while the client agent kept running. On a lightly loaded 30 MIPS machine, the client can connect to the server within 6 minutes, and six E10/E18 message pairs resulting from retransmissions by the client are printed to the server log. A quicker way to recover is to end the **c2ragent.exe** task using the **End Process** button of the Windows Task Manager, and to close and restart the IBM Security zSecure Visual application.

Another cause might be a logon attempt just after configuring a client. In that case, a single E10/E18 message pair can be printed at the server side because a secure channel has not been completely set up yet. Recovery in this case only takes 1 minute on a fast machine. However, you can avoid the delay by waiting 15 seconds (on a fast machine) after client configuration before attempting a logon. In trace mode, the server displays the following message when it is ready to accept a logon:

```
E0:CA: Finished certifying Agent Keys
```

- The server log shows the following reconnect message:

```
E183:Route: reconnected from 12.1.4
```

This message indicates that two clients with identical agent ids are attempting to communicate with the server. Stop one of the **c2ragent.exe** processes with the Windows Task Manager. The processes most likely reside on a single computer but they might also reside on separate computers.

- The server log shows the following message:

```
E160:LCM: There are no valid LCM certificates. Please reconfigure the server
```

The most likely cause is that server initialization, job C2RZWINI, was not run successfully. Less likely, the server did not run in the last 9 months, so it did not refresh its certificates in time. Stop the server, run or rerun job C2RZWINI, and verify that the server successfully initializes as a Certificate Authority. See “First time startup of the Server” on page 125.

If none of these items describe your situation and the problem is reproducible, you can start the server with the TRACE option:

```
S C2RSERVE,OPT=TRACE
```

Using the TRACE option results in bigger server logs that contain detailed timing information. To have IBM Software Support help with debugging those problems, send both the client log and the server log.

## zSecure Admin termination problems

After a zSecure Visual client logon, a few zSecure Admin transactions are performed to tailor the GUI to the user's authorities and to download the class descriptor table. Sometimes these actions fail and one of the following error messages displays:

- CKR0010 OPEN abend hhh-hh on file ddname

The OPEN for the indicated file failed. The ddname field might be empty or contain garbage. Also check that the user has at least READ access on the RACF database.

- CKR999I GETMAIN FAILED FOR HEAP name - INCREASE REGION

The CKRCARLA program terminates with CKR999I or CKR0999 when the program requires more virtual storage than allocated by USS. To resolve this problem:

1. Increase the maximum allowed virtual storage size for the Server's userid by specifying an ASSIZEMAX value in bytes in the OMVS segment for the server, as shown in the following example:

```
ALTUSER C2RSERVE OMVS(ASSIZEMAX(64000000))
```

2. Restart the server to make this change effective.

For additional information about this problem, you can also examine the MVS system log for security violation messages.

## SE.W communication problems

The SE.W communication is handled by the REXX C2RELSI program. This program creates four files in the home directory of the user:

### **C2RELSI.userid.LST**

The official response file. This file usually contains the install password generated. The password is normally just one line containing ten hexadecimal digits, as shown in the following example:

```
8337F93AD5
```

### **C2RELSI.userid.ERR**

The line mode output file, which usually contains only the userid and passwords prompts:

```
userid:password:
```

### **C2RELSI.userid.LSI**

The input file with commands for the server. For a P command, the input file would contain:

```
minigenerateinstallpassword(12.1.100)  
echo(!R:)
```

### **C2RELSI.userid.LOG**

The software log file, which normally contains only the software level and open/close messages as illustrated in the following example:

```

<20010427 08:11:27 utc> P399M1V0.0L309A5S0E10:Opened C2RELSI.MYUSER.LOG.
Product: racfwin.product.server.app. Version: 1.4.
Builddate: 2001/04/23/13:02. Local time: Fri Apr 27 08:11:27 2001.
<20010427 08:11:28 utc> P399M1V0.0L164A2S0E20:Forced close of C2RELSI.MYUSER.LOG
<20010427 08:11:28 utc> P399M1V0.0L461A5S0E15:Closed C2RELSI.MYUSER.LOG

```

The following error messages might display at various stages of the SE.W communication process:

#### Failure to execute

If the REXX C2RELSI cannot find the program `lsi`, or the current user is not allowed to execute it, the message `Failure to execute` is displayed in the upper right corner of the screen. If you press PF1 (Help), the long message explaining the cause of the error is displayed as shown in the following example:

```
/u/C2RSERVE/c2rserve/bin/lsi -t C2RELSI.MYUSER.LOG A:10.0.1.20:8011 C2RELSI.MYUSER.LSI - errno=81 53B006C
```

The long message specifies an error number (*errno*) that provides information about the problem. The possible error messages and associated explanations are as follows:

#### **errno=81 594003D**

This error occurs when one of the directories in the path to the `lsi` executable is not found. The path is specified by the `C2RWIN` parameter in the zSecure configuration. To correct the problem, make sure that the path exists in the z/OS UNIX System Services zFS file system and that the path was used in job C2RZWUNP.

**Note:** The `C2RWIN` parameter is case-sensitive.

#### **errno=81 53B006C**

This error occurs when the location of one of the zSecure Visual programs is not found. To correct the problem, make sure that the path exists in the z/OS UNIX System Services zFS file system and that the path was used in job C2RZWUNP.

#### **errno=6F 5B400002**

This error occurs when the current user has no search access on a directory in the path to the `lsi` executable. This problem also shows up in the SYSLOG as an access violation:

```

ICH408I USER(MYUSER ) GROUP(MYGROUP ) NAME(VISUAL RACF ADMIN )
/usr/lpp/c2r/V2R2M1/lsi
CL(DIRSRCH ) FID(01E2D4E2F0F0F833F409000000000003)
INSUFFICIENT AUTHORITY TO LOOKUP
ACCESS INTENT(--X) ACCESS ALLOWED(OTHER ---)

```

To fix the problem, grant the user who is to run SE.W access to the directory where the zSecure Visual server code resides. In job C2RZWUNP, ownership of this directory was established as user C2RUSER and group C2RGROUP (which you might have customized). CONNECT the userid who is to run SE.W to the owning group. Note that SE.W is only required to configure the first workstation. This workstation can then be used to configure subsequent workstations.

#### Cannot browse an empty file

This ISPF error message can hide the original error message reporting on a failure to execute **lsi**. This message might display if the zSecure Visual server is not running yet.

#### **an error has occurred**

If the password generation fails, this message is displayed in the upper right corner of the screen and is accompanied by one of the following more descriptive error messages.

#### **couldn't open session with bluebook adapter**

This descriptive message indicates that the server has not been started, or it has been started but it is not yet ready to accept a password generation request.

If the server has just been started, it is usually ready to generate a password after about 10 seconds on a lightly loaded 30 MIPS machine. If the same error message is displayed after a delay of a few minutes, the server might be unreachable or the IP number might be incorrect.

#### **logon failed**

This message is displayed when the server accepts the password generation request but is still not ready to generate a password. To resolve this problem, wait a few seconds (on a 30 MIPS machine) after the failure message displays before attempting another password generation request. When the server is ready to generate a password, the following message displays in the server log:

```
E5:Dispatch: Started adapter 'RACF'
```

If the server runs in trace mode, it is ready to generate a password when the following trace message is printed twice:

```
E0: IpcSetState:setting state ( 6 -> 1 )
```

#### **Must be numeric**

This message displays when the entered agent ID is not of the form 12.1.<NN>, where <NN> is a sequence of decimal digits. To fix this problem, enter an agent ID in the correct form (for example, 12.1.100).

#### **Userid and password messages**

- Unknown userid <userid>.
- Userid <userid> is revoked.
- Invalid password.
- The password has expired.

#### **Resource C2R.SERVER.ADMIN in the <class> class is not covered by a RACF profile.**

If this error occurs, you can see the following message in the JES SYSLOG:

```
ICH13003I C2R.SERVER.ADMIN NOT FOUND
```

#### **EDC5139I Operation not permitted. Reason code: 00d8.**

This message and reason code indicate that the server userid has no READ access to the FACILITY resource BPX.SERVER. If this error occurs, you can see the following message in the JES SYSLOG:

```
ICH408I USER(C2RSERVE)
      BPX.SERVER CL(FACILITY)
      INSUFFICIENT ACCESS AUTHORITY
      ACCESS INTENT(READ ) ACCESS ALLOWED(NONE )
```

**EDC5139I Operation not permitted. Reason code: 02af.**

This message and reason code indicate that one of the modules that is run under control of the Visual server cannot be loaded because it does not meet the Program Control requirements. See “Installation requirements” on page 119 on how to set up Program Control for the Visual server.

Also, search the SDSF syslog for messages that occurred around the time of the failure. For example, messages like the following may appear:

```
ICH420I PROGRAM CKRCARLA FROM LIBRARY CKR.SCKRLOAD CAUSED THE ENVIRONMENT TO BECOME UNCONTROLLED.
ICH422I THE ENVIRONMENT CANNOT BECOME UNCONTROLLED.
BPXP014I ENVIRONMENT MUST REMAIN CONTROLLED FOR DAEMON (BPX.DAEMON) PROCESSING.
CSV042I REQUESTED MODULE CKRCARLA NOT ACCESSED. THE MODULE IS NOT PROGRAM CONTROLLED
```

In particular, messages ICH420I and CSV042I identify the module that does not meet the requirements. Find the PROGRAM profile that covers that module, find from which data set the module is to be loaded, and make sure that that data set is a member of the relevant PROGRAM profile.

**C2RW018I The resource class for zSecure security checks cannot be determined**

The CKRSITE module does not contain a valid security class. Such a class is required to determine the access of users to various resources. For information about the CKRSITE module, see Appendix A, “Site module,” on page 173.

**<userid> has no READ access to C2R.SERVER.ADMIN resource in the <class> class.**

This message indicates that the userid does not have at least READ access to the C2R.SERVER.ADMIN resource. In the JES SYSLOG, you can see the following message:

```
ICH408I USER(ABCDEFGH)
      C2R.SERVER.ADMIN CL(FACILITY)
      INSUFFICIENT ACCESS AUTHORITY
      ACCESS INTENT(READ ) ACCESS ALLOWED(NONE )
```

**The environment does not satisfy the requirements for program control.**

A required module is not program controlled. All load modules (and program objects) that are loaded in the Visual Server address space must be program controlled. Also, the file system that contains the Visual Server software must be mounted with the SECURITY and SETUID attributes. You can identify the uncontrolled module from message CSV0421I in the MVS syslog. See “Installation requirements” on page 119 and “Owner and location preparation for the software” on page 122. After establishing program control, you must restart the server.

**The agent has not been added with A or AP.**

This message indicates an attempt to generate a password for an unconfigured client. No password has been generated. Add the client as described in “Configuring the Visual Client” on page 128.

## Chapter 14. Setup of Change Tracking

The Change Tracking system is a specialized function that monitors changes in system parameters and security settings against a verified base. Changes can be selectively approved, rejected, or deferred through an ISPF interface.

You can run Change Tracking for a single system image, or combine multiple images into a single view in order to have centralized Change Tracking administration.

### Data sets required for Change Tracking

Table 17 lists the data sets required to run Change Tracking:

Table 17. Required data sets for Change Tracking

| Short name         | ISPF access      | Batch access     | Full name                                     | Remarks  |
|--------------------|------------------|------------------|---|--|
| Configuration file | READ             | READ             | See "Assignment of configurations" on page 27 | For each monitored system image, a separate configuration file is required. All these configurations must have the same DPREF parameter. Typically, the only parameter that would be different across monitored system images is SYS. All these configuration files must reside within a single partitioned data set, included in the JCLLIB statement of the Change Tracking jobs. By default, this PDS is CKR.CKRPARM. |
| Master file        | READ<br>(UPDATE) | UPDATE           | &DPREF..CT.CKACDATE                           | UPDATE from ISPF is required only to remove systems from the CT administration.  |
| Local Setup tables | READ<br>(UPDATE) | READ             | &DPREF..CT.CKACTAB                            | Updated by ISPF-transaction Setup Change track (SE.C)  |
| Verified base      | UPDATE           | READ<br>(UPDATE) | &DPREF..CT.&SYS..CKAVERIF                     | For each monitored system image, a separate Verified base is required. For an initial run only, the batch process updates this data set.   |
| Exceptions file    | UPDATE           | UPDATE           | &DPREF..CT.CKAEXCEP                           |  |
| Defer file         | UPDATE           | -                | &DPREF..CT.CKADEFER                           |  |
| Input              | -                | READ             | &DPREF.&SYS..CKFREEZE<br>&DPREF.&SYS..UNLOAD  | For each monitored system image, periodically refreshed CKFREEZE and UNLOAD are needed.  |

Table 17. Required data sets for Change Tracking (continued)

| Short name         | ISPF access | Batch access     | Full name   | Remarks  |
|--------------------|-------------|------------------|---|--|
| Intermediate files | -           | CREATE<br>DELETE | &DPREF..CT.&SYS..CKATSYSI<br>&DPREF..CT.&SYS..CKATCOMP<br>&DPREF..CT.&SYS..CKATPRIN<br>&DPREF..CT.&SYS..CKATEXCP<br>&DPREF..CT.&SYS..CKATREPP<br>&DPREF..CT.&SYS..CKATREPS<br>&DPREF..CT.&SYS..CKATSIMS<br>&DPREF..CT.&SYS..CKATSYSYD | For additional information, see the details of job CKAJTSYS. See Job CKAJTSYS. |

Create the Master, Exception and Defer files, and the Local Setup tables with job CKAJTCT1 residing in data set CKRJOBS.

Only one of each of these data sets is required, even when multiple system images are monitored. To use any of the configurations described in Table 17 on page 151, adapt the JCLLIB and INCLUDE statements in this job.

Verified bases are generated with job CKAJTCT2. For each monitored system image, a separate Verified base is required. Adapt the JCLLIB and INCLUDE statements to use all the configurations of the monitored system image. That is, job CKAJTCT2 is to be run once with each configuration.

Set up the Change Tracking security environment in such a way that the Change Tracking jobs and the intended users of the ISPF component have the required access to these data sets. See the CKAJTCT3 job for an example of the JCL to set up the appropriate access. Ensure that the security resources you create are all subject to your security policy, such as choices between generic and discrete profiles.

---

## Setup of the daily batch suite

Change Tracking uses its data sets as follows:

- In batch, periodically refreshed CKFREEZE and UNLOAD data sets are checked against the verified base.
- Changes are available in an ISPF interface (A.U.C), where they can be selectively approved. Approved changes are added to the verified base. However, changes can also be Rejected or Deferred.

For simplicity, it is assumed that all Change Tracking processes are run under a single z/OS image. Fresh CKFREEZE and UNLOAD data sets must be accessible from this image. However, creating a CKFREEZE data set can only be done from within an image itself. If you do not have shared DASD in place, you can make the CKFREEZE data sets available to Change Tracking through any transfer method (tape, NJE, FTP), as long as the transfer method preserves LRECL=X and does not truncate or wrap long records. If you use FTP, you must use both the EBCDIC and BLOCK options.

Similarly, an UNLOAD data set can be created from any system image that has access to a security database, but for best results, create it under the highest level image that uses it. You can transfer UNLOAD data sets in the same way you transfer CKFREEZE data sets.

For information about how to create CKFREEZE and UNLOAD data sets, see "Use of a fresh CKFREEZE and UNLOAD each day" on page 43.

For a shared security database, normally only a single UNLOAD data set is created. However, the Change Tracking jobs assume `&DPREF..&SYS..UNLOAD` for its data set name, which would be different for each system image. You do not have to create multiple UNLOAD data sets of the same database, however. Instead, you can copy the UNLOAD with a program like IEBGENER, or simply create an alias. For example, when images IPO1 and IPO2 share a security database, and you created an UNLOAD with job C2RJPREP on image IPO1, you can create the alias with:

```
DEFINE ALIAS (NAME('yourprefix.IPO2.UNLOAD') RELATE('yourprefix.IPO1.UNLOAD'))
```

The Change Tracking batch suite consists of the following:

- Job CKAJTSYS, which calls the CKACTSYS procedure, updates the Master and the Exceptions file. Run this job once (serially) for each monitored system image. This job can run on a system image that is different from the monitored system image. Do not run CKACTSYS until refreshed CKFREEZE and UNLOAD data sets are available. That is, these data sets have been created or refreshed and if needed, transferred to the system image where the Change Tracking jobs are run.

Adjust the JCLLIB and INCLUDE statements in this job to use the configuration of the image whose data is to be processed. In addition, set the INIT#CT parameter to EQ the first time a particular image is processed by Change Tracking. This setting immediately promotes the entire configuration into the verified base, which prevents the entire configuration from being signaled as exceptions. After the first run, set INIT#CT back to NE.

Job CKAJTSYS creates intermediate data sets when needed. For information about intermediate data sets, see Table 17 on page 151. The intermediate data sets are usually removed after the job completes, but you can choose to retain them for diagnostic purposes. To retain them, use the TREMOVE=NE option when you start the procedure CKACTSYS.

- Job CKAJTSRT, which calls the CKACTSRT procedure, removes duplicates from the exception files. Duplicate information can be added as a result of re-running jobs in the event of a job or systems failure. Run this job after all CKAJTSYS jobs are finished to ensure that the duplicate information is removed from the exceptions file.

Update JCLLIB and INCLUDE statements in this job to use the configuration of any of the monitored systems.

- Job CKAJTM calls the CKACTPRT and CKACTM procedures. This job produces a printable report of the Change Tracking exceptions files and sends this report as an email memo. This job can optionally be scheduled to run after job CKAJTSRT.

Update JCLLIB and INCLUDE statements in this job to use the configuration of any of the monitored systems. You must also add the email addresses of the intended email recipients to this job.

You can use procedures CKACTPRT and CKACTM separately. For example, to print a report instead of emailing it, start the CKACTPRT job with the parameter RPTTYPE set to SPOOL. In the combined job CKAJTM, this value is overridden with RPTTYPE=FILE, because CKACTM needs a file as input for email transmission.

For all these jobs, it is assumed that you do not directly update in the SCKRSAMP data set because directly updating that data set violates the distribution-oriented installation rules. Instead, it is assumed that you copy the required jobs to your own data set such as one that contains jobs for your job scheduling software, and update your local copy.

Procedure CKACTSYS invokes procedure CKAC. As a result, SCKRPROC members C2RI\* are used to allocate the UNLOAD and CKFREEZE files. Because z/OS does not allow overriding DD statements in nested procedures, you cannot use CKACTSYS if your naming convention does not fit with the C2RI\* members. In that case, you must code your own CKAC invocations.

Because the Change Tracking suite must run serially, you might want to combine them into a single job. For instance, if you run Change Tracking over the monitored images IPO1, IPO2, and IPO3, you can combine jobs CKAJTSYS and CKAJTSRT as follows:

```
//JCLLIB   JCLLIB ORDER=(your.prefix.CKRPARM,
//          CKR.SCKRPROC)
//*
//* Process input from systems IPO1, IPO2 and IPO3. Each of
//* these needs to run under its own configuration.
// EXEC CKACTSYS,INIT#CT=NE,CONFIG=CFG#IPO1
// EXEC CKACTSYS,INIT#CT=NE,CONFIG=CFG#IPO2
// EXEC CKACTSYS,INIT#CT=NE,CONFIG=CFG#IPO3
//*
//* Remove duplicates. This runs only once, under any of
//* the above configurations.
// EXEC CKACTSRT,CONFIG=CFG#IPO1
```

---

## Change Tracking with the ISPF interface

The ISPF interface for Change Tracking consists of the following panel options:

**AU.C** Inspects signaled changes and carries out follow up actions. This task is described in the *User Reference Manual*. To access this task, the user must have READ access on the XFACILIT resource CKR.OPTION.AU.C. For information about using a different resource class, see Appendix A, “Site module,” on page 173.

The user of AU.C also requires READ access to resources covered by CKR.ACTION.CH.\* (for actions on the Exceptions overview) and CKR.ACTION.CT.\* (for actions on the System overview). See “Resources that configure which options are shown” on page 175.

**SE.C** Maintains tables that job CKAJTSYS uses; for example, data sets that you consider sensitive. By default, Change Tracking considers sensitive data sets to be the same data sets as CARLa REPORT SENSITIVE. This task is described in the *User Reference Manual*. To access this task, the user must have READ access on the XFACILIT resource CKR.OPTION.SE.C. For information about using a different resource class, see Appendix A, “Site module,” on page 173.

Job CKAJTCT3 offers an example to set up RACF profiles for this. However, be sure that the security resources you create are subject to your security policy, such as choices between generic and discrete profiles.

To use the ISPF interface for Change Tracking, you must have a configuration with an appropriate DPREF parameter. For instance, you can use any of the configurations used by job CKAJTSYS.

---

## **Change Tracking interface to an external change management system**

Every time a change is rejected, deferred, or confirmed, the REXX CKAECHGM program is called. This REXX program is the Change Tracking interface to an external change management system, such as IBM Information Management. By default no checking is done. The interface must be provided by the installation. This exit point is designed to validate a change management number.



---

## Chapter 15. Data preparation for QRadar SIEM

You can use zSecure to make z/OS event data available for QRadar SIEM.

For QRadar SIEM, a z/OS image contains a number of Log Sources: for z/OS itself and for RACF, ACF2, or Top Secret. In addition, if DB2 and CICS are active on your z/OS image, the image also contains Log Sources for these products. On the z/OS image, you must set up a zSecure process to transform SMF records into the Log Event Enhanced Format (LEEF) that QRadar expects.

There are two modes of operation for a 'full' enriched SMF feed: near real-time (sent using the UNIX syslog protocol), and by FTP file polling. Near real-time works better with the QRadar dashboard but also incurs more overhead during peak periods. FTP file polling allows you to postpone processing to a less busy time. In file polling mode, Device Support Modules (DSMs) on QRadar SIEM retrieve these LEEF files, according to a schedule that is configured on the QRadar console. For near real-time mode, the DSMs must be configured to accept syslog traffic. The 'full' near real-time SMF feed can be collected by zSecure in two ways: directly by using SMF INMEM facility, or through the System Data Engine (SDE) of IBM Common Data Provider for z Systems (CDP).

You can also send alerts generated by zSecure Alert to QRadar SIEM. The alerts can be based on SMF or on other sources (for example based on the detection of system changes). Alerts are transferred near real-time to QRadar SIEM and are not dependent on any configured schedule. In zSecure Alert, specify the UNIX syslog format, and specify QRadar SIEM as the recipient. For more information about zSecure Alert, see the *IBM Security zSecure Alert: User Reference Manual*.

---

### Prerequisites

After installing the software, you must also perform activities to create and modify the configuration. The following criteria must be met:

- If you use PARMLIB member IFAPRDxx to disable some zSecure components, either zSecure Adapters for QRadar SIEM or zSecure Audit must not be disabled. For details, see “Enablement of license features” on page 18.
- The SCKRLOAD library must be APF-authorized. For details, see “APF authorization of the software” on page 18.
- If you decide to use the direct SMF INMEM real-time interface, you must have the necessary software installed (APAR OA49263) and set up the SMFPRMxx member to include the INMEM keyword and parameters. For more information, see “Procedure for near real-time” on page 159. If you decide to use the CDP interface, you must also have CDP installed and running.

For both real time interfaces, QRadar SIEM might need to be updated to allow syslog input for the z/OS-related DSMs.

- You must set up a process to periodically refresh your CKFREEZE and UNLOAD data sets. See “Use of a fresh CKFREEZE and UNLOAD each day” on page 43. Note that UNLOAD can only be used if the product has more than just the QRADAR\* entitlement (for example, AUDIT\* or ADMINRACF). However, if the product has only the QRADAR\* entitlement, an active or backup RACF database, a copy of the RACF database, an ACF2 backup database, or an inactive ACF2 database must be used.

- If you decide to use the file polling method to transport the LEEF data, you must have an active FTP (or SFTP) server on your z/OS image, so that QRadar SIEM can download those LEEF files.

The zSecure configuration must contain the specific parameters for QRadar SIEM. For information, see “Updating the configuration files” on page 163.

For instructions for installing and configuring zSecure, see the *Program Directory: IBM Security zSecure CARLa-Driven Components* and the first few chapters of the *IBM Security zSecure CARLa-Driven Components: Installation and Deployment Guide* (this manual).

---

## SMF records for the data collection process

Before starting the data collection process, you must:

1. Generate the SMF records. See “Generating the SMF records.”
2. Make the SMF records available for QRadar. See “Make SMF records available to QRadar” on page 159.

### Generating the SMF records

#### Before you begin

SMF processing must be turned on and appropriate records must be created and saved. The standard required SMF records are:

- 0, 7, 9, 11, 14, 15, 17, 18, 22, 26, 30, 36, 41, 42, 43, 45, 47, 48, 49, 52, 53, 54, 55, 56, 57, 58, 59, 61, 62, 64, 65, 66, 80 (RACF and Top Secret), 81 (RACF), 82, 90, most of 92, 118, and 119
- Selected subtypes of 102 (DB2 IFCids 4, 5, 6, 7, 8, 9, 10, 22, 23, 24, 25, 55, 83, 87, 90, 92, 104, 105, 107, 140, 141, 142, 143, 144, 145, 169, 177, 219, 220, 258, 270, 314, and 319)
- The CICS monitoring record type 110 subtype 1
- The ACF2 record type (site-defined number) if you have ACF2
- 83 subtype 4 for data from Linux for System z
- 83 subtype 5 for data from WebSphere Application Server
- 83 subtype 6 for data from IBM Security Key Lifecycle Manager

The exact SMF record selection is specified in the CARLa members CKQLEEF and C2ELEEF. These members can be updated by regular maintenance.

If you use installation-defined events, make sure to include the SMF records required in your CARLa member CKQCES or C2EQCES.

#### Procedure

- To generate SMF records for CICS transactions, set up and enable CICS monitoring. You can set up monitoring by data types and classes. For example, you can monitor the classes for exceptions, performance, and resources. To use CICS monitoring:
  1. Create a DFHMCT<sub>xy</sub> CICS Monitoring Control Table (MCT).
  2. Add MCT=<sub>xy</sub> to the System Initialization Table (SIT).
  3. Run the CEMT INQ MON command to confirm or set one or both of the following:
    - Monitoring on classes of monitoring data and options

- Classes of monitoring data and options

For more information, see the CICS Monitoring Facility documentation in the CICS Transaction Server library at <http://www.ibm.com/software/htp/cics/tserver/v53/library>.

You can also use the SET MONITOR command to change monitoring classes and options.

- For DB2, you must activate the DB2 trace in order to generate the required SMF records. Use the following commands. (These commands are intended as an example. In your installation, IFCIDs might already be logged to SMF by other traces. Verify and adapt these examples to meet the requirements of your installation.)

```
-<subsysname> START TRACE(PERFM) DEST(SMF) CLASS(30) IFCID(6,7,8,9,10,22,90,107,177,314)
```

```
-<subsysname> START TRACE(STAT) DEST(SMF) CLASS(30) IFCID(258)
```

```
-<subsysname> START TRACE(AUDIT) DEST(SMF) CLASS(*)
```

## Make SMF records available to QRadar

If you decide to use the file polling method to transport the LEEF data, and are using SMF MAN datasets, do not specify your live SMF data sets as your only input. Doing so results in gaps: SMF records that are written between the last QRadar data collection run and a subsequent switch of the SMF data set, are missing

### Procedure for near real-time

Using the direct near real-time SMF interface requires the use of SMF logstreams. Ensure that you have SMFPRMxx set up to define an SMF INMEM resource named IFASMF.CKQRADAR. Also specify the required SMF types in the TYPE() parameter; for example:

```
INMEM(IFASMF.CKQRADAR,RESSIZMAX(128M),
TYPE(0,7,9,11,14,15,17,18,22,26,30,36,41,42,
43,45,47:49,52:59,61,62,64:66,80:83,90,
92,102,110,118,119,230))
```

### Procedure for file polling

- If you are using SMF logstreams, the most convenient way to run data collection is by reading directly from a logstream. Make sure that the data collection for QRadar runs at least as frequently as the SMF retention period that you specified for your logstream, (You use the logstream administrative data utility, IXCMIAPU, to specify a logstream). You might want to set up a dedicated logstream for this purpose.
- If you are using SMF data sets, you must prepare the input for the data collection during your SMF offload process. That is:

1. Add another DD-statement to your IFASMFDP program like:

```
//OUTDD2 DD DISP=(MOD,CATLG),DSN=your.prefix.D&YYMMDD..T&HHMMSS,UNIT=...,SPACE=...
```

2. Update the control statements for IFASMFDP to write simultaneously to your existing accumulation data sets and to the new data sets.

The collection process for QRadar can then use the DSNPREF parameter to retrieve the additional data sets and, after successful processing, delete these data sets.

The use of system symbols, as shown in this example, is supported only in started tasks. If you run your SMF offload as a batch job, you can use a generation data group (GDG). However, this approach has disadvantages in serialization. Consider converting to a started task.

- If you are creating daily SMF accumulation data sets and you intend to prepare QRadar data once a day, you can use the accumulation data sets as input. However, do not use, for example, a monthly accumulation data set as input for a daily preparation because, in that case, SMF records early in the accumulation are read multiple times. zSecure skips records that were already processed, but the excess reading costs processing resources. Especially when your accumulated SMF is written to tape, and the tape data set becomes multi-volume, reading the SMF accumulation might become prohibitive, both in processing resources and in contention for your tape drive and volume.
- If your data set contains records from multiple z/OS images, do not feed that data set directly into QRadar; this is not supported. Instead, run the collection process separately for each image and write each collection into a separate directory. Each QRadar collection process does not need to run under the z/OS image that it pertains to, as long as the collection process has access to the SMF, CKFREEZE, and UNLOAD from the image it pertains to. Use one of the following methods:
  - Specify an EXCLUDE statement in member CKQXES or C2EQXES. See “Updating the configuration files” on page 163. Each collection process must have its own member in its zSecure configuration data set. With this method, the combined SMF is read multiple times.
  - First run a special CARLa job or jobstep against accumulation data sets and use the output from that job or jobstep as input for the separate instances of QRadar preparation. In the job, use SELECT statements to specify the SMF ID and UNLOAD statements to write the records to separate data sets for each z/OS image. With this method, the combined SMF is read only one time.
- When recovering a lost SMF interval, run a similar job to select the interval and SMF ID from your accumulation data sets.

---

## Setup of the collection process

Use these steps and guidelines to set up data collection for integration with QRadar SIEM.

### Before you begin

zSecure supplies three sets of members to achieve integration with QRadar SIEM through LEEF:

- The first set is for near real-time and uses the CKQ prefix and some variants ending in L (for 'Live').
- The second set also uses the CKQ prefix but without the L suffix.
- The third set uses the C2E prefix. This is a backward compatible set for integration when using zSecure Audit. This set of members cannot be used by the zSecure Adapters for QRadar SIEM product. This set is now deprecated and functionally stabilized.

Decide which data collection process you want to use, and select the appropriate set of members. Complete the following steps for your chosen process. For the near real-time process, zSecure provides a CKQRADAR procedure for running as a Started Task. For collecting and preparing data for the file polling process, zSecure supplies a procedure and an example batch job that uses that procedure: CKQCLEEF/CKQJLEEF and C2EQRLF/C2EJQRLF. Files created by the procedure contain a log of events, including security events. The permission bits of

these files can be controlled using the UMASK statements in the CKQCLEEF/C2ECQRLF procedure body. The default is UMASK=027, which excludes access for unspecified users.

The CKQCLEEF process runs a CARLa script from SCKRCARL (CKQLEEF) that essentially includes the following code:

```

imbed member=ckq0es

imbed member=ckqrenv esm=RACF

/* Primary selection of records */
NewList type=SMF name=SMFSEL DDname=CKREPORT
  imbed DDname=SMFHWIN
  imbed member=ckqxes
  summary system "|" date MinTime MaxTime "|" SMFdd count(10)

NewList type=SMF DDname=CKREPORT ,
  title="Number of records per date per type"
  select likelist=SMFSEL
  summary type "|" date MinTime MaxTime "|" count(10)

/* optional report : number of records per type and SubType */
NewList type=SMF DDname=CKREPORT ,
  title="Number of records per type and subtype"
  select likelist=SMFSEL
  summary type SubType "|" count(10)

/* One mergelist for all record types for "device type" z/OS */
MergeList name=SMF DDname=CKQLOGZ
/* IPL - type 0 */
NewList type=SMF name=IPL nodup
  select likelist=SMFSEL type=0
  SortList datetime(nd) LEEF,
    type(0) | '|' | dTF,
    tab | 'devTime=' | datetime(Java_SimpleDate,0),
    tab | 'job=' | system(0),
    tab | 'sum=' | recorddesc(0)

...
EndMerge

```

The SMFSEL newlist acts as the central filter of SMF events. It applies the cut-off timestamp (also called the high water mark or HWM) to eliminate records that might have been processed by a previous iteration of CKQCLEEF. It also includes CARLa exit code from member CKQXES, which is intended to exclude events. The near real-time CKQRADAR process uses CARLa member CKQLEEF. CKQLEEF also includes CARLa exit members CKQ0ES, CKQXES, and CKQCES, but does not contain any code to process a high water mark for the collected records.

An EXCLUDE command in CKQXES results in the exclusion of all SMF records that match in all newlists that generate LEEF. You must be very precise with these EXCLUDE commands, or the effect might be more than what you aimed for. The following examples show CARLa exclude statements that can be used in CKQXES to suppress event data that user George caused:

- `exclude user=George` in CKQXES suppresses all events that George generates.
- `exclude user=George desc=viol` in CKQXES suppresses all events where RACF returned a return code 8 and possibly, in the future, other non-RACF records as well.
- `exclude user=George event=access(viol) class=dataset` excludes only George's DATASET access violations.

- `exclude user=George event=access(success) racfauth=operations` excludes all DATASET and RESOURCE access granted to George due to operations attribute, but leaves RACF commands that George issued due to operations in LEEF.

The following examples show CARLa `exclude` statements that can be used in CKQXES to suppress events for a list of user IDs:

- `exclude user=(known,user,ids), access<=read, desc=success event=allsvc(success)` excludes all successful RACF event codes between 1 and 7 to be passed to QRadar for specific user IDs.
- `exclude user=(known,user,ids) access<=read, desc=success` excludes successful access recording for specific user IDs.

The valid value for the ACCESS field in NEWLIST TYPE=SMF can be N/A, NONE, EXECUTE, READ, UPDATE, CONTROL, ALTER, or OWNER as described in the table "SMF record ACCESS field - available values;" see the field descriptions for the SMF NEWLIST in *IBM Security zSecure CARLa Command Reference*. By coding `access<=read`, you still include UPDATE and higher levels of access in the LEEF data.

The following example shows a CARLa `exclude` statement that can be used in CKQXES to suppress events based on SMF record type:

- `exclude type=(14,92(1))` excludes READ logging from SMF 14 or subtype 1 of SMF type 92 records.

**Note:**

1. Do not start the process until you finish updating your configuration.
2. The first run fails with a CKR0945 message because the cutoff file does not yet exist. There is no harm in this failure; the file is created during this first job or started task. Just rerun.

**Procedure**

- If you want to run the collection process in batch, configure your job scheduling product to submit a copy of the job. As an alternative, you can arrange for your SMF offload process to submit this job.
- If you want to run the collection process as a started task, include the procedure and the zSecure configuration member in a procedure data set for your Job Entry Subsystem (JES), and have it started by your automated operation, or by a JES autocommand.
- Customize your copies of the JCL according to the conventions used in your installation. In particular, specify the zSecure configuration member of your choice. The default is C2R\$PARM, but if you use several functions of the zSecure Suite, you probably want separate configurations for each function.
- Store your configuration member where the JCL for the job or started task can access it. For a started procedure, this is a JES procedure library. For a job, you can use the JCLLIB statement to specify any other data set. QRadar-specific parameters that you must supply are:

**CKQCUST/C2EQCUST**

The name of the data set that contains configuration members, see "Updating the configuration files" on page 163.

**CKQPATH/C2EQPATH**

The UNIX directory where the collection process is to leave its data.

---

## Assigning a userid and preparing a directory to store the LEEF data

### About this task

If you use the file polling process to transport the LEEF data, the data is stored in the USS file system. This section describes the required steps for preparation of the USS file system. If you use the SMF INMEM real-time interface process, you can skip this step.

If you run multiple data preparation processes (for multiple z/OS images), each process must have its own (sub)directory.<sup>1</sup> Like any UNIX directory, it must have both an owning user and an owning group. You probably want to use the home directory of the userid that runs the collection process (or a subdirectory of the home directory), and you probably want to use a dedicated file system.

zSecure provides jobs to create the required user and group, home directory, and file system:

- Jobs CKQAUSA/C2EQAUSA for ACF2
- Jobs CKQAUSR/C2EQAUSR for RACF
- Jobs CKQAUST/C2EQAUST for Top Secret

### Procedure

1. Select the job that applies to your External Security Manager (RACF, ACF2, or Top Secret).
2. Adapt the job according to your conventions for users, groups, uids, gids, and data sets.
3. Depending on your choice for a batch job or a started task, uncomment the actions that create a SURROGAT or a STARTED profile, (for RACF, or the ACF2 or Top Secret equivalent). This step ensures that the process runs under the designated userid.
4. Specify the size of the file system, based on the amount of SMF data that is generated between two consecutive retrievals by QRadar SIEM. Allow for a margin to accommodate for SMF peaks or QRadar outages.
5. Run the job. Make sure that the file system is mounted after subsequent IPLs. You might want to use the automount facility.

---

## Updating the configuration files

### About this task

If you want to use a new zSecure configuration data set (often called CKRPARM, although you can use any data set name), run job CKRZPOST. See Chapter 6, “Deployment of the software,” on page 23 for information.

You can use an existing CKRPARM data set, but if it was created by an older level of zSecure, some configuration members might be missing. If so, copy these members from the SCKRCARL and SCKRSAMP libraries:

- For the CKQ set, copy CKQRENV, CKQSPEC, CKQSPECL, CKQFIN, CKQCES, CKQXES and CKQ0ES. These members are used by the file polling and the near real-time processes.

---

1. Each collection process should have its own (sub)directory.

- For the C2E set, copy C2EQENV, C2EQSPEC, C2EQFIN, C2EQRENV, C2EQAENV, C2EQTENV, C2EQCES, C2EQXES and C2EQ0ES. These members are used by the deprecated zSecure Audit file polling process.

## Procedure

Now customize the members:

1. Adapt member CKQSPECL for near real-time, or CKQSPEC/C2EQSPEC for file polling to specify your input and output:
  - a. Adapt member CKQSPECL/CKQSPEC/C2EQENV to specify your input: specify the active security database for the proper ESM or an UNLOAD and the CKFREEZE data set that you refresh every day with the C2RJPREP job. See “Use of a fresh CKFREEZE and UNLOAD each day” on page 43. Using the active security database gives more up-to-date enrichment of the LEEF records, but requires READ access on the security database. If you are using Top Secret, remove the UNLOAD allocation, because that does not apply to Top Secret. If the product has only the QRADAR\* entitlement, then an active or backup RACF database, a copy of the RACF database, an ACF2 backup database, or an inactive ACF2 database must be used instead of UNLOAD. If the product has entitlements in addition to QRADAR\*, you can choose whichever you prefer.
  - b. For near real-time, specify the IP address(es) of your QRadar SIEM system on the SYSLOGUDP or SYSLOGTCP parameter in CKQSPECL. An IP v4 address can be specified as ::FFFF:a.b.c.d, where a.b.c.d is the IP v4 syntax address.
  - c. For file polling, specify the SMF that you selected as input, either as the name of a logstream, or by using the DSNPREF parameter. See “Make SMF records available to QRadar” on page 159. If you use the DSNPREF parameter, specify the DELETE parameter, so that the data sets that you created for this purpose during SMF offload are deleted after successful processing.
  - d. For file polling, specify the absolute path of the LEEF files. For log sources that do not occur in your system (for instance, for ACF2 when your system uses RACF, or the reverse), you can direct the output to /dev/null to avoid writing LEEF files that consist of only a zip header. For the other LEEF files, do not change the file name, and make sure that the directory part of the path matches the CKQPATH/C2EQPATH parameter.
  - e. For file polling, specify the absolute path for the time-based cutoff file. This file is the one identified as TYPE=SMFHWIN and TYPE=SMFHWOUT. Make sure that you specify the same file in both cases.
 

**Note:** If you need to recover a lost SMF interval, blank out this file in order to prevent skipping the time period that you want to recover. After recovery is done, edit it back to the previous contents.
  - f. For file polling, the default audit settings for new files generate logs of successful and failed access. If your installation does not require logging of access to files containing LEEF data, modify the ALLOC FAUDIT specifications in CKQSPEC/C2EQSPEC.
  - g. For file polling, specify output options if desired. The default options are in the zSecure-supplied sample members.
2. For the C2E set only, adapt member C2EQENV to specify your input: specify the same UNLOAD and CKFREEZE data set that you specified in member

C2EQSPEC. See “Use of a fresh CKFREEZE and UNLOAD each day” on page 43. If you are using Top Secret, remove the UNLOAD allocation, because that does not apply to Top Secret.

3. Adapt the environmental specifications.
  - For RACF systems, configure the privileged user groups in member CKQRENV/C2EQRENV. This member specifies groups that represent privileged roles. If a user is connected to a group listed in this member, events are annotated with the group name.
  - For ACF2 and Top Secret systems, this configuration does not apply.
4. Optionally, adapt members CKQ0ES/C2EQ0ES, CKQXES/C2EQXES, and CKQCES/C2EQCES as follows:

#### **CKQ0ES/C2EQ0ES**

CARLa that is to be processed at the start of processing. You can use this member, for example, when IBM or vendor software writes badly formatted SMF records that cause errors. In this case, IBM Software Support can supply a set of CARLa statements to use until the problems with the OEM vendor are solved, or a more permanent solution is built.

You can also exploit this member to specify the right CCSID when translating, for example, double byte character set (DBCS) characters to UTF-8. For instance, for Japanese Latin extended Unicode, you can include the following CARLa statement:

```
OPTION MY_CCSID=1399
```

#### **CKQXES/C2EQXES**

CARLa EXCLUDEs for the SMF selection.

#### **CKQCES/C2EQCES**

CARLa to customize the z/OS Log Source to also map installation-defined events. For example, you might have a product in place with its own SMF records.

---

## **Assigning a userid and setting up the CKQRADAR started task**

### **About this task**

This task is for near real-time QRadar support both directly and through the CDP server. CKQRADAR is best run as a started task.

### **Procedure**

1. Copy the CKQRADAR procedure from SCKRPROC to the z/OS systems that you want to monitor in near real-time. Check the applicability of the SYSOUT class A, which is the default for the STATOUT and PRIOUT parameters.
2. Make sure that the started task user ID has READ authority to FACILITY IFA.IFASMF.CKQRADAR or the resource name that you selected in the configuration task. The SAF resource name uses the qualifier IFA in front of the INMEM resource name.

---

## Assigning a userid and setting up the CDP / SDE server started task

### Before you begin

The System Data Engine can be used to pass raw SMF data to zSecure. You must take the steps in this procedure in preparation for using the System Data Engine with zSecure. Before starting these steps, ensure that both of the following items are true:

1. The System Data Engine FMID (for example, HHBO11E) must be installed using SMP/E.
2. The System Data Engine client load module must be copied to the APF authorized data set from which zSecure is executed, or the library must be added as the last in the STEPLIB concatenation of the CKQRADAR procedure.

### About this task

This task is for near real-time QRadar support only when using the Common Data Provider (CDP) System Data Engine (SDE).

### Procedure

1. Set up a profile in the STARTED class to specify the ID that you want to use for the started task.
2. Ensure that the specified started task ID has access to resource IFA.*rname* in the FACILITY class, or to the appropriate *logstreamname* in the LOGSTRM class.
3. Use the sample JCL in HBOvrn.SHBOCNTL(HBOSMFU) as a starting point for creating a procedure to run the System Data Engine as a started task. Alternatively, if you want to run the System Data Engine in batch mode, use the sample JCL in HBOvrn.SHBOCNTL(HBOJCOLU) as a starting point. You must customize it as follows before running the sample JCL:
  - a. Modify the job card to suit your site standards (HBOJCOLU only).
  - b. Modify the STEPLIB DD to refer to the data set where the System Data Engine program is installed. This data set must be APF authorized.
  - c. Change the DEFINE UPDATE control statement to specify the port number to be used for communication between the System Data Engine server and CKQRADAR. Replace *ppppp* in the TO SERVER clause.
  - d. Change the COLLECT control statement to specify the name of the SMF log stream or in-memory resource from which SMF data is supplied. Replace IFA*SMF.lname* in the FROM clause.
  - e. Optionally, change the COLLECT control statement to specify a time interval in minutes or seconds on the EVERY clause. Using a collect interval that is less than one minute can result in increased overhead when supplying data from a log stream.

You are now ready to start the started task or submit the batch job for the System Data Engine.

---

## Operation of the CKQRADAR started task

Start the real-time SMF collection with the following command:

```
START CKQRADAR
```

When running, the CKQRADAR started task accepts commands from the system operator. The operator commands that are enabled are STOP (or P) and MODIFY (or

F). These operator commands are currently recognized only during input processing of SMF records. If the system generates few or no SMF records, the response to operator commands might be significantly delayed.

#### **STOP CKQRADAR**

The program stops reading or waiting for SMF records. Records that have already been read are processed and when applicable, summary reports are created. The effect of the STOP command is similar to a regular end-of-file on the SMF record input source.

#### **MODIFY CKQRADAR,action**

The MODIFY command requires an additional keyword to specify the required action. The following actions are supported on the MODIFY command:

**STOP** Same as the STOP operator command.

**ATTN** This action has the same effect as pressing the ATTN key in a TSO session. Current processing is terminated, and output files are close normally.

#### **CANCEL**

Same as the ATTN command.

#### **DISPLAY**

This action requests information about the current status of SMF processing. Messages like CKR3014 are written by using a WTO (Write To Operator) macro to write messages to job and system logs. The messages are also visible in the job log and JES SYSLOG.

#### **RESTART**

This modify command can be used to request a restart of the program; for example, to pick up updated information in the security database or in a CKFREEZE file. Note that this deletes and replaces the current content of the output data sets of the currently running program unless they were routed to spool or allocated with DISP=MOD.

**Other** An unrecognized *action* results in message CKR3015.

---

## **QRadar log source properties**

Figure 10 on page 168 shows an example screen. For real time, use Service Type syslog; for file polling, use Service Type FTP. For more information, see the *Configuring DSMs Guide* for QRadar.

|                        |                          |
|------------------------|--------------------------|
| Protocol Configuration | Log File                 |
| Log Source Identifier  | z/OS                     |
| Service Type           | FTP                      |
| Remote IP or Hostname  |                          |
| Remote Port            | 21                       |
| Remote User            | ftpuser                  |
| Remote Password        | ●●●●●●                   |
| Confirm Password       | ●●●●●●                   |
| Remote Directory       | /u/c2ecqlf               |
| Recursive              | <input type="checkbox"/> |
| FTP File Pattern       | zOS.*                    |
| FTP Transfer Mode      | BINARY                   |
| Start Time             | 00:00                    |
| Recurrence             | 1H                       |

Figure 10. Log source properties configuration for QRadar

The log source may need an update to support the SYSLOG as a data source.

---

## Chapter 16. Data preparation for Guardium Vulnerability Assessment

This topic describes the actions that you must take to use zSecure Audit to provide input for advanced auditing of your DB2® environment with IBM InfoSphere® Guardium® Vulnerability Assessment (Guardium VA).

Information about the RACF protection of DB2 objects and information about users and groups is loaded into DB2 tables for Guardium VA usage. This information is especially relevant if you use RACF groups as DB2 AUTHID or if you use the RACF Access Control Module DSNX@XAC. Guardium VA expects the data about a specific DB2 subsystem to be available in that DB2 subsystem itself. You can then directly combine the information from the DB2 catalog with the security information provided by zSecure. To load the data in a DB2 subsystem, zSecure provides examples in SCKRSAMP. If you want to modify and use these examples, copy them to another data set. Because the SCKRSAMP data set is SMP/E-controlled, future updates might overwrite your modifications.

When you have completed these steps and the appropriate DB2 tables have been loaded, you can benefit from the enhanced RACF information. In Guardium VA, you can use the Guardium VA entitlement reports and vulnerability tests that have names that start with zSecure.

To create and manage a DB2 database that contains the zSecure provided data to Guardium VA, you must take the following actions:

1. Create one or more DB2 databases.
2. Create one or more DB2 table spaces.
3. Create DB2 tables.
4. Load data into the tables.

The first three steps are the initial setup of the database. These steps are required only once, after you have initialized the data manager. When the tables are established, you can LOAD data into the DB2 database repeatedly. For example, you can refresh data each day. This is left up to the installation. At any time, you can delete your current table data. You can also manage these tables with regular DB2 utilities and SQL statements. The following sections describe the samples that can be used to create and load zSecure data for use by Guardium VA.

Because the example jobs directly interact with a local DB2 subsystem, they must be run on each system. Use of remote input sources or processing multiple systems in a single run is not supported. Before you run these jobs, ensure that the zSecure configuration (that is, member C2R\$PARM or a custom copy of that member) was customized with the correct values. You must also replace occurrences of !! by the correct level of DB2 in use, and the value !DSN! by the name of your DB2 subsystem. The provided example jobs use the SCKRSAMP data set. Change the name to the data set that you used for your modified copy of the example members.

The DB2 steps use the standard DSNUTILB Utility program and the DSNTEP2 productivity-aid example program. These two utility programs must be available

and bound for the DB2 subsystem. For information on the installation of the DSNTEP2 sample program, see the section "Productivity-aid sample programs" in *DB2 for z/OS Utility Guide and Reference*.

The DB2 schema name that is used for this application is CKADBVA and all table names start with CKA. The schema name and the table names cannot be modified.

The example jobs that are provided are:

### CKAJVA00

A DB2 database names a collection of table spaces. The example job CKAJVA00 creates a database in DB2 to contain the table space and tables. A user with sufficient DB2 authorization to create a DB2 database must run this job. Guardium VA expects the information about a DB2 subsystem to be available in tables inside the DB2 subsystem. Therefore, you must run the CKAJVA00 job on each system that you want to analyze with Guardium VA.

The database is created with available defaults:

```
CREATE DATABASE CKADBVA;
```

You can change the name of the database to match your installation standards.

### CKAJVA01

A table space is one or more data sets in which one or more tables are stored. The example job CKAJVA01 has two steps. The first step uses the SQL **DROP** statement for the tables and table space to ensure that no remnants of a previous **LOAD** of the data remain. The second step creates the table space and tables. The first time that you run this job, the DROP step that drops the objects ends with return code 8. You can ignore this error. Alternatively, you can edit the job to run only the CREATE step. The job must be run by a user with sufficient DB2 authorization to drop and create these objects in the DB2 database that was created in example CKAJVA00. Guardium VA expects the information about a DB2 subsystem to be available in tables inside the DB2 subsystem. Therefore, you must run the CKAJVA01 job on each system that you want to analyze with Guardium VA.

The table space is created with available defaults:

```
CREATE TABLESPACE CKADBVA in CKADBVA;
```

You might have to specify allocation-related keywords to assign the correct *storagegroup*, or to increase available space for the tables, for example:

```
USING STOGROUP storagegroup  
PRIQTY 20000
```

A sample of the creation of a table and its index in the table space:

```
CREATE TABLE CKADBVA.CKA_OS_GROUP (  
    COMPLEX          CHAR(8)    NOT NULL,  
    GROUP            CHAR(8)    NOT NULL,  
    ADDITIONAL_INFO  VARCHAR(256) ,  
    PRIMARY KEY (COMPLEX,GROUP)  
)  
in CKADBVA.CKADBVA;  
CREATE UNIQUE INDEX CKADBVA.IDX_CKA_OS_GROUP ON  
    CKADBVA.CKA_OS_GROUP(COMPLEX, GROUP);
```

After creation of the tables and indexes, **GRANT** statements are issued to allow **SELECT** authority on the tables to user **SQLGUARD** (the Guardium VA SQL ID). For example:

```
GRANT SELECT ON CKADBVA.STATUS TO SQLGUARD;
```

You can change the name of the table space to match your installation standards. You cannot change the schema name and table names.

### **CKAJVA99**

This job also has two steps. The first step is a **CKFCOLL** step that collects the information from the DB2 catalog tables into a **CKFREEZE** data set. The second step uses **CKRCARLA** to create the JCL and input for a second job. By default, the second job is immediately submitted for execution. The second job has steps for each DB2 subsystem. It uses **CKRCARLA** to create an input file specific for a particular DB2 subsystem and uses **DSNUTILB** to load the file in that DB2 subsystem. It also contains some SQL steps for simple postprocessing of the data. These steps are repeated for each DB2 subsystem. You can update job **CKAJVA99** to include or exclude specific DB2 subsystems with a **CARLa SELECT** or **EXCLUDE** statement at the indicated line. In that case, the generated job contains steps for the selected DB2 subsystems only. A user with sufficient DB2 authorization to **LOAD** and **UPDATE** the DB2 tables must run the **CKAJVA99** job.

If you have multiple releases of DB2 active, you must create an instance of this job for each release. The **STEPLIB DD** statements must reflect the correct DB2 level for each release. In this situation, you must also use the sample **SELECT** or **EXCLUDE** statements to limit the DB2 subsystems to those matching the release of the **STEPLIB** libraries.

By default, the job that is generated by **CKAJVA99** is immediately submitted for execution. If you want to first look at the generated job, you can redirect the output from **DDNAME STAGE2** to either a data set or to **SYSOUT**.

The **LOAD** statements that are used to load the tables include keywords that reflect the static nature of the data that allows repeated loading of the tables. The following keywords are relevant:

```
LOAD DATA
  REPLACE
  REUSE
  LOG NO
  NOCOPYPEND
```

For more information about the meanings of these keywords, see *DB2 for z/OS Utility Guide and Reference*.

The example jobs use as input the following members in **SCKRSAMP**:

### **CKAVA000**

This member contains the **CARLa ALLOC** statements that are required to specify the **RACF** input source and the **CKFREEZE** data set.

### **CKAVA001**

This member contains the **CARLa** statements that are used to create **RACF** user and group information records and the normal (non-effective) format of the DB2 object access matrix for a **RACF** system.

### **CKAVA002**

This member contains the **CARLa** statements that are used to create the effective format of the DB2 object access matrix for a **RACF** system.

**CKAVA100**

This member contains the CARLa **ALLOC** statements that are required to specify the ACF2 input source and the CKFREEZE data set.

**CKAVA101**

This member contains the CARLa statements that are used to create ACF2 user and source-group information records and the normal (non-effective) format of the DB2 object access matrix for an ACF2 system.

**CKAVA102**

This member contains the CARLa statements that are used to create the effective format of the DB2 object access matrix for an ACF2 system.

**CKAVALD0**

This member contains SQL **LOAD** statements to load the DB2 tables.

**CKAVAMN0**

This member contains CARLa **INCLUDE** statements to include the applicable CARLa members based on the active External Security Manager (RACF or ACF2).

**CKAVASQ0**

This member contains SQL statements for required simple post-processing of the normal format access matrix.

**CKAVASQ1**

This member contains SQL statements for required simple post-processing of the effective format access matrix.

**CKAVASQ9**

This member contains SQL statements for recording the status of the loading process.

For more information about DB2 and the utilities, see the following documents:

- *DB2 for z/OS Utility Guide and Reference*
- *DB2 for z/OS SQL Reference*
- *DB2 for z/OS Administration Guide*

---

## Appendix A. Site module

Use of the Site module is optional. The product is fully operational without it. Job CKRZSITE in the SCKRSAMP library is supplied to assist you in creating the Site module.

Table 18 list the zSecure options that can be customized in the Site module CKRSITE:

Table 18. Site module options

| Parameter   | Allowed values               | Description   | Default  |
|-------------|------------------------------|---|----------|
| AUTH        | SINGLE DOUBLE<br>TRIPLE      | Default multi-authority setting.  | SINGLE   |
| CUSTSPEC    | Up to 100 characters of text | Site or customer-specific identifier. This parameter is included in the SYSPRINT output for various zSecure components.<br><br>Because the value is also used as the default CHECKPWD in zSecure Collect, choose a value that can be expected to be stable over a long period (10 years or more). | <none>   |
| CLASS       | SAF class name               | Resource class for the zSecure security checks.   | XFACILIT |
| KEEPCOMMAND | Numeric                      | Expiration period for CKGRACF queued commands.  | 7        |
| KEEPAUDIT   | Numeric                      | Period during which completed and expired queued commands and past scheduled actions are retained by CKGRACF. The value of this setting must be larger than the expiration period.  | 30       |
| RESTRICT    | Y N                          | Restricted mode. Alternatively, you can use the general resource CKR.READALL provided by the CLASS parameter instead of this setting.   | N        |

If you perform a distribution-oriented installation, you might want to use different CKRSITE parameters for different z/OS images. This configuration can be set up by storing the CKRSITE modules in separate libraries for each image, and concatenating these libraries to the SCKRLOAD library, using either JCL or the WPREFIX/UPREFIX parameter. In line mode, the WPREFIX/UPREFIX parameters only support the SCKRCARL library. Also, note that storing CKRSITE directly in the SCKRLOAD library would be an SMP/E violation because the SCKRLOAD library is SMP/E-managed.



---

## Appendix B. Security setup for zSecure

The APF-authorized functions of zSecure are protected by resources in the XFACILIT class, unless you changed this setup. (See Appendix A, “Site module,” on page 173 for instructions).

zSecure uses SAF to configure menus, and to determine what data (profiles, rules, SMF records) users are allowed to see. At a bare minimum, create catchall profiles CKF.\*\*, CKG.\*\*, CKR.\*\*, C2R.\*\*, and C2X.\*\* with UACC=NONE, or the equivalent resource rules, and grant READ access to the people entitled to use all functions of zSecure. For further refining, see “Security resources specific to zSecure” on page 182. In particular, the profile CKG.\*\* (in the CKRSITE-configured class) is required; a wider generic profile like \*.\* is not sufficient. Also, zSecure requires that the IRR.\*\* profile in the FACILITY class is present. This profile is not subject to configuration by CKRSITE.

---

### Data presentation controls

zSecure uses the system authorization facility (SAF) to determine the data (profiles, rules, SMF records) that users are allowed to see, and to configure menus. The scope of resources and data that users see is controlled using access to the CKR.READALL resource. See Appendix C, “Restricted mode,” on page 183. The ISPF user interface menus are determined through resources CKR.OPTION.\* and the available line commands are controlled using resources CKR.ACTION.\*. The capability to ask for all profiles (no mask or name) is controlled through CKR.CONTROL.MASK. Users need at least READ access.

### Resources that configure which options are shown

Protection can be defined for all menu options. Depending on the access a user has on the corresponding resource names, the menu options are displayed (READ access allowed) or hidden (no access). When an option is hidden, the user is not allowed to perform the option.

The resource names follow the same naming convention:

```
first qualifier: 'CKR'  
second qualifier: 'OPTION'  
third qualifier: main panel option  
fourth qualifier: secondary menu option  
etc.
```

So for the main panel, the resource names are:

```
CKR.OPTION.SE for SETUP  
CKR.OPTION.RA for RACF  
CKR.OPTION.AA for ACF2  
CKR.OPTION.AU for AUDIT  
CKR.OPTION.AM for ACCESS  
CKR.OPTION.EV for EVENTS  
CKR.OPTION.RE for RESOURCE  
CKR.OPTION.CO for COMMANDS  
CKR.OPTION.IN for INFORMATION  
CKR.OPTION.LO for LOCAL
```

The resource names for the SETUP panel are:

CKR.OPTION.SE.0 for OPTIONS  
CKR.OPTION.SE.1 for INPUT FILES  
CKR.OPTION.SE.2 for NEW FILES  
CKR.OPTION.SE.3 for PREAMBLE  
CKR.OPTION.SE.4 for CONFIRM etc.

The resource names for the SETUP DEFAULT panel are:

CKR.OPTION.SE.D.0 for SETUP DEFAULT OPTIONS etc.

**Note:** If the resource checked for an option is not protected in RACF or ACF2, then the option will be shown.

You can allocate ddname C2RIMENU to a data set or terminal before starting zSecure, or select the Debug action commands option under Setup trace. (See the *User Reference Manual*.) For every menu option that is selected, a line describing the tested resource is written to the ddname. You can display the C2RIMENU ddname by using the C2RIMENU primary command.

Also, to see a complete and up-to-date list of available overview types, you can enter the FIELDS command from the command line on most zSecure panels. Select BUILTIN on the next panel to show the list.

Note that restrictions to access a panel option will **not** result in any SECURITY restrictions. If a user can define his own panels, or change the zSecure panels, the user will be able to perform all options. So strict SECURITY authorization must still be in place.

## Resources that configure which line commands are allowed

It is possible to configure which line commands are allowed on the various overview displays. This holds both for overviews from a database query and, for example, from a data set containing configurations for zSecure Alert. For each command, READ access to a resource CKR.ACTION.*overview-type.entity.action-character* is checked.

The different overview-type/entity combinations are:

AD.F: ACF2\_RULE  
AI.I: ACF2\_INFOLINE  
AK.F: ACF2\_RULELINE  
AL.L: ACF2\_LID  
AR.I: ACF2\_INFORULE  
CH.C: Action commands on Change tracking exceptions overview  
CL.\$: RACF CLASS  
CP.\$: CICS\_PROGRAM  
CR.\$: CICS\_REGION  
CS.\$: CICS\_TRANSACTION  
CT.C: Action commands on Change tracking systems overview  
DA.\$: DB2\_TABLESPACE  
DB.\$: DB2\_BUFFERPOOL  
DC.\$: DB2\_COLLECTION  
DD.\$: DB2\_DATABASE  
DE.\$: DB2\_VARIABLE  
DG.\$: DB2\_STOGROUP  
DH.\$: DB2\_SCHEMA  
DJ.\$: DB2\_JAR  
DK.\$: DB2\_PACKAGE  
DN.\$: DB2\_PLAN  
DO.\$: DB2\_ROUTINE  
DQ.\$: DB2\_SEQUENCE  
DR.\$: DB2\_REGION  
DS.\$: DSN

DT.\$: DB2\_TABLE  
 DY.\$: DB2\_DATATYPE  
 FL.\$: FIELD  
 IC.\$: SETROPTS\_CLASS  
 MB.\$: MEMBER  
 MC.\$: IMS\_REGION  
 MP.\$: IMS\_PSB  
 MQ.\$: MQ\_REGION  
 MT.\$: IMS\_TRANSACTION  
 QC.\$: MQ\_CONNECT  
 QH.\$: MQ\_CHANNEL  
 QI.\$: MQ\_INIT  
 QN.\$: MQ\_NAMELIST  
 QP.\$: MQ\_PROCESS  
 QQ.\$: MQ\_QUEUE  
 QT.\$: MQ\_TOPIC  
 RA.\$: RACF\_ACCESS  
 R1.\$: REPORT\_AC1  
 RC.D: RACF (DATASET entities)  
 RC.G: RACF (GROUP entities)  
 RC.R: RACF (RESOURCE entities)  
 RC.U: RACF (USER entities)  
 RD.\$: REPORT\_NONDEFAULT  
 RO.\$: REPORT\_OUTOFGROUP  
 RN.\$: REPORT\_REDUNDANCY  
 RP.\$: REPORT\_PADS  
 RR.\$: REPORT\_PROFILE  
 RS.\$: REPORT\_SENSITIVE  
 SC.D: RACF REPORT\_SCOPE (DATASET entities)  
 SC.R: RACF REPORT\_SCOPE (RESOURCE entities)  
 SD.\$: SENSDSN  
 SM.D: SMF (DATASET entities)  
 SM.G: SMF (GROUP entities)  
 SM.L: SMF (LOGONID entities)  
 SM.R: SMF (RESOURCE entities)  
 SM.U: SMF (USER entities)  
 SP.\$: SPT  
 ST.\$: REPORT\_STC  
 TK.\$: ICSF\_TOKEN  
 TR.\$: TRUSTED  
 UN.\$: UNIX  
 ZA.B: zAlert action commands on alert categories display  
 ZA.C: zAlert action commands on alert configurations display  
 ZA.D: zAlert action commands on e-mail destination sets display  
 ZA.R: zAlert action commands on alerts display

You can allocate ddname C2RIMENU to a data set or terminal before starting zSecure, or select the "Debug action commands" option under Setup trace (see the *User Reference Manual*). For every action command that is selected, a line describing the tested resource is written to the ddname. You can display the C2RIMENU ddname by using the C2RIMENU primary command.

To change the action character or the description, see the *User Reference Manual*.

---

## Access to the security database

The usersids using Security zSecure need permission to read the security database (or perhaps a copy or an unload). However, this might create an exposure. Appendix C, "Restricted mode," on page 183 describes this type of exposure, and how to remedy it.

---

## Authorization and userid mapping when using the zSecure Server

Specific authorizations are required for using remote data and for routing commands.

- For remote data access, the user requires several authorizations:
  - The user must be authorized to access the remote destination.
  - The user must be authorized to use the remote data set using the zSecure Server.
  - For all data sets other than the live data sources, the user must have access to the data set.
- For routing commands, the user's required authorization depends on the routing method chosen:
  - For zSecure Server-based command routing, the user needs access to appropriate zSecure resources. These resources are described in this section.
  - For RRSF-based command routing, the user needs an approved user association. For information about the required RRSF authorizations, see the *RACF Security Administrator's Guide* and the *RACF Command Language Reference*.
  - For NJE-based command routing, the user needs authorization to route jobs to the remote system.

These authorizations must be defined before the user can access remote data or route commands.

Authorization is verified using the userid of the user. On the remote system, authorization is verified using a userid defined on that remote system. The userid on the remote system is obtained from a *mapping rule* that links the userid used to log on to a userid that is defined on the remote system. For more information about this userid-mapping rule, see "Userid mapping" on page 180.

For remote destinations other than the current ZSECNODE, you can control authorization to the remote destination using profiles in the XFACILIT class, or using profiles in the RRSFDATA resource class. These profiles are used for data access as well as for command routing. The verification is done on the system where the user logs on. If the destination is a server defined on the current ZSECNODE, the user is automatically authorized to access the server. The profile in the XFACILIT class must match resource CKNADMIN.TONODE.<node-name>. In this resource name, the following qualifiers are used:

### **CKNADMIN**

A fixed prefix.

### **TONODE**

A fixed qualifier for the destination node verification.

### **node-name**

The ZSECNODE that was specified for the remote data, or the ZSECNODE to which the ZSECSYS that was specified belongs.

If no profile is found in the XFACILIT class (unless you changed this; see Appendix A, "Site module," on page 173), profiles in the RRSFDATA resource class are used. The profile in the RRSFDATA class must match resource DIRECT.<node-name>. The <node-name> has the same value as specified in the

preceding list. If no RRSFDATA profile is found either, access to the remote system is allowed. If a profile is found, the user must have at least READ access to the resource.

On the destination server, you must implement a similar authorization for the source server. The user must have at least READ access. If the source server is defined on the same ZSECNODE as the current server, the user is automatically authorized to access the current server. The resource name used for the access verification is CKNADMIN.FROMNODE.<node-name>. In this resource, the qualifiers are:

**CKNADMIN**

A fixed prefix.

**FROMNODE**

A fixed qualifier for the source node verification.

**node-name**

The ZSECNODE assigned by the system administrator to the system where the user is running the query.

If no profile is found, the user is not authorized to access this node. Because this verification is done on the destination system, the userid onto which the logged-on userid is mapped must have at least READ access to the resource.

Authorization to use the remote data set can be controlled using profiles in the XFACILIT class. The profile in the XFACILIT class must match resource CKNDSN.<dstype>.<node-name>.<system-name>.<dsname>. In this resource name the following qualifiers are used:

**CKNDSN**

A fixed prefix.

**dstype**

Describes the type of data. It has a value used on the TYPE= keyword of the CARLa ALLOCATE statement. Example values are RACF, CKFREEZE, SMF, and UNLOAD. The special value DEFTYPE is used for all types that are defined using the CARLa DEFTYPE statement.

**node-name**

The ZSECNODE used for the system where the data set resides.

**system-name**

The ZSECSYS used for the system where the data set resides.

**dsname**

The name of the data set that is accessed remotely. For some data, the *dsname* used here is a placeholder, instead of the true data set name. The placeholders ACTIVE, PRIMARY, BACKUP and MANAGED are used for data sets where the exact data set name is not relevant. For remote commands the *dsname* CKRCMD is used. The name MANAGED for a data set is a reserved name that does not result in the allocation of any real data set.

Because this verification is done on the destination system, the userid onto which the logged-on userid is mapped must have at least READ access to the resource.

Running remote commands is also controlled on the remote system using CKNDSN profiles in the XFACILIT resource class. The resource name is similar to those used for data set access:

CKNDSN.<dstype>.<node-name>.<system-name>.CKRCMD

The qualifiers have the same meaning as described in the preceding list. The last qualifier is:

**CKRCMD**

A fixed suffix to indicate that this resource describes the authority to run commands.

If the data set that is accessed is not ACTIVE, PRIMARY, BACKUP, MANAGED, or CKRCMD, the user must also have access to the data set itself. The zSecure Server opens the data set using the user's authorization, and normal DATASET access verification takes place. The user must have sufficient authorization to access this data set. If not, a regular access violation message is issued, followed by a 913 OPEN abend. The name MANAGED for a data set is a reserved name that does not result in the allocation of any real data set.

## Userid mapping

Because the user naming conventions can be different in different RACF databases, it is possible to implement userid mapping rules. Your installation might use one of several types of userid mapping. You can implement userid mapping in either of the following ways:

- Using a profile in the XFACILIT class (unless you changed this; see Appendix A, "Site module," on page 173)
- Using existing RRSF user associations.

The profile in the XFACILIT class must match resource

CKNUMAP.<source-nodename>.<source-userid>.<target-nodename>.

In this resource name the following qualifiers are used:

**CKNUMAP**

A fixed prefix.

**source-nodename**

The zSecNode assigned by your system administrator to the system where you are running the query.

**source-userid**

The userid you used to log on.

**target-nodename**

The zSecNode used for the system where the data set resides, or where you want to run commands.

The profile must have an APPLDATA field that specifies the userid used for you on the remote system. Possible values for the APPLDATA are:

**=USERID**

The identity mapping is used.

*other* The value for the target userid.

If the APPLDATA is missing or the first character is blank, the source userid is not accepted.

It is possible to use generic profiles for these mapping rules. In this way, a single profile can be used to map multiple userids on multiple systems.

If there is no userid mapping profile, zSecure uses existing RRSF user associations. Only approved associations where the logged-on user is a PEER or MANAGER-OF the remote userid are taken into consideration. For more information about setting up RRSF user associations, see the *RACF Security Administrator's Guide* and the *RACF Command Language Reference*.

If inconsistencies in the RRSF user associations are encountered, the identity mapping is used.

If there is no CKNUMAP profile and also no RRSF user association, the identity mapping is used.

---

## Other security resources

zSecure issues SAF calls to configure menus and to limit use of its authorized functions. All SAF calls are in the XFACILIT class, unless you customized the CLASS option in the site module. (See Appendix A, "Site module," on page 173.)

- Users of the zSecure Admin component who are to issue REMOVE USER commands need READ access to the STGADMIN.IGG.DELETE.NOSCRATCH and STGADMIN.IGG.DEFDEL.UALIAS facility resources, or ALTER on the master catalog and the relevant user catalogs. This is necessary in order to enable them to delete all components of VSAM data sets, and to delete catalog aliases.
- Users of the zSecure Admin component frequently create command streams in data sets. Because these data sets can contain passwords, be sure that they are erased upon deletion as shown in the following example:

```
ADDSD 'workprefix.C2R*.CKRCMD*.*' UACC(NONE) ERASE
ADDSD 'workprefix.C2R*.CKR2PASS*.*' UACC(NONE) ERASE
```

Where *workprefix* is the prefix for ISPF work data sets, as specified in the WORKPREF parameter in the zSecure configuration, see Appendix D, "Configuration parameters and members," on page 189.

- When running zSecure under ACF2, the C2RIMENU program must be enabled to perform SAF calls:

```
INSERT SAFDEF.C2RIMENU PROGRAM(C2RIMENU)
RB(C2RIMENU) NOAPFCHK ID(C2RIMENU)
RACROUTE(REQUEST=AUTH,CLASS=XFACILIT,STATUS=ACCESS)
```

If this is not done, all panel options will be visible to every user, resulting in error messages when they try to use options they are not allowed to. If you changed the Site module to use a resource class other than XFACILIT, you should adapt the above SAFDEF accordingly.

- Users of the CKGRACF REFRESH command need access to the resource C2GRACF in the APPL class. This includes the userid that runs the daily CKGRACF job. For additional information about this job, see "Requirements for running the daily CKGRACF job" on page 43.

The APPL class has a default RC=4 so that the program can run without a covering profile. However, if an APPL profile exists that covers the C2GRACF resource (\*, for example), READ access is required.

- Users running CKRCARLA in an APF-authorized environment requires READ access to resource CKR.CKRCARLA.APF.
- Users of the RACF Exit Activator program need UPDATE access on C2X.exitname where *exitname* is the name of the exit-module as described in the

RACF System Programmer's Guide. It is the name that the corresponding module would have if dynamic activation would not be used. For example, the resource for the RACF new password exit is C2X.ICHPWX01.

### **Resources that specify which data can be seen or updated**

For the READALL resource, see Appendix C, "Restricted mode," on page 183. For other resources in this category, see the *User Reference Manual* for your zSecure product.

### **Security checks related to zSecure Collect**

For zSecure Collect related security checks, see the *IBM Security zSecure Admin and Audit for RACF: User Reference Manual*. If you are using zSecure Audit for ACF2 or Top Secret, see the *User Reference Manual* for those products.

---

## **Security resources specific to zSecure**

zSecure issues SAF calls to configure menus and to limit use of its authorized functions. All SAF calls are in the XFACILIT class, unless you customized the CLASS option in the site module. (See Appendix A, "Site module," on page 173.)

---

## Appendix C. Restricted mode

zSecure can be used in two distinct modes: *unrestricted mode* and *restricted mode*.

- In unrestricted mode, all information in the RACF or ACF2 security databases is included in reports that can be viewed from the ISPF panels or from printed reports.
- In restricted mode, only data within the user's scope is reported. For example, in restricted mode a Help Desk operator cannot create the same reports or view the same data that a Central Administrator can.

The choice between these two modes of operation can be made for the entire installation, or per user, or per group.

The net effect of allowing a user unrestricted access is that you have effectively created a "read-only" unscoped auditor. That is, the user can see the same data and options as a user with the RACF AUDITOR or ACF2 AUDIT attribute, but cannot change any global options or auditor settings.

---

### Conditions for restricted mode

Restricted mode is determined in the following manner:

1. If the user specifies SIMULATE RESTRICT, restricted mode is activated.

This is noted in one of the message variants that give some information about what would happen without the SIMULATE RESTRICT:

CKR0031 Restricted mode by simulation, although user *userid* has privilege *privilege*

CKR0031 Restricted mode by simulation, although user *userid* READ access to *class profile*

CKR0031 Restricted mode by simulation for user *userid*, although no profile *class profile*

2. If one of the ALLOC statements refers to a remote node and the (possibly mapped) user ID there has no SPECIAL, AUDIT, or ROAUDIT privilege and no READ permit on CKR.READALL in the security database on that remote node, restricted mode is activated. The class for the CKR.READALL resource on the remote node is determined by the site module on the remote node. See Appendix A, "Site module," on page 173.

This is noted in one of the message variants that give some information about what would happen without the remote node restriction:

CKR0031 Restricted mode by remote node, although user *userid* has privilege *privilege*

CKR0031 Restricted mode by remote node, although user *userid* READ access to *class profile*

CKR0031 Restricted mode by remote node for user *userid*, although no profile *class profile*

If both 1 and 2 are the case, then the messages use simulation and remote node, instead of giving the similar messages twice.

3. Else, if the user has any of the attributes SPECIAL, AUDITOR, ROAUDIT in the system running the query, unrestricted mode is granted. This is noted with message  
CKR0031 Unrestricted mode active, user *userid* has privilege *privilege*

4. Else, access to the CKR.READALL resource is tested, (in the XFACILIT class, unless you changed this; see Appendix A, "Site module," on page 173). If the SAF call takes a decision (through profile or class default RC):
  - a. NO access enforces restricted mode. This is noted with message:  
     CKR0031 Restricted mode active, user *userid* no READ access to *class profile*
  - b. READ or higher access grants unrestricted mode. This is noted with message:  
     CKR0031 Unrestricted mode active, user *userid* READ access to *class profile*
5. Else, (that is, access to CKR.READALL is undecided) restricted mode is in effect when at least one of the following is true:
  - a. The live system is RACF and the user has only access to (part of) the input via PADS, as described in "Setting up Program Control and PADS access" on page 185. This applies to security database, CKFREEZE, SMF, DEFTYPE, and access monitor input files. This is noted with message:  
     CKR0031 Restricted mode active because of [PADS | program pathing] user *userid*
  - b. The Site module (see Appendix A, "Site module," on page 173) has been configured to specify restricted mode. This is noted with message:  
     CKR0031 Restricted mode active by installation option; user *userid*
6. Else, unrestricted mode is granted. This is noted with message:  
     CKR0031 Unrestricted mode active; user *userid*

**Note:** Access is undecided when there is no covering profile in RACF and there is, in the Site module, a resource class with a default return code of 4. The default class is XFACILIT, which has a default return code of 8, meaning that access is forbidden.

Users that have the SPECIAL, AUDITOR, or ROAUDIT attribute on the executing system operate in unrestricted mode by default as explained above, but can be made restricted by using SIM RESTRICT. When using SIM RESTRICT, global audit fields will be invisible to the SPECIAL user who does not also have AUDITOR or ROAUDIT.

When accessing a remote system through CKNSERVE, a similar decision is taken based on the system-wide properties and CKR.READALL permit of the mapped user ID on the remote system.

When analyzing multiple, possibly remote, security databases in one run, keep in mind that 'Restricted mode' is a condition that is not specific to a complex or file. It applies to all complexes and applicable files after it is activated based on insufficient user authority on any one local or remote system where a query is directed.

When running in restricted mode, the scope used is smaller than for trusted reporting in unrestricted mode. As a consequence, the reports exploiting scope-related information might contain fewer records. This reduced scope is the same one that you get by adding SUPPRESS REASON=(SELFCONNECT, PWDCHANGE, WARN, NOPROFILE, CKGRACMAP CKGRACDCERT) to a scope report. A message CKR2245 is issued to document this if you are using a function that also needs the scope trees.

---

## Effects of restricted mode: the user's scope

The user's scope in restricted mode is evaluated from the database that is being examined. If the input source contains no security database, the database on the live system is used for scope evaluation.

For a RACF input source, you can enlarge the user's scope by granting READ access to (some) CKG scope resources. This way, you can define auditors with fine-grained access authorizations. See the *User Reference Manual* for more information. Note that granting access is always done on a system image that uses that input as a live database, so it has no effect on older unloads.

---

## Setting up Program Control and PADS access

### About this task

This information applies only if your live system uses RACF.

The greatest level of security is achieved by making use of Program Access to Data Sets (PADS). Without PADS, users can use, for example, ISPF Browse to examine the RACF database, or even copy the database to a system where they can run in unrestricted mode. However, due to the way that RACF implements Conditional Access, this option is also the most cumbersome to use. As an alternative to PADS, you can exploit the zSecure Server in self-connect mode to access the security database. See "Use of the zSecure Server to limit the need for access to the security database" on page 59.

You can combine PADS access, or access through the zSecure Server, with the use of the CKR.READALL resource to override restricted mode for selected (or all) users.

If you want to set up zSecure for operation using Conditional Access or PADS mode, you must define profiles in the program class, and activate RACF program control. Many installations perform most of these steps as part of the implementation of UNIX System Services (USS).

### Procedure

Use the following steps to set up Conditional Access or PADS mode:

1. Acquaint yourself with the principles of Program Control and Program Access to Data sets, as documented in the *RACF Security Administrator's Guide* (SA23-2289 for z/OS RACF).
2. Determine if your installation is using RACF Program Control in BASIC mode or in ENHANCED mode.
  - If your system uses BASIC Program Control mode, you should add the required PROGRAM profiles with a command like:  

```
RDEF PROGRAM CKR* ADDMEM('CKR.SCKRLOAD'//NOPADCHK)
```
  - If your system uses ENHANCED Program Control mode (available as of z/OS 1.4), you can add the required PROGRAM profiles with commands like:  

```
RDEF PROGRAM CKR* ADDMEM('CKR.SCKRLOAD'//NOPADCHK) APPLDATA('MAIN')  
RALT PROGRAM ** ADDMEM('CKR.SCKRLOAD'//NOPADCHK)
```

If you use different load libraries (for example, you might have created multiple load libraries for multiple versions of the Site module, and concatenate

these to your main zSecure load library), you must specify ADDMEM for each of the load libraries that you need to have program-controlled.

If you have set up alias names for load modules, also create profiles that cover the alias names.

On older systems, the volume serial number must be inserted between the slashes (//) in the previous example commands. This can lead to problems if your data set is located on an SMS managed volume. In that case, to prevent SMS from moving the data set to a different volume, ensure that the data set is assigned to storage class that has the Guaranteed Space attribute (or on a non-SMS managed volume).

If you intend to use zSecure interactively via TSO/ISPF, also add program profiles for some other executable modules of zSecure. In this example, \*\* is used to describe all relevant load modules. Alternatively, you can add the entire library to the definition of program profile \* or \*\* as in the example.

3. Add an authorization group to be used for users authorized to access the database in PADS mode.
4. Add a conditional access list to the profile describing your RACF databases. You might restrict users to using the back-up database; you might first need to add a profile for this. A sample command is:

```
PE 'databaseprofile' WHEN(PROGRAM(CKRCARLA)) ID(authgroup)
```

5. Ensure that program control is active by issuing SETROPTS LIST, check that the output specifies WHEN(PROGRAM). If it does not, schedule introduction of program control. (Review the current contents of the PROGRAM class first.) Program control is activated as follows:

```
SETROPTS WHEN(PROGRAM)
```

6. You might need to add a program profile describing operating system modules, depending on how much your site moved from link list to LPA. (For LPA modules you do not need program profiles.) Generally the commands issued are:

```
RDEF PROGRAM * ADDMEM('SYS1.LINKLIB'//NOPADCHK) UACC(READ)
RALT PROGRAM * ADDMEM('SYS1.CMDLIB'//NOPADCHK)
RALT PROGRAM * ADDMEM('SYS1.MIGLIB'//NOPADCHK)
RALT PROGRAM * ADDMEM('SYS1.CSSLIB'//NOPADCHK)
RALT PROGRAM * ADDMEM('cee.version.SCEERUN'//NOPADCHK)
RALT PROGRAM * ADDMEM('TCPIP.SEZALINK'//NOPADCHK)
RALT PROGRAM * ADDMEM('TCPIP.SEZATCP'//NOPADCHK)
```

If you want to run *interactively* in PADS mode, you must also add the ISPF and PDF link list libraries. When you load a *dirty* (non-controlled) module, you probably need to log on again for your next try. Sometimes, leaving ISPF and invoking ISPF through TSOEXEC can be sufficient to regain a controlled environment.

```
RALT PROGRAM * ADDMEM('CKR.SCKRLOAD'//NOPADCHK) /* IBM Security zSecure */
RALT PROGRAM * ADDMEM('FAN130.SEAGLMD'//NOPADCHK) /* REXX */
RALT PROGRAM * ADDMEM('ISP.SISPLOAD'//NOPADCHK) /* ISPF/PDF */
```

If you define program \* or \*\* for all modules in SYS1.LINKLIB, also consider creation of two more specific profiles for the programs ICHDSM00 and IRRDPI00 with a reduced UACC. These two programs check the existence of a matching program profile to allow users to execute the program. If no program profile exists, only auditors are authorized to execute ICHDSM00 (DSMON). If, however, a generic profile \* has been defined with a UACC(READ) all users are authorized to execute ICHDSM00. Therefore, it is a good idea to also issue the following commands:

```
RDEF PROGRAM ICHDSM00 UACC(NONE) ADDMEM('SYS1.LINKLIB'/'*****'/NOPADCHK)
RDEF PROGRAM IRRDPI00 UACC(NONE) ADDMEM('SYS1.LINKLIB'/'*****'/NOPADCHK)
PE ICHDSM00 CLASS(PROGRAM) ID(your-auditors) ACCESS(READ)
PE IRRDPI00 CLASS(PROGRAM) ID(your-dynamic-parse-initialization-userid) ACCESS(READ)
```

For more information about these commands, see the section about Program Control in the *RACF Security Administrator's Guide*.

7. To activate a change to any PROGRAM profile in the system, you must issue:

```
SETROPTS REFRESH WHEN(PROGRAM)
```

8. First try PADS access through batch jobs. If this works, you can move on to interactive access. First try to get it working with a minimal, clean environment: issue the CKR command (or your local copy) immediately after logging on to TSO, before you start ISPF. When invoked in this way, CKR frees file ISPLLIB to be sure to prevent dirty modules, and invokes the program as the primary ISPF application through the TSOEXEC command.

You must be aware that other ISPF applications (like SDSF) can create an environment that cannot be cleaned up even with the TSOEXEC command. In this situation, you might have to log on again. After you ensure that it works in the cleanest case, you can try to add back your own ISPF environment piece by piece to get a usable work environment and to see where you start getting 913 or 306 abends.

Each intercepted 913 abend produces a debugging display of the Job Pack Queue module in the SYSPRINT file. (You can review this with the SYSPRINT primary command under ISPF.)

You can also use the RACF ICH420I messages to determine the cause for the dirty environment.



---

## Appendix D. Configuration parameters and members

As described in “Creating zSecure configuration data sets” on page 25, job CKRZPOST creates a starter configuration for zSecure. You can create additional configurations if you need them. For example, you might want to create separate configurations for each z/OS image, for each community, or to provide a dedicated configuration for zSecure Alert, zSecure Visual, zSecure Adapters for QRadar SIEM, Change Tracking, and so on.

The configuration must use JCL SET statements so that it can be used by both the batch and ISPF-interface. To enable the ISPF interface to interpret the SET statements, each parameter must be specified in a separate SET statement. Parameters are not case-sensitive except for UNIX filenames and DESC parameters which are case-insensitive insofar as they are used by the ISPF interface. Parameters that are used in JCL must conform to JCL-standards.

The INCLUDE statement (same syntax as in JCL) is supported by the ISPF interface to include members from the configuration data set. In the ISPF interface, the configuration data set is never part of a concatenation, unlike in JCL. The configuration data set can be useful to store common parameters in a common member and to override the required parameters as needed. For example, users of the Helpdesk configuration need to start zSecure at the RA.H option. The configuration for all the other options do not need to be changed from the default settings. You can create this configuration by overriding the C2REMAIN invocation in your copy of CKR (see further), or by specifying a separate configuration such as:

```
// INCLUDE MEMBER=your-common-member  
// SET STARTTRX='MENU(RA.H)'
```

The last occurrence of an assignment overrides any previous assignments.

The ISPF interface supports the symbol &SYSUID. (including the period), system symbols as specified in the active IEASYMxx parmlib member, and all symbols accepted by the REXX MVSVAR function. Other JCL parameters and continuation lines are not supported by the ISPF interface. Although unsupported and obsolete parameters can result in messages on the primary menu, they do not otherwise affect the functionality, so you can share configurations among unlike releases.

All parameters that are listed for the configuration can also be coded (in TSO/E convention) as overrides when invoking C2REMAIN. Typically, users do not need to use C2REMAIN. Instead, they can start the REXX exec CKR, or your copy of it. For example, you can create a REXX exec CKRQ, intended for simple administrative tasks, that adds only the overriding parameter STARTTRX(MENU(RA.Q)). Symbolic parameters are not supported as part of overrides, you should instead resolve parameters within (your copy of) CKR.

The version of CKR shipped with zSecure supports the same overrides. For instance, in order to run Setup default against a particular SE.D data set you can invoke:

```
CKR PROFDSN('HELPDESK.CKRPROF')
```

The following parameters are supported:

**BLKSIZE**

BLKSIZE for VB data sets. If system-determined block size (SDB) is supported on your system, you can leave this out, or specify BLKSIZE=0. Otherwise, it is suggested to specify BLKSIZE=23476 if work data sets are created on 3380 compatible devices or BLKSIZE=27998 for 3390 compatible devices.

**CKACUST**

This parameter specifies the data set name of the 'compliant authorized ID population' members for zSecure Audit option AU.R - Rule-based compliance evaluation. Users can concatenate their own CKACUST library in front of the library specified in the configuration member with option CO.1 or SE.8. See the topic "CO.1 LIBRARIES - Data set selection" in the *User Reference Manual* for your zSecure product.

**CPREFIX**

This parameter specifies the beginning qualifiers of the data sets that contain the zSecure software. To allow your configurations to be use across software upgrades, consider using aliases for your configurations.

Alternatively, keep the release identifier in the data set name and change it when you want to switch all users from one release to the next. However, if you have multiple configurations, you need to update them all.

**C2ECUST**

A partitioned data set for installation-supplied CARLa for the z/OS Agent for Tivoli® Security Information and Event Manager.

The C2ECUST parameter is no longer used. However, if you use the same configuration with zSecure 2.1.0 or lower, it might still be relevant to your situation.

**C2ELVLLQ**

This parameter provides the low-level qualifier for the same data sets referenced by the C2ELVPFX parameters. You can use this optional parameter to make the names for your SMF data sets comply with your data set naming convention. You might want to do this if you have ACS-routines that make processing decisions based on the low-level qualifier. If you specify a non-blank C2ELVLLQ, specify a value with a period as the first character, `.daily_smfdata` for example.

**C2ELVPFX**

One or more qualifiers, to be used as prefix for SMF data sets to be used by the Agent for Tivoli Security Information and Event Manager. This parameter is only required when you use this component. Data sets with this prefix are created in your SMF accumulation processing, and then read and removed by the Agent. Be sure that only Agent-owned data sets exist under the prefix C2ELVPFX. It is a good idea to use the user ID of the Agent as the high-level qualifier.

**C2EPATH**

Path to the agent root directory for the Agent for Tivoli Security Information and Event Manager. Only required when you use this component. If you run multiple Agents, each one needs its own agent root.

**C2EQCUST**

This parameter applies to the data preparation for QRadar SIEM. See "Setup of the collection process" on page 160.

**C2EQPATH**

This parameter applies to the data preparation for QRadar SIEM. See “Setup of the collection process” on page 160.

**C2ESW**

Path to the directory where you unpacked the z/OS Agent for Tivoli Security Information and Event Manager software. Multiple z/OS Agents can share this directory. This parameter is required when you use this component.

**C2PACPRM**

The name of the data set containing parameters for the Access Monitor function configuration parameters. The data set is normally created by job CKRZPOST and referenced by the PARMLIB DD-statement and the SC2PCUST DD-statement in the JCL of the Access Monitor address space. For details, see Chapter 10, “Setup of zSecure Admin Access Monitor,” on page 61.

**C2PCUST**

Only required when you use the zSecure Alert component. The alert CARLa and zSecure Alert parameter file are generated by the ISPF interface and written to this data set. Alert definitions are stored in ISPF tables, which are written to this data set as well. This data set should be allocated to SC2PSAMP DD of the zSecure Alert started task. See the zSecure Alert manual for more information.

**C2POLICE**

The name of the zSecure Alert started task. The default name is C2POLICE. Only required when you use this component.

**C2RSERVE**

C2RSERVE is the Server root directory for a Visual Server instance; all data that a particular Server uses is anchored in this directory. If you run multiple Servers, each Server must have its own value for C2RSERVE. To meet this requirement, you usually create a separate zSecure configuration for each Server. Alternatively, you can use a common zSecure configuration for all Servers and use a System symbol as part of the C2RSERVE parameter.

This parameter applies only to the zSecure Visual component. For additional information, see Chapter 13, “Setup and use of the zSecure Visual Server,” on page 119.

**C2RWCUST**

This parameter specifies a data set for local CARLa and CKGRACF control statements for the Visual Server. For a new server, job CKRZPOST has filled in the data set name where your main configuration (for example, C2R\$PARM) resides. See “Creating zSecure configuration data sets” on page 25 for information about running CKRZPOST.

The following members are available for you to customize, if desired:

**C2RWEXG1**

CKGRACF commands to be embedded during a client-initiated transaction

**C2RWEXR1**

CARLa to be embedded during a client-initiated transaction

**C2RWASSC**

The C2RWASSC member specification is required *only* if you are

implementing the presentation of site-specific user data in the Visual client. See "Site-specific user data" on page 135 for information about configuring the display of site-specific user data in the Visual client. This member specifies the location of the Associations configuration file in a CARLa ALLOCATION (ALLOC) statement. The format of the statement is:

```
ALLOC TYPE=SITE_ASSOCIATIONS DSN='associations.file'
```

where:

#### **SITE\_ASSOCIATIONS**

The Visual client uses this required keyword to look up the contents of the specified Associations file. The Associations file specifies the name of the customer data files and presentation format files that are used to display site-defined user information in the Visual client.

*associations.file*

Specifies the site-defined name of the Associations file.

For a new Server, empty members are created by job CKRZPOST. For an existing server, CKRZPOST makes no updates, so that it does not overwrite configurations that you customized. When upgrading a Server that currently runs with zSecure 1.11 or older, copy these members from the SCKRCARL library, and add the C2RWCUST parameter to your zSecure configuration. Also, make sure that you run the Visual Server with JCL at the same level as the rest of the server coding.

If you use the zSecure Multi-system feature with a non-default token for the Multi-system Server, add an OPTION statement with your token to members C2RWEXG1 and C2RWEXR1. See Chapter 9, "Setup for remote data access and command routing," on page 47.

This parameter applies only to the zSecure Visual component. For additional information, see Chapter 13, "Setup and use of the zSecure Visual Server," on page 119.

#### **C2RW131A = [ON | OFF]**

When this parameter is ON, encryption between the Visual Server and its clients is NIST 800-131A-compliant. This means that encryption algorithms that do not comply with the NIST Special Publication 800-131A (issued by the USA National Institute of Standards and Technology) are no longer accepted.

When upgrading, do not set C2RW131A to ON until all clients have upgraded to at least the 2.1.0 level of the zSecure Visual Client software, and have then upgraded their certificates during the client-server connection.

**Note:** Normally, certificate upgrade takes no more than 30 minutes of client-server connect time. However, no upper time limit exists. Running the server in non-NIST 800-131A mode for a few days or weeks is normally sufficient for all clients to upgrade their certificate. If any workstations do not complete their upgrades during the non-NIST 800-131A period, it is most likely due to not connecting at all, rather than too long a computation. A new initial password is required for these workstations. See "Making clients known to the server" on page 128.

When this parameter is OFF, older encryption algorithms are accepted. Use this setting for:

- Upgrading the run directory of a server previously on version 1.11.0 to version 1.13.1.
- Clients that connect using a zSecure Visual 1.11.0 to 1.13.1 level of the client software.
- The first connection after upgrading an old client to zSecure Visual 2.1.0 or higher, if you want to continue using the existing certificate.

If you set C2RW131A to ON before the first-time connection of an upgraded client, the client cannot use or upgrade its old certificate. If this situation occurs, you can switch C2RW131A back to OFF and then stop and restart the server. If those actions are unacceptable, you must issue a new initial password to the client. See “Making clients known to the server” on page 128.

**Note:** After changing the value of the C2RW131A parameter, you must restart the server to make the change take effect.

This parameter applies only to the zSecure Visual component. For additional information, see Chapter 13, “Setup and use of the zSecure Visual Server,” on page 119.

### **C2RWIN**

C2RWIN is the directory where the zSecure Visual Server software resides. Multiple Servers can share this directory, provided that they run the same level of the product. Because the zSecure Visual Server does not write into this directory, you can mount the file system where the software resides read-only after you complete the product installation.

The C2RWIN parameter is also required when using zSecure Visual under ISPF to configure the first client. See “Making clients known to the server” on page 128.

This parameter applies only to the zSecure Visual component. For additional information, see Chapter 13, “Setup and use of the zSecure Visual Server,” on page 119.

### **C2XEXITS = [ ACTIV | INACT ]**

This parameter controls the activation of the zSecure-supplied ICHPW02 exit C2XPWX01 by Tivoli Security Information and Event Manager.

This parameter is no longer used. It is retained for compatibility reasons.

### **CKNSVPRM**

This parameter specifies a data set for configuration statements for the zSecure Server. For a new server, job CKRZPOST has filled in the data set name where your main configuration (for example, C2R\$PARM) resides. See “Creating zSecure configuration data sets” on page 25 for information about running CKRZPOST.

This data set must contain the two members indicated by the PPARM and PCOMMON parameters in the zSecure Server procedure, as described in Chapter 9, “Setup for remote data access and command routing,” on page 47.

### **CKQCUST**

This parameter applies to the data preparation for QRadar SIEM. See “Setup of the collection process” on page 160.

### **CKQPATH**

This parameter applies to the data preparation for QRadar SIEM. See “Setup of the collection process” on page 160.

**DATACLAS, STORCLAS, MGMTCLAS, TEMPUNIT**

Data class, storage class, management class and generic or esoteric unit name for allocation of ISPF work data sets. With SMS, these parameters are passed to your ACS-routines. The parameter TEMPUNIT is only used when temporary data sets are being allocated, see the *User Reference Manual* for your zSecure product. When TEMPUNIT is left empty, or is not specified, the value of the UNIT parameter is used.

**DPREF**

Prefix for data sets created by batch jobs in SCKRSAMP.

**EARLYWRN**

A list of userids who are to receive messages when unsupported or obsolete parameters are in the configuration member. If you specify multiple userids, separate them with commas. Enclose the entire list of user IDs in quotation marks, 'ADMIN1,ADMIN2,ADMIN3' for example. If this parameter is left empty, all users receive these messages.

**INIT** Can be specified as YES (or RESET) or NO to indicate whether the settings from a previous session by the same user are to be kept or not.

**YES/RESET**

Reset all parameters to their defaults. These values are either the system defaults or the values that have been set with SETUP DEFAULT.

**NO** Values from the previous session (user values) are used.

**JES** This parameter specifies the JES level. The value can be 2 or 3, referring to JES2 and JES3, respectively. This parameter affects the type of JCL generated by zSecure.

**LIBDEF**

The LIBDEF parameter indicates whether an ISPEXEC LIBDEF must be issued for the zSecure user and common libraries. The value can be YES or NO. The default value is YES. When LIBDEF=NO is coded, the libraries must be preallocated to ISPF. DDNAME ISPTABLE is always allocated by an ISPEXEC LIBDEF and thus cannot be pre-allocated.

**PROFDSN**

The PROFDSN parameter specifies the data set used to customize the ISPF interface. The contents of the specified data set are updated by the SETUP DEFAULTS statement. Specify a partitioned data set with LRECL=80 and RECFM=FB. The SETUP DEFAULT options are disabled if this data set is not specified. If the data set specified PROFDSN is specified, but the data set is not available, the ISPF interface is aborted in order to prevent users inadvertently working without the intended settings (for example, with the wrong input).

**STARTTRX='MENU(menu)' | STARTTRX='CMD(command)'**

The transaction you want to run when the ISPF interface is started.

**MENU:**

Any menu, up to two levels, that is valid on the default primary menu, like AU.S or CO.

**CMD:** A zSecure or TSO command that is valid on the default primary menu, like CARLA or RESULTS. Multiple commands can be specified, separated by a semicolon.

**SIMESM={RACF|ACF2|TSS}**

This optional parameter makes the ISPF interface (in particular, the

configuration panels for zSecure Alert) behave as if it ran with RACF, respectively. ACF2 as the active External Security Manager. This way, you can configure, for example, a zSecure Alert configuration for ACF2 while running in a TSO-session under RACF. By default, the active External Security Manager is used.

**SYS** Identifies the system to analyze. It is used as a qualifier when creating CKFREEZE and UNLOAD data sets, and for intermediate data sets where generated commands etc. are stored. The install process updates the sample configuration with the SMF system id, prefixed with an S in case the SMF system id starts with a digit (which would result in invalid data set names). This parameter must be modified if you distribute the CKRPARM data set from your installation system to another system.

**UNIT** The generic or esoteric unit name for UNLOAD, CKFREEZE, and permanent ISPF work data sets, SYSDA, DISK, or DASD, for example.

#### **UPREFIX**

Can be specified to indicate installation or user-specific zSecure libraries that must be used in addition to the common data sets. The ISPF interface searches for additional libraries. If the libraries exist, they are concatenated in front of the corresponding zSecure common libraries. The library names searched for are:

```
&UPREFIX..SCKRPLIB
&UPREFIX..SCKRMLIB
&UPREFIX..SCKRSLIB
&UPREFIX..SCKRTLIB
&UPREFIX..SCKRCLIB
&UPREFIX..SCKRLOAD
&UPREFIX..SCKRCARL
&UPREFIX..SCKRCJPN
&UPREFIX..SCKRMJPN
```

If you have old data sets (with SC2RPLIB as the low-level qualifier instead of SCKRPLIB, for example), and want to continue to use them, rename the data sets or create aliases. Instead of a single UPREFIX parameter, you can also specify a comma-separated list, enclosed by single quotes.

In line mode, the UPREFIX parameter supports only SCKRCARL library.

#### **USRDATA**

The USRDATA parameter can be used to specify installation-defined user data fields that are stored in USER profile. The specified fields are shown in the Security zSecure Group Administration displays. If you store phone and social security numbers (SSN) numbers in user data fields with this name, you could code:

```
USRDATA='PHONE SSN'
```

#### **VOLSER**

Can be used to specify the volume serial on which to allocate UNLOAD, CKFREEZE, and work data sets.

#### **WORKLLQ**

Low-level qualifier to be appended to permanent work data set names.

#### **WORKPREF**

Can be specified to set the prefix of the ISPF work data sets. When not specified, the prefix is constructed by evaluating the SYSPREF variable as set with the TSO PROFILE command. When SYSPREF is not empty and also unequal to SYSUID, the prefix is set to syspref.sysuid.

Otherwise, work data sets names start with sysuid.

The WORKPREF parameter setting allows you to have unique prefixes for all users because the work data sets cannot be shared. This result can be achieved by using the SYSUID. (with trailing period) variable as shown in the following example:

```
WORKPREF='&SYSUID..C2R'
```

### **WPREFIX**

The WPREFIX parameter specifies installation or workgroup-specific zSecure libraries that must be used in addition to the common data sets and user data sets. (See the UPREFIX configuration parameter.)The ISPF interface searches for additional libraries. If these libraries exist, they are concatenated front of the corresponding zSecure common libraries. The library names searched are:

```
&WPREFIX..SCKRPLIB  
&WPREFIX..SCKRMLIB  
&WPREFIX..SCKRSLIB  
&WPREFIX..SCKRTLIB  
&WPREFIX..SCKRCLIB  
&WPREFIX..SCKRLOAD  
&WPREFIX..SCKRCARL  
&WPREFIX..SCKRCJPN  
&WPREFIX..SCKRMJPN
```

If you have old data sets (with SC2RPLIB as the low-level qualifier instead of SCKRPLIB, for example) and want to continue to use them, rename, or create aliases. Instead of a single WPREFIX parameter, you can also specify a comma-separated list, enclosed in single quotes. In line mode, the WPREFIX parameter supports only the SCKRCARL library.

### **DESC, CKFREEZE, UNLOAD, SMF**

These parameters describe a set of input files to be available to all users of this zSecure configuration. The input files specified become the default set. These parameters work only in combination. That is, if you code DESC, also code at least one of the following: CKFREEZE, UNLOAD or SMF. Only specify SMF if zSecure Audit is included in your license. The input file set is the active set for all users who do not reset their input source on entry to zSecure to the one they used in the last session. Resetting the input source is default behavior, so for new users the parameter DESC/CKFREEZE/UNLOAD/SMF is only effective if you also change the setup default to no reset. This value can be changed using the Setup Default option. The Setup Default option is also the preferred way to customize the set of Input Files.

For example, if you code the following statements:

```
SET DESC='Daily refreshed input files'  
SET CKFREEZE='sys2.cnr.daily.ckfreeze'  
SET UNLOAD=''  
SET SMF='sys2.cnr.daily.smf'
```

zSecure uses the CKFREEZE and the SMF data sets indicated along with the Live primary RACF database.

For more information about setting up the defaults, see Appendix E, "Configuring the ISPF interface," on page 197.

---

## Appendix E. Configuring the ISPF interface

This section provides information about setting the default options for zSecure ISPF panels and other ISPF-related functions.

- “Setup of default options for user groups (Setup menu)”
- “Configuring zSecure Admin to create new userids in the RACF database” on page 209
- “Locally defined functions” on page 209

---

### Setup of default options for user groups (Setup menu)

Setup default (SE.D) is the preferred way to customize options for groups of users. It updates the data set that the PROFDSN parameter identifies so that you can create different default settings for separate groups of users. You can run Setup default against a new data set for testing purposes, and rename or copy the data sets when you are done, so your users are not affected by an incomplete change. If you use only a single PROFDSN data set, Setup default sets system-wide options. If no default settings are present in the PROFDSN data set, standard (zSecure-shipped) settings are used. To prevent corruption of the user interface, restrict access to the Setup default menu option, and only grant update access to the PROFDSN data set to staff that understand this process. For additional information about restricting access to menu options, see “Resources that configure which options are shown” on page 175)

To run Setup default, start the ISPF interface with the selected PROFDSN data set. You can complete this task by selecting a configuration that specifies PROFDSN=*selected.data.set*, or by using PROFDSN(*selected.data.set*) as an override when invoking C2REMAIN.

Next, run SE.D. A panel like the normal SETUP panel is shown. After you change and exit the SETUP DEFAULT panel, you are prompted with the following panel:

```
zSecure defaults, Profdsn: SELECTED.DATA.SET

Choose:      (N=only new users will use defaults)
              (Y=all users will receive new defaults)
              (D=discard all changes,
               not possible for INPUT FILES and NLS)
```

If you want users to use the default settings each time they enter a zSecure session, specify INIT=YES in their configuration file.

New users always use the default system settings. If you choose N, they are the only ones to use the new settings. If you choose Y, the new settings are used by all users of this PROFDSN the next time they start Security zSecure. Use D to discard all changes made, except for the NLS and INPUT FILES. Changes for these options cannot be discarded.

**Note:** Only the files defined in SE.D.1 are changed, added, or deleted; the other files added to SE.1 remain the same.

Therefore, choosing Y does not affect any other addition or modification to a user's configuration.

## Setup (default) National Language Support (SE.D.N)

zSecure includes the functionality to select the language for panel displays. In addition to selecting the language, you can also customize the text on the panel using the selected language. Currently, language specifications are limited to selection panel options. For additional information see “Selecting a different language” on page 200 and “Customizing individual menu options” on page 200.

zSecure provides the following support for DBCS:

### Input fields

- DBCS characters for input fields that support DBCS are accepted. For example, the RACF programmer name and Installation Data fields accept DBCS characters for input fields.
- DBCS characters for input fields in CARLa like COPY NEWNAME and NEWDATA are accepted and work.
- DBCS characters are accepted in modifiable fields, also known as overtypable fields, and are not garbled.
- The CKGRACF REASON field accepts data in DBCS.
- DBCS characters are accepted as input when they are entered as part of a quoted string or comment. They are not generally supported outside of quoted strings except in specific cases like the ISPF FIND primary command, and CARLa (Auditing and Reporting program language) scan strings.

### Command support

- Primary commands that contain DBCS strings are accepted on all panels.
- The SELECT FIELD= SCAN= command works for DBCS strings. However, DBCS strings must be enclosed in quotes.
- ISPF Edit and Browse sessions allow for mixed mode which means that editing DBCS strings works correctly.
- The FIND command supports DBCS search values as long as the search value is enclosed in delimiters. Delimiters can be either single quotation marks (') or double quotation marks (").

### ISPF menu, display, and report panels

- With option SETUP NLS, the Japanese language can be selected. If this option is selected, some user interface items are displayed in Japanese including: the Main Menu, the RA.H menu option, action commands, and action bars.
- DBCS strings on ISPF panel displays and Japanese reports generated by zSecure are displayed correctly.
- Complete DBCS strings on customer written or adapted reports are displayed correctly. Truncated fields might not display correctly.
- zSecure displays and reports that include audit concerns, as well as option AU.V and AU.S have been translated into Japanese. Except for menu options, most other panels are still in English.
- NLS tables containing DBCS characters are processed correctly.
- ISPF messages are translated into Japanese.

### Formatting

- Uppercase translation leaves DBCS alone.
- DBCS strings with WORDWRAP take into account language restrictions on line breaks when possible (for Japanese only).

- E-mail with a UTF-8 format attachment correctly contains DBCS translated characters, if the user passes the proper (mixed DBCS) CCSID.
- XML in UTF-8 format correctly contains DBCS translated characters, if the user passes the proper (mixed DBCS) CCSID. However, if stylesheet embedding is used, then a stylesheet in the user's CCSID must be used.
- CCSID stylesheet support: Users must use a stylesheet included in their CCSID. Stylesheet CCSID 939 and CCSID 1047 can be used interchangeably. Stylesheet CCSID 1388 does not work.
- zSecure exploits the z/OS support for JIS X 0213:2004.

### Limitations

- All CKRCARLA messages and help text are still completely in English. ISPF messages are translated into Japanese.
- IP\_PORT audit concerns are not translated into Japanese.
- Long DBCS INSTDATA display as formatted by RACF LISTUSER is garbled on the MI panel, but also garbled by LISTUSER so accepted as correct.
- Text in generated e-mails (for example, subject lines) cannot contain DBCS characters.
- Uppercase translation leaves DBCS alone. That is, PRINT CAPS only works for NEWLISTs that contain DBCS with a LANGUAGE statement.
- ISPF service calls require commands to be issued in uppercase when the terminal mode is 3277KN or 3278KN. zSecure uses lowercase ISPF commands. To prevent the zSecure UI from failing, zSecure changes the ISPF terminal mode to 3278 dynamically at startup. Because the terminal mode is set for the entire TSO session, it is also active for non-zSecure applications running in a split screen session. To indicate that the terminal mode has been changed, the following warning message is issued:

```
ISPF terminal type changed from 3278KN to 3278.
Terminal type will be set back to 3278KN after exiting the
zSecure UI. Please note that while zSecure is active, all logical
screens (SPLIT SCREEN) will also use ISPF terminal type 3278.
```

Upon exit, the terminal mode is switched back to the original setting.

### SE.D.N panels

When you choose N from the SETUP panel, the following panel is displayed:

```

Menu  Options  Info  Commands
-----
zSecure Suite - Setup - NLS
Command ==>

Change language to          Action on language items
1 1. English                1 1. No action (only use specified language)
  2. Dutch                  2 2. Reset all items to company default
  3. French                 3 3. Reset all items to IBM Security zSecure default
  4. German                 4 4. Customise menu items
  5. Italian                5 5. Customise action line commands
  6. Portuguese
  7. Spanish
  8. Japanese
  9. Other
  0. zSecure
Language used: User English

```

Figure 11. SETUP - NLS panel

**Selecting a different language:** From the panel shown in Figure 11 on page 199, you can define the language to use on the zSecure menu panels. The **IBM Security zSecure** language option is defined for easier communication with IBM Software Support software support to address questions or problems. When you contact IBM Software Support, you will be asked to change the NLS support option to IBM Security zSecure. After completing the support call, you can switch back to your (own) installation defined language. The **Other** language is for any other, not specified, language.

To reset a language to the default option (zSecure-defined) or to your company-selected option, specify one of the **reset** options in the **Action on language items** list. Options 2 and 3 allow you to change all language items.

**Customizing individual menu options:** For all languages except Japanese, selecting Option **SE.N Action 4** opens the panel shown in Figure 12 so that you can customize the menu items. The text displayed on this panel is dependent on your own specifications. If you select Option 5, the action line commands are displayed so that you can customize them. Options 4 and 5 are not available for the Japanese language.

**Note:** For information about double-byte character set (DBCS) support in zSecure, see “Setup (default) National Language Support (SE.D.N)” on page 198.

| Menu  | Options | Info           | Commands                                   |
|---|---------|----------------|--|
| -----   |         |                |  |
| zSecure Suite - Setup - NLS Row 1 to 17 of 171              |         |                |  |
| Command ==>   |         | Scro11 ==> CSR |  |
| Specify action for menu item(s): Edit, Insert, Copy, Delete |         |                |  |
| Standard options Option and text as shown on panel          |         |                |  |
| - SE  | SE      | Setup          | Options and input data sets                |
| - SE 0  | 0       | Run            | Specify run options                        |
| - SE 1  | 1       | Input files    | Select and maintain sets of input data set |
| - SE 2  | 2       | New files      | Allocate new data sets for UNLOAD and IOCO |
| - SE 3  | 3       | Preamble       | Commands run before every query            |
| - SE 4  | 4       | Confirm        | Specify command generation options         |
| - SE 5  | 5       | View           | Specify view options                       |
| - SE 7  | 7       | Output         | Specify output options                     |
| - SE 8  | 8       | Command files  | Select and maintain command library        |
| - SE U  | U       | User defined   | User defined input sources                 |
| - SE C  | C       | Change Track   | Maintain Change Tracking parameters        |
| - SE C M  | M       | Site msgs      | Site defined message table                 |
| - SE C C  | C       | zSecure msgs   | zSecure defined message table              |
| - SE N  | N       | NLS            | National language support                  |
| - SE T  | T       | Trace          | Set trace flags and CARLA listing for diag |
| - SE V  | VM      | VM Files       | Copy RACF/VM database (VM only)            |
| - SE W  | W       | Windows        | zSecure Visual configuration               |

Figure 12. SETUP - NLS panel showing all menu items

The zSecure Suite - Setup - NLS panel shows a table with all available zSecure menu options. The table includes items that you are not allowed to use because of limitations in your license or system specifications. You can **edit**, **copy**, **insert**, or **delete** any of the items of this table. The order shown in the Setup panel determines the order of the menu items that displays when you use the zSecure product panels. To add a line below the current line, type **I** in the selection field. To move an item, add the item in the correct location, then delete the old item.

Each time you enter a line-command, the following panel is displayed:

```

Menu Options Info Commands
-----
zSecure Suite - Setup - NLS
Command ==>> _____
Official option . . SE 0 (also used for profile checking)
Specify action for menu item
1 1. Use as specified below 2. Delete menu item
3. Reset to system defaults 4. Reset to IBM Security zSecure defaults
Menu option . . . . 0 (as displayed on user menu)
Short description . . Run
Long description . . Specify run options
Command (or MENU) . . CMD(%C2REDFLL NO &C2RNSE0)
Panel for "MENU" . . _____ New menu . . N (Y/N)

Press ENTER to continue.

```

Figure 13. SETUP - NLS panel shown after selecting a line-command

On this panel, the following fields can be specified:

**Official option**

This field specifies the link to the SAF resource which is checked for this menu option. It can be a three-level deep specification. The specification also determines which menu the option are on (that is, specifying RA 4 2 results in the option being placed on the RA.4 menu). The official option can only be changed when the menu option is initially defined. That is, the option can only be changed with **copy** or **insert**, not with the **edit** line command. For user added menu items, except on the LO menu, the Official option fields must contain at least one of the following characters: @, # or \$. Otherwise, the added option is deleted during an NLS upgrade.

**Action**

The action determines what to do with the menu option. If you used the **delete-line-command**, the action is initialized to one of the following:

Option 2 indicates that pressing ENTER deletes the menu option.

Option 3 resets the table-item to your systems default. If there are no system defaults, zSecure defaults are used.

Option 4 resets the item to the original zSecure settings for the language you specified.

**Menu option**

The menu option as it is to be displayed on the panel. You are allowed to specify the menu items with the same option; the first one on the ultimate menu panel is used. This allows you to be more flexible with the profiles. For example, one group of users can use the first menu item, the other the second, while on the menu the options are the same. User changes to default menu options are *not* propagated during an NLS upgrade. For user options, the Menu option must match the Official option.

**Description**

Specifies the short and long description as displayed on the product menu panels. Descriptions can contain ISPF variable names. These variables are resolved when the menu is displayed during product operation. User changes to default menu options are *not* propagated during an NLS upgrade.

**Command (or MENU)**

Specifies the command to be performed when the option is specified. The

value can be a command (%CMD) or panel as normally specified in the ISPF panel body. To indicate that the next panel is a menu, specify MENU xx, where xx is the official option under which the menu items are defined. So, **MENU SE** displays a menu containing all the items with an official option SE xx. **MENU SE D** displays a menu containing all the items with the official option SE D zz. To display the next menu on a different panel than the main panel, specify your own panel in the field **panel for menu**. Except for the LO (local) option, user changes to the command field are *not* propagated during an NLS upgrade.

**Panel for "MENU"**

Panel to be selected if the menu option is taken.

**New menu**

This option can be used to add a primary menu option to be either shown on a new menu (Y) or expandable on the main menu (N). When expandable, leave the panel for the MENU field blank and use MENU option name for Command (or Menu), MENU R@ for example.

After you press Enter, the following panel is displayed:

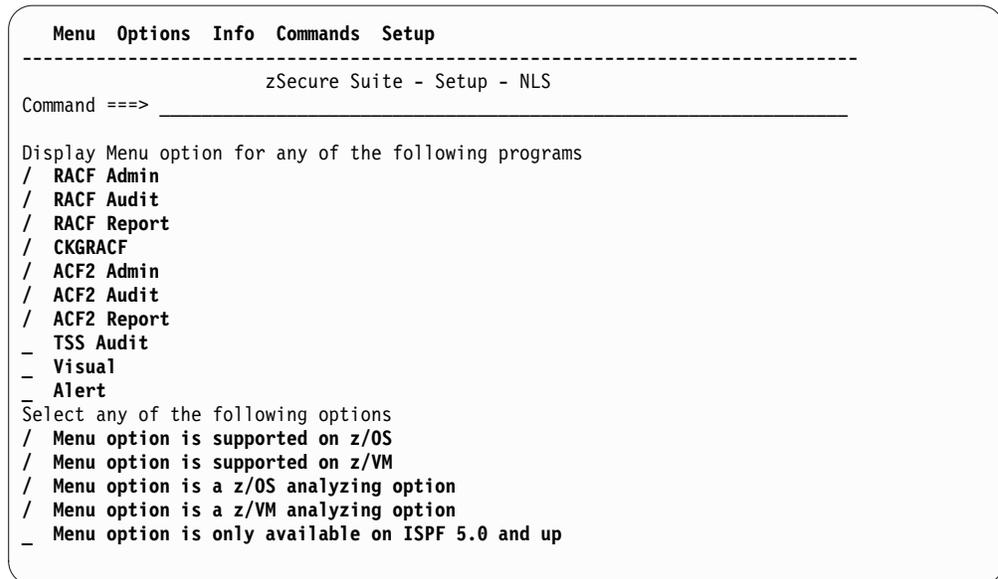


Figure 14. Setup - NLS panel showing the Display Menu option

On this panel, the following fields can be specified:

**Display menu item for any of the following programs**

This field specifies the licensed functions where the menu item is to be used. Table 19 shows the relationship between product (license) and function:

Table 19. Relationship of products to licensed functions in NLS

| Product                | RACF Admin | RACF Audit | RACF Report | ACF2 Audit | ACF2 Report | TSS Audit | CKGRACF |
|------------------------|------------|------------|-------------|------------|-------------|-----------|---------|
| zSecure Admin          | /          |            |             |            |             |           | /       |
| zSecure Audit for RACF |            | /          | /           |            |             |           |         |

Table 19. Relationship of products to licensed functions in NLS (continued)

| Product                      | RACF Admin | RACF Audit | RACF Report | ACF2 Audit | ACF2 Report | TSS Audit | CKGRACF |
|------------------------------|------------|------------|-------------|------------|-------------|-----------|---------|
| zSecure Audit for ACF2       |            |            |             | /          | /           |           |         |
| zSecure Audit for Top Secret |            |            |             |            |             | /         |         |

Except for the LO (local) option, user changes to licenses are *not* propagated during an NLS upgrade.

**Menu option is supported on z/OS**

Tag this field when functions used by this menu item are supported on z/OS.

**Menu option is supported on z/VM**

Tag this field when functions used by this menu item are supported on z/VM.

**Menu option is a z/OS analyzing option**

Tag this field when the menu item is used for analyzing z/OS data.

**Menu option is a z/VM analyzing option**

Tag this field when the menu item is used for analyzing z/VM data.

**Menu option is only available on ISPF 5.0 and up**

Tag this field when functions used by this menu item are only supported on ISPF 5.0 and up (CUA attributes, for example).

**Customizing action line commands**

You can use option 5, Customize action line commands, to add, remove, and edit line commands to be used in reports in the zSecure user interface. The option is primarily intended to allow localization of line commands, but it is also possible to define new line commands for functions not included in zSecure. A line command can have two types of actions:

- A line command can call an ISPF panel or call zSecure built-in display or command generation routines. A called ISPF panel can in turn return generated RACF commands, return a value to call built-in routines after all, or return a value to call another panel or REXX.
- A called panel or REXX can use several predefined ISPF variables to obtain its data, and by using CARLa-mapped fields, to obtain values from the CARLa fields used to define the display (either shown on the display or hidden using the nondisplay modifier).

It is possible to configure line commands as a block, but certain restrictions apply. For example, it is not possible to use CARLa-mapped fields. For more information, see Map CARLa fields into ISPF variables.

Selecting option 5 and pressing enter shows the list of all defined line commands as well as where they can be used primarily. A line command has two primary controls to say that it is valid on certain records: NEWLIST TYPE and ENTITY TYPE. ENTITY TYPE can be mostly viewed as, for example, USER (for instance, in type=RACF), DATASET (for instance, in type=RACF), and others.

You can configure line commands to be valid or not valid only in certain places, for example, on certain RACF classes or segments. If they are restricted by this

type of limiting criteria, they won't show up in the menu shown as a result of the / line command. The panel below shows only the primary settings, not the additional restrictions by class or segment.

| Menu  | Options | Info            | Commands                             | Setup               |
|---|---------|-----------------|--------------------------------------|---------------------|
| -----   |         |                 |                                      |                     |
|   |         |                 | zSecure Suite - Setup - NLS          | Row 33 to 66 of 379 |
| Command ==>   |         |                 |                                      | Scroll ==> CSR_     |
| Specify action for menu item(s): Edit, Insert, Copy, Delete |         |                 |                                      |                     |
| Standard options    Option and text as shown on panel       |         |                 |                                      |                     |
| -   | RC D AC | AC Access       | Access Check for one userid or group |                     |
| -   | RC D C  | C Copy          | Copy data set profile                |                     |
| -   | RC D D  | D Delete        | Delete data set profile              |                     |
| -   | RC D D  | D Delete        | Delete data set segment              |                     |
| -   | RC D E  | E Event         | Display event logging                |                     |
| -   | RC D L  | L List          | RACF listdsd command                 |                     |
| -   | RC D LD | LD List profile | RACF listdsd DSNS command            |                     |

Figure 15. Setup - NLS panel showing the action item list

- The first column lists the NEWLIST type for which the line command is valid.
- The second column lists the entity for which it is valid.
- The third column lists the action of the line command.
- The fourth column lists what the user types in as the line command; in combination with the sixth column (description), this is what is displayed when you enter / as line command.
- The fifth column is only there to help you differentiate between the line commands.

Selecting the first line command when you type an E on the input field above the line command results in the following panel.

| Menu   | Options                              | Info                        | Commands                                |
|--|--------------------------------------|-----------------------------|---|
| -----  |                                      |                             |   |
|  |                                      | zSecure Suite - Setup - NLS |   |
| Command ==>  |                                      |                             |   |
| Newlist type . . . .   | RC                                   |                             | (i.e. RC for RACF)                      |
| Entity type . . . . .  | D                                    |                             | (i.e. U for USER)                       |
| Action . . . . .   | AC                                   |                             | (i.e. C for COPY)                       |
| Specify action for menu item   |                                      |                             |   |
| 1  | 1. Use as specified below            | 2.                          | Delete menu item                        |
|  | 3. Reset to system defaults          | 4.                          | Reset to IBM Security zSecure defaults  |
| Used action . . . .  | AC                                   |                             | (as displayed on user menu)             |
| Used block action. .   | _                                    |                             | (optional; requires special support)    |
| Short description .  | Access                               |                             |   |
| Long description . .   | Access Check for one userid or group |                             |   |
| Panel . . . . .  | CZRP&CKREREL.AC@                     |                             | (panel to use for action specification) |
| / Map CARLa fields into ISPF variables                                     |                                      |                             |   |
| _ Specify classes and segments for which this action is valid or not valid |                                      |                             |   |
| Press ENTER to continue.   |                                      |                             |   |

Figure 16. Setup - NLS panel showing newlist types, entity types, and actions

The following fields are defined on this panel:

### Newlist type

The newlist type on which the line command is valid.

**Entity type**

The entity type on which the line command is valid.

**Action**

The action identifier. It is used to identify the resource that will be checked when restricting through profiles in the XFACILIT class. This field is also used as identifier for calling zSecure built-in display or command generating routines. Only line commands where Action contains a @, #, or \$ character will be kept during an NLS table upgrade.

**Specify Action for menu item**

This option can be used to delete the line command or reset it to defaults.

**Used action**

The actual characters typed on the zSecure panel to perform the line command. These are the letters used for a single command, in case the line command also allowed blocked line commands.

Changing **Used action** for a zSecure built-in entry will not be kept during an NLS table upgrade.

**Used block action**

The actual characters to be typed on the zSecure panel to indicate the start and end of a block of records, to be processed in one go. When this field has a value, all single record line commands are also processed in one go.

A block action always guarantees that the predefined ISPF variables that can be used by any called panel or REXX has the same value over all the records in the block. If the block crosses, for example, several complexes, it is split-up in multiple calls to the panel or REXX, presenting the specified panel multiple times.

Because CARLa-mapped fields are very likely to have different values for records in a block, zSecure disallows such fields when a block action is defined.

**Description**

A short and long description for the line command. Both are shown on the previous panel. The long description will be used on the menu displayed when performing the / line command.

Note that updates to the zSecure default set of line commands are not kept during an NLS table update.

**Panel** This field contains the panel that will be called when the line command is typed. If the field is empty, the built-in action, configured by **Action** is called.

The variable &CKREREL is used by zSecure to differentiate between either pull-down and non-pull down panels.

**Map CARLa fields into ISPF variable**

This option allows using values from the display (either shown or hidden) as data for the shown panel. Using this is disallowed when a Used block action has been specified. If you select this field, continue at Map CARLa fields into ISPF variables.

**Specify classes and segments for which this action is valid or not valid**

Depending on the Entity type used by the record, this option allows to specify a list of classes and a list of segments on which this line command is valid or not valid. If you select this field and not the **Map CARLa fields**

into **ISPF variable** field, continue at Specify classes and segments for which this action is valid or not valid.

If you have not selected either of these last two fields when you press **Enter**, Figure 14 on page 202 is shown.

**Map CARLa fields into ISPF variables:**

If you selected the **Map CARLa fields into ISPF variables** field on the previous Setup NLS action panel (Figure 16 on page 204), the following panel is displayed when you press **Enter**.

| Menu                         | Options         | Info  | Commands |
|------------------------------|-----------------|-------|----------|
| -----                        |                 |       |          |
| zSecure Suite - Setup - NLS  |                 |       |          |
| Command ==> _____            |                 |       |          |
| Specify CARLa - ISPF matches |                 |       |          |
| CARLa                        | ISPF            | CARLa | ISPF     |
| <b>KEY</b>                   | <b>CKRRPROF</b> | _____ | _____    |
| _____                        | _____           | _____ | _____    |
| _____                        | _____           | _____ | _____    |
| _____                        | _____           | _____ | _____    |
| _____                        | _____           | _____ | _____    |
| Press ENTER to continue.     |                 |       |          |

Figure 17. Setup - NLS panel for configuring field matches between CARLa-variables and ISPF-panel variables

The **CARLa** column contains the name of the CARLa variables from your query. The **ISPF** column contains the name of the ISPF variables used in your panel. Ensure that the CARLa variables are present in your query. Otherwise, an error message is displayed after the panel has been displayed when performing the action.

**Specify classes and segments for which the action is valid or not valid:**

If you selected the **Specify classes and segments for which this action is valid or not valid** field on the previous Setup NLS action panel (Figure 16 on page 204), the following panel is displayed when you press **Enter**.

| Menu   | Options | Info | Commands | Setup |
|--|---------|------|----------|-------|
| -----  |         |      |          |       |
| zSecure Suite - Setup - NLS                          |         |      |          |       |
| Command ==> _____                                    |         |      |          |       |
| Newlist type . . . : <b>RC</b>                       |         |      |          |       |
| Entity type . . . : <b>R</b>                         |         |      |          |       |
| Action . . . . . : <b>D</b>                          |         |      |          |       |
| Specify classes for which this action is valid:      |         |      |          |       |
| _____  |         |      |          |       |
| Specify classes for which this action is not valid:  |         |      |          |       |
| _____  |         |      |          |       |
| Specify segments for which this action is valid:     |         |      |          |       |
| <b>BASE</b> _____                                    |         |      |          |       |
| Specify segments for which this action is not valid: |         |      |          |       |
| _____  |         |      |          |       |
| Press <b>ENTER</b> to continue                       |         |      |          |       |

Figure 18. Setup - NLS panel for configuring field matches between CARLa-variables and ISPF-panel variables

On this panel, you can configure for which classes or segments a line command is valid or not valid. You can create different actions for the same Used action depending on class and segment, by defining multiple line command entries for the same NEWLIST type, Entity type, and Used action. For example, one delete command (D) for a specific resource class (specified in the **Specify classes for which this action is valid** field) and another delete command (D) for all other classes (leaving this panel empty).

The display shows a line command D (Action, so the built-in identifier) that is valid on most record elements, except on displays of the BASE segment.

Depending on the entity type of the line command this allows the following:

Table 20. Entity types versus allowed selects / excludes

| Entity type | for                      | Allows to select / exclude |
|-------------|--------------------------|----------------------------|
| D           | DATASET                  | Segment                    |
| G           | GROUP                    | Segment                    |
| R           | General resource classes | Class and segment          |
| U           | USER                     | Segment                    |
| M           | Multi-complex summary    | Class and segment          |
| Other       |                          | None                       |

The system searches the NLS table for the most-matching entry. Meaning, if class and segment are both specified and correct, such entry applies even if there is an entry specifying only a correct class. If there is no such unique match, the first element that matches most is used.

## Setup (default) Installation defined names (SE.D.I)

Some data set and profile names are customizable and as such might vary from site to site. This option provides a means of telling the program the names used at your site.

The following panel is shown.

```

Menu  Options  Info  Commands
-----
zSecure Suite - Setup - Installation
Command ==>
Installation specific names
JES/328X data set mask . SYS1.JSXLOG.** _____ (EGN mask)

```

Figure 19. Setup - Installation panel showing the JES/328X data set mask

### JES/328X data set mask

Specify an EGN mask that covers the names of your JES/328X log data sets. The mask is only meaningful if you use JES/328X for remote printing. This mask is used by the JES/328X definitions and log data sets report, option RA.3.D. See the *User Reference Manual* for more details.

## Setup (default) Command files (SE.D.8)

The SE.D.8 menu option allows you to allocate and select an existing library for subsequent use. When used together with SE.D it can be used to set a company wide default. Initially, it contains only DD:CKRCARLA for the product sample library. With the I line command you can insert new data set names. To activate a set use the S line command.

```

Menu  Options  Info  Commands
-----
zSecure Suite - Setup - Command file Row 1 from 3
Command ==>                               Scroll ==> CSR
Select sample library or work with a library (E, R, I, or D)
Sample library
_ DD:CKRCARLA _____
***** Bottom of data *****

```

Figure 20. Setup - Command file panel showing the library selection

Each line in the library selection list must contain a data set name using TSO conventions, or DD: followed by an allocated filename. The library marked selected is used by the options of the CO Commands menu. Concatenations are not supported.

The following line commands can be used.

Table 21. Line commands used with the selected library

|   |   |
|---|---|
| E | Show the members in this library  |
| D | Delete the line from the selection list, the data set is <b>not</b> deleted |
| I | Insert an empty line following this line, it is not selected automatically  |
| R | Repeat name in this line  |
| S | Select the library for subsequent use                                       |

When you have selected a data set, you can call up the member list with the E line command, or option CO.2.

## Retaining your Setup default data when upgrading zSecure

The PROFDSN data set in use is specified in the PROFDSN parameter in your configuration. For additional information, see Appendix D, “Configuration parameters and members,” on page 189.

---

## Configuring zSecure Admin to create new userids in the RACF database

### About this task

**Note:** You only need to perform this procedure if you use zSecure Admin.

zSecure Admin can be used to create new userids in your RACF database. If these new userids are to use TSO and ISPF, you need to specify a user catalog, an ISPF profile data set, and a DATASET for all data set that the new user owns.

### Procedure

To specify these values, make the following updates to the specified members in the h1q.ckrparm configuration data set:

1. To select the user catalog for this configuration, update member C2RSMUMA to specify an alias in the master catalog that points to one of your user catalogs.
2. To specify an ISPF profile data set for the new user, update member C2RSMUMP in the configuration data set to your UNIT and data set naming conventions.
3. To specify a DATASET profile for all data sets that the new user owns, you might need to update member C2RSMUMH to conform to the data set naming conventions you implemented during the installation and distribution procedures.

The alias and the ISPF profile are created when you use the MT function (Manage TSO information) on the RA.U display, not when you copy a user. In a multi-image environment the alias and ISPF profile data set are required in each z/OS image. Because ISPF profile data sets are not supposed to be shared, you might want to use different names in each z/OS image.

---

## Locally defined functions

The LOCAL option is designed to be customized by personnel who are experienced in writing ISPF dialogues. Panel names starting CKRP3C\* are reserved for user customized help panels. New or modified features can be added to the NLS tables as sub options of option LO (see Section “Setup (default) National Language Support (SE.D.N)” on page 198). The LO panel has space for a maximum of 12 options. If you modify or replace the panel used by option LO (C2RP3C@@) make sure you do not to overwrite your changes when you upgrade zSecure. The suggested way of adding or changing the supplied panels is to concatenate your own libraries in front of the zSecure product libraries. These libraries can be specified in your zSecure configuration using the WPREFIX or UPREFIX parameters, see Appendix D, “Configuration parameters and members,” on page 189). When the zSecure-supplied panel C2RPxC@@ is replaced by a user panel, make sure that the following lines are added to this user panel:

```
IF (.RESP=END)
  &C2RCOMM = 'MAIN'
  VPUT C2RCOMM SHARED
```

These lines are necessary for properly returning to the main menu.

The options provided on the LO panel are meant to serve as examples:

**P - Panel**

Causes the panel specified in the panel field to be selected using the ISPF SELECT PANEL service. The panel must be a valid selection panel (That is, make sure to set ZSEL .)

**C - Command**

Causes the command entered in the Command field to be selected using the ISPF SELECT CMD service.

**R - Start CKRERUN**

Starts REXX exec CKRERUN using CMD(%CKRERUN PANEL(\*)). No input from the panel is used. CKRERUN reads CARLa commands from variable CKRCMDV in the shared pool and displays the results. Multiple lines of commands can be separated in CKRCMDV by the new line delimiter character x'15'. As supplied, CKRCMDV is not filled by option LO.

## Command generation

The CKRERUN program displays the specified dialog panels, runs the command, and displays the results. You can use this program from your own programs. CKRERUN is called with the following parameters:

**PANEL(panel1 panel2)**

selection and (optional) result panels

**RESULT(panel)**

show result panel

**REUSE(files)**

do not clear specified files

**SYSIN(member)**

(also) included member of CKRCARLA

**HELP(panel)**

member of SCKRPLIB for use in BROWSE

**PERFORM(command)**

TSO command to be called instead of CKRCARLA

If your installation defines its own ISPF panels, a user exit can be called to generate TSO commands for example. These commands are displayed to the user so that they can be confirmed, executed, and queued like the TSO commands generated by zSecure.

To configure the user exit, call the CKRERUN command from an ISPF panel with the PERFORM and PANEL parameters:

```
CKRERUN PERFORM(xxxx) PANEL(yyyy)
```

xxxx is the command which implements the installation-defined actions, for example:

```
/* ADDALIAS REXX */  
push "DEFINE ALIAS (NAME(' ' || user || ' ') RELATE(' ' || ucat || ' '))"  
'EXECIO 1 DISKW CKRCMD (FINIS'  
  
'EXECIO 0 DISKW CKREPORT (FINIS OPEN'
```

The EXECIO to CKREPORT ensures that the user does not need browse the CKREPORT file. (The RESULTS panel displays the first non-empty file from CKREPORT, CKRCMD, CKR2PASS, and SYSPRINT.)

The ISPF panel *yyyy* could be:

```
%----- Define catalog alias for userid -----  
%COMMAND ==>_ZCMD  
  
+Userid          ==>_UUSER  +  
  
+Usercatalog     ==>_UCAT           +(no quotes)  
  
)PROC  
  VPUT (USER UCAT) SHARED  
  &CKRNEXT = &Z          /* no continuation panel */  
)END
```

*Figure 21. Example of the ISPF panel yyyy*

Set CKRNEXT to the membername of the next panel to display, or clear the variable to indicate that this is the last panel. When CKRNEXT is empty, the function defined by the PERFORM statement is executed, or, if PERFORM was not specified, zSecure is run. In the latter case, the variable CKRCMDV is passed to zSecure to perform the user specified option.



---

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